



## Arizona Automobile Theft Authority

### Board of Directors Meeting Minutes

Friday, Sept 21, 2018

AATA Office - 1<sup>st</sup> Floor Conference Room

1110 W. Washington Suite 105, Phoenix AZ 85007

#### Board Members Attendance:

Matt Reed, Chair, State Farm Insurance Companies

Chief Daniel Sharp, Vice-Chair, Oro Valley Police Department- Commander Kara Riley representing

Sheriff Paul Penzone - Maricopa County Sheriff's Office – **NOT PRESENT**

Sheriff Joseph Dedman, Jr.- Apache County Sheriff

Joe Brosius – Public Member – **NOT PRESENT**

Director Eric Jorgensen – Jackie Gentner representing- Telephonic

Director Frank Milstead – Arizona Department of Public Safety, Major Jack Johnson, Jr. representing

Chief Michael Soelberg – Gilbert Police Department - Telephonic

Bill Montgomery – Maricopa County Attorney's Office, Auto Theft Bureau Chief, Kristin Sherman representing

Dean Butler – Farmers Insurance - Telephonic

Mary Snider- Public Member- Telephonic

#### AATA Legal Council:

Tom Raine, Assistant Attorney General, AZ Attorney General's Office

#### Public/Guests:

Captain Paul Etnire- Arizona Vehicle Theft Task Force, Department of Public Safety

Jason Yeager – Department of Public Safety

Jason Henderson- Arizona Vehicle Theft Task Force, Department of Public Safety

Misty Fauth- Maricopa County Attorney's Office

Marcus Beecher – Maricopa County Attorney's Office

Andrea Prigmore- Maricopa County Attorney's Office

Allen Quist- Pinal County Attorney's Office

Yvette Echandi-Mott- La Paz County Attorney's Office – Telephonic

Wendy McHood- La Paz County Attorney's Office- Telephonic

#### AATA Staff:

Frederick W. Zumbo, AATA Executive Director

Art Myer, AATA Chief Financial Officer

Ann Armstrong, AATA Grants Administrator

Amanda O'Halloran, AATA Administrative Assistant III

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- I. CALL TO ORDER AND ROLL CALL
    - Chairman Reed call to order at 10:00am, roll call conducted.
  - II. AATA BOARD CHAIRMAN'S REPORT - REVIEW, CONSIDERATION AND POSSIBLE ACTION
    - Approval of July 27<sup>th</sup> Meeting Minutes –

- Major Johnson made motion to approve the July 27, 2018 board meeting minutes as presented.
- Commander Riley 2<sup>nd</sup>
- Meeting minutes approved

### III. AATA REPORT - REVIEW, CONSIDERATION, AND POSSIBLE ACTION

- FY'20 AATA Budget Submission – Final Report
  - Executive Director Zumbo advised the board the FY'20 AATA Budget submission was submitted on September 1 and mirrors the budget request from the prior year.
    - Director Zumbo advised the highlights of that budget include:
      - \$700,000 would restore previous cuts in funding
      - \$1.3 million go to local grants, including Vertical prosecution to bring on Yuma, Cochise and Yavapai County prosecution programs.
      - Set up a vehicle replacement program for the Vehicle Theft Task Force.
      - Law Enforcement grants for technology and equipment with priority given to border counties.
      - Money will be set aside to improve AATA infrastructure for database upgrades, including the Watch Your Car program.
    - Ms. Armstrong advised a copy of the FY'20 AATA Budget submission is available on the AATA website.

### IV. AATA FINANCIAL UPDATE, DISCUSSION AND POSSIBLE ACTION

- AATA Financial Report for fiscal year ending August 31, 2018
  - Please see attached documents
- FY'19 Spending Plan Update
  - Please see attached documents
- Annual Agency CPA Audit Update
  - Mr. Myer advised the AATA is currently under their annual agency financial audit; results will be available at the November meeting.

### V. AATA PUBLIC AWARENESS & GRANT PROGRAMS REPORT

- 2018 Auto Theft Prevention Campaigns, Events & Trainings.
  - Ms. Armstrong reported on current public awareness campaigns in progress advising them the Billboard and Sonoran Living projects will be completed at the end of the month.
  - Ms. Armstrong advised Director Zumbo and Mrs. O'Halloran provided interviews with several other media outlets this week, which will be shared on the AATA social media outlets.
  - Ms. Armstrong advised Mrs. O'Halloran traveled up to represent the AATA at the Apache County Fair earlier this month. Mrs. O'Halloran provided a brief synopsis of the event.
  - Ms. Armstrong provided information regarding the ACPA training in Superior in September and gave presentations on topics like #9PMRoutineAZ, Watch Your Car.
  - Ms. Armstrong gave a brief list of all the scheduled VIN Etching events for October as well as plans for an event with Somerton, and San Luis PD that may initiate future grants requests.

- Nov 1st is the AATA's semiannual VIN Etching event. Invites have been issued to surrounding law enforcement agencies who are interested in the INSTAETCH machine for future grants.
- FY'19 Industry Awareness Training Grant (Metal Theft Training) #813
  - Ms. Armstrong and Det. Jason Henderson with AZ DPS, Vehicle Theft Task Force outlined and answered questions regarding the specifics of Grant #813 for the Vehicle Theft Task Force to host a Metal Theft Training in April 15-17, 2019
    - Recusal made by Major. Johnson, Jr., representing AZ. Department of Public Safety
    - Kristin Sherman made a motion to approve Grant #813 as presented
    - Commander Riley 2<sup>nd</sup> motion
    - All votes in favor, no opposition, I recusal
    - Grant #813 approved

VI. LAW ENFORCEMENT SUBCOMMITTEE REPORT, DISCUSSION AND POSSIBLE ACTION

- Commander Riley in place of Chief Sharp- Nothing to report.

VII. PUBLIC AWARENESS SUBCOMMITTEE REPORT, DISCUSSION AND POSSIBLE ACTION

- Ann Armstrong in place of Mr. Brosius- Nothing to report.

VIII. LEGISLATIVE SUBCOMMITTEE REPORT, DISCUSSION AND POSSIBLE ACTION

- Chairman Reed advised no new updates at this time. Chairman Reed advised he would be working to schedule meetings with legislators after the general election.

IX. VERTICAL PROSECUTION SUBCOMMITTEE & AUTO THEFT PROSECUTORS REPORT, DISCUSSION AND POSSIBLE ACTION

- La Paz County – Wendy McHood gave a summary of La Paz County's current vehicle theft and burglary cases.
- Pinal County- Allen Quist gave a summary of a major ATV theft ring uncovered that he is currently working.
- Maricopa County- Marcus Beecher and Misty Fauth gave a summary of current cases being worked by Maricopa County. Misty Fauth advised the Board that there has been a rise in women being caught committing auto thefts. Ms. Sherman also confirmed that women are committing now auto thefts in a higher frequency, and then playing the victim.

X. ARIZONA VEHICLE THEFT TASK FORCE REPORT, DISCUSSION AND POSSIBLE ACTION

- General Update, Report on Task Force Activities
  - Captain Etnire recapped current Task Force stats and case antidotes. Captain confirmed that women committing auto thefts is an increasing trend.
  - Captain Etnire recapped the recent trip some of the Task Force detectives and himself took to the IAATI Conference in Sept. in Pittsburg. He advised that Inside Edition interviewed a few detectives in regards to a story about relay attacks. He also

mentioned that while there the detectives recovered a stolen U-Haul with Arizona plates in the parking lot.

XI. CALL TO THE PUBLIC

- Chairman Reed made a call to the public. No response.

XII. REPORTS ON CURRENT EVENTS, MATTERS OF BOARD PROCEDURE, REQUESTS AND ITEMS FOR FUTURE AGENDAS:

- Next AATA Board meeting is Nov. 16, 2018.
- Meeting adjourned at 10:41 AM.

Dated this 16<sup>th</sup> day of November, 2018.

Arizona Automobile Theft Authority

By: Matt Reed

Matt Reed, AATA Board Chairman



**Frederick W. Zumbo**  
Executive Director

**ARIZONA AUTOMOBILE THEFT AUTHORITY**

**M E M O R A N D U M**

**DATE:** September 21, 2018

**TO:** AATA Board of Directors

**FROM:** Art Myer, Chief Financial Officer

**SUBJECT:** AATA Financial Report Summary for the two months ending August 31, 2018, and the Projected (Revised) FY'19 spending plan.

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**AATA Financial Summary as of August 31, 2018**

**Schedule 1 - Fund Balance Report**

1. Total YTD recorded income, **Line 2: \$3,273,319.**
2. Total expended (excludes Reimbursable Programs), **Line 3: \$139,026.**
3. Legislative Transfers, **Line 4: \$0.**
4. Total expended Reimbursable Programs, **Line 5: \$0.**
5. Adjustments, **Line 6: \$0.**
6. Encumbrances, **Line 11: \$0**
7. Ending Fund Balance, per AFIS, **Line 13: \$6,078,400.**

**Schedule 2 - YTD Revenue**

1. Insurance revenue received for the first and second assessment periods of the current fiscal year (FY19), **Line 1: \$3,267,923.**
2. Reimbursable Programs, **Line 5: \$0.**
3. Investment income YTD, **Line 8: \$5,396.**
4. Refunds of Unused Grant Funds (Prior Year), **Line 9: \$0.**
5. Total Income, **Line 11: \$3,273,319.**
6. Cash Invested with State Treasurer, **Line 12: \$6,060,423.**

7. Line 13 & 14: Current Yield (1 Month): 2.08%, YTD Yield: 2.08 %

### **Schedule 2.1 – YTD Revenue Analysis**

1. **Insurance assessment revenue received to date for the first assessment period of FY'19, Line 11: \$3,267,923. Projected assessment revenue for the period, \$3,258,747; amount collected approximately \$9,176 (0.14%) more than the projection, and \$119,453 (3.79%) above prior period. Collected amounts: By check-\$1,945,220, by ACH-\$1,322,703.**
2. **Insurance assessment revenue received to date for the second assessment period of FY'19, Line 21: \$NA. Projected assessment revenue for the period, \$3,258,748.**
3. YTD assessment revenue, percentage realized, **Line 23: 50.14%** of the projected revenue for the year. Projected revenue for FY 19: \$6,517,495.
4. Compliance: First collection period for FY19 is complete.
5. No companies outstanding.
6. There are no extensions outstanding.
7. Current Collection Totals for FY'19: \$3,267,923. Projected revenue for FY'19 \$3,258,747. Amount collected by check: \$1,945,220 amount collected by ACH: \$1,322,703.

### **Schedule 3 – Appropriations (represents a summary of budget and expenditures by category/grant program)**

1. Lump sum appropriation (original) \$637,800. Adjustments (BOB): Increase \$9,100 (Rent Adjustment), Increase \$1,000 (Retirement), Increase \$3,000 (Health Insurance), and Increase \$300 (IT Pro rata), **net increase of \$13,400. Total operating Lump Sum appropriation (including adjustments), Line 11: \$651,200.**
2. Reimbursable Programs, **Line 19: \$50,000.** Appropriation by the Legislature giving the AATA the authority to raise and with the approval of the JLBC, expend the additional \$50,000.
3. No Legislative (budget) fund sweeps are currently planned for FY19.
4. Total Special Line Items, **Line 21: \$4,657,700.** (Reimbursable Programs: \$50,000 plus **Grants: \$4,607,700=\$4,657,700**)
5. Total current year appropriations (FY19), **Line 22: \$5,308,900.**

### **Schedule 4 - Activity Summary (represents a summary of expenditures/encumbrances by program area)**

1. Total Administrative Expenditures, **Line 1: \$50,014.**
2. Total Law Enforcement (Grant) Expenditures, **Line 2: \$0.**
3. Total Vertical Prosecution (Grant) Expenditures, **Line 3: \$56,875.**
4. Total Programs/Public Awareness Expenditures, **Line 4: \$32,137.**
5. Total Investigator/Special Projects Expenditures, **Line 5: \$0.**

6. Total Reimbursable Program Expenditures, **Line 6: \$0.**
7. Total Legislative Transfer, Line 7: \$0.
8. Total Legislative Transfer, Line 8: \$0.
9. Total Encumbrances, (Encumbered Column) **Line 10: \$0.**
10. Total of all Expenditures, (Expended Column) **Line 10: \$139,026.**
11. The statutory spending cap is 10% of revenue for administrative expenses. The administrative expenses percentage projected to be 6.26% and is currently, **Line 11: 1.53%.**
12. **Line 12:** is the ratio of administrative expenses to total expenses. Projected to be 7.71% and currently **35.97%** of total agency expenditures.

**Schedules 5-9 - Provide detail of AATA expenditures by program area.**

**Schedule 10 – Non-Appropriated Funds (Sims Metal Management)**

1. Beginning Balance, **Line 1: \$17,283.**
2. Travel: In-State, **Line 9: \$0**
3. Aid to Organizations, **Line 11: \$(557).**
4. Other Operating Expenses, **Line 12: \$0.**
5. Transfers-Out, **Line 14: \$0.**
6. Total Expenditures, **Line 15: \$(557).**
7. Encumbrances, Line 16: \$0.
8. Ending Balance, **Line 17: \$17,840.**



**Schedule 11 – Projected (Revised) FY '19 Spending Plan (Q1-Q4).**

**Quarter 1: July (Actual)**

1. Beginning FY19 Fund Balance, **Line 1: \$2,944,107**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$1,047,278**
4. Transfer to Special Project, **Line 7: \$0**
5. Total Lump Sum expenditures, **Line 13: \$32,676**
6. Total Grant expenditures, **Line 24: \$0**
7. **Ending fund balance, Line 26: \$3,958,710**

**August (Actual)**

1. Beginning Fund Balance, **Line 1: \$3,958,710**
2. Investment Income, **Line 2: \$5,396**

3. Insurance Collections, **Line 3: \$2,220,645**
4. Total Lump Sum expenditures, **Line 13: \$49,475**
5. Total Grant expenditures, **Line 24: \$56,875**
6. **Ending fund balance, Line 26: \$6,078,401**

**September (Projected)**

1. Beginning Fund Balance, **Line 1: \$6,078,401**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$46,276**
5. Total Grant expenditures, **Line 24: \$1,095,049**
6. **Ending fund balance, Line 26: \$4,937,076**

**Quarter 2: October (Projected)**

1. Beginning Fund Balance, **Line 1: \$4,937,076**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$100,302**
5. Total Grant expenditures, **Line 24: \$1,145,236**
6. **Ending fund balance, Line 26: \$3,691,538**

**November (Projected)**

1. Beginning Fund Balance, **Line 1: \$3,691,538**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$31,231**
5. Total Grant expenditures, **Line 24: \$0**
6. **Ending fund balance, Line 26: \$3,660,307**

**December (Projected)**

1. Beginning Fund Balance, **Line 1: \$3,660,307**
2. Investment Income, **Line 2: \$2,104**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$31,267**
5. Total Grant expenditures, **Line 24: \$6,689**
6. **Ending fund balance, Line 26: \$3,624,455**

**Quarter 3: January (Projected)**

1. Beginning Fund Balance, **Line 1: \$3,624,455**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$74,808**
5. Total Grant expenditures, **Line 24: \$0**
6. **Ending fund balance, Line 26: \$3,549,647**



**February (Projected)**

1. Beginning Fund Balance, **Line 1: \$3,549,647**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$3,258,748**
4. Total Lump Sum expenditures, **Line 13: \$41,715**
5. Total Grant expenditures, **Line 24: \$1,145,235**
6. **Ending fund balance, Line 26: \$5,621,445**

**March (Projected)**

1. Beginning Fund Balance, **Line 1: \$5,621,445**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$46,276**
5. Total Grant expenditures, **Line 24: \$6,690**
6. **Ending fund balance, Line 26: \$5,568,479**

**Quarter 4: April (Projected)**

1. Beginning Fund Balance, **Line 1: \$5,568,479**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$53,878**
5. Total Grant expenditures, **Line 24: \$1,145,236**
6. **Ending fund balance, Line 26: \$4,369,365**

**May (Projected)**

1. Beginning Fund Balance, **Line 1: \$4,369,365**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$53,884**
5. Total Grant expenditures, **Line 24: \$0**
6. **Ending fund balance, Line 26: \$4,315,481**

**June (Projected)**

1. Beginning Fund Balance, **Line 1: \$4,315,481**
  2. Investment Income, **Line 2: \$7,500**
  3. Insurance Collections, **Line 3: \$0**
  4. Total Lump Sum expenditures, **Line 13: \$55,040**
  5. Total Grant expenditures, **Line 24: \$6,690**
  6. **Ending fund balance, Line 26: \$4,261,251**
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**FY19 Budget Highlights:**

1. **Lump Sum: \$637,800 (Original)**
2. **Lump Sum: 651,200 (BOB Adjustment): \$9,100 increase (Rent), \$1,000 increase (Retirement), \$3,000 increase (Health Insurance), \$300 increase (IT Pro Rata). Net change: \$13,400 increase.**
3. **Lump Sum (Adjusted): \$651,200**

**Special Line Items: \$4,607,700**

4. **Arizona Vehicle Theft Task Force: \$3,650,000**
5. **Local Grants: \$957,700**
6. **Reimbursable Programs: \$50,000**

**Total Special Line Items: \$4,657,700 (Grant Programs: \$4,607,700)**

**Total FY19 Appropriation: \$5,308,900**

**Grant Program Breakdown:**

**Task Force: \$3,650,000**  
**Vertical Prosecution: \$930,942**  
**Public Awareness: \$23,758**  
**Law Enforcement Grants: \$1,000**  
**Professional Training: \$1,000**  
**Emergency/Discretionary: \$1,000**

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**Agency Audit: The agency is currently under audit by Henifeld, Meech & Co., P.C.**

**The audit will be completed and reported on at the November Board Meeting.**

**Cost: \$7,100**

# ARIZONA AUTOMOBILE THEFT AUTHORITY



**FY 2019**

## **FINANCIAL REPORT**

(RECONCILED TO AFIS)

**FOR THE TWO MONTHS ENDED AUGUST 31, 2018**



ARIZONA AUTO THEFT AUTHORITY FUND  
FINANCIAL REPORT  
FOR THE FISCAL YEAR ENDED AUGUST 31, 2018

Schedule 1: FUND BALANCE REPORT

LINE #	FY 2017	FY 2018	PROJECTED FY2019	YTD FY2019
1 BEGINNING FUND BALANCE	\$ 3,722,546	\$ 1,765,637	\$ 2,944,107	\$ 2,944,107
2 INCOME	\$ 6,256,849	\$ 6,378,118	\$ 6,532,495	\$ 3,273,319
3 EXPENDITURES (Line #3 Excludes Reimbursable & Leg Transfers)	\$ (5,227,787)	\$ (5,199,649)	\$ (5,258,900)	\$ (139,026)
4 LEGISLATIVE TRANSFERS (Border Strike Force & E-Procurement)	\$ (3,000,500)	\$ -	\$ -	\$ -
5 REIMBURSABLE PROGRAMS	\$ -	\$ -	\$ -	\$ -
6 ADJUSTMENTS	\$ 11,432	\$ -	\$ -	\$ -
7 ENDING FUND BALANCE	<u>\$ 1,762,539</u>	<u>\$ 2,944,106</u>	<u>\$ 4,217,702</u>	<u>\$ 6,078,400</u>
8 NET INCREASE/DECREASE	<u>\$ (1,960,006)</u>	<u>\$ 1,178,469</u>	<u>\$ 1,273,595</u>	<u>\$ 3,134,293</u>
<b>RECONCILIATION OF FUND BALANCE</b>				
9 ENDING FUND BALANCE (LINE7)	\$ 1,762,539	\$ 2,944,105		\$ 6,078,400
10 PRIOR YEAR SECURITY PROJECT REFUND	\$ 3,098	\$ -		\$ -
11 ENCUMBRANCES	\$ -	\$ -		\$ -
12	\$ -	\$ -		\$ -
13 FUND BALANCE PER AFIS	<u>\$ 1,765,637</u>	<u>\$ 2,944,107</u>		<u>\$ 6,078,400</u>

ARIZONA AUTO THEFT AUTHORITY FUND  
 FINANCIAL REPORT  
 FOR THE FISCAL YEAR ENDED AUGUST 31, 2018

**SCHEDULE 2: YTD REVENUE**

LINE #	<u>FY 2017</u>	<u>FY 2018</u>	<u>PROJECTED FY 2019</u>	<u>YTD REVENUE FY 2019</u>	<u>UNREALIZED REVENUE FY 2019</u>	<u>PERCENT* REALIZED FY2019</u>
1	\$ 6,225,788	\$ 6,327,665	\$ 6,517,495	\$ 3,267,923	\$ (3,249,573)	50.14%
2	\$ -	\$ -	\$ -	\$ -	\$ -	
3	\$ -	\$ -	\$ -	\$ -	\$ -	
4	\$ -	\$ -	\$ -	\$ -	\$ -	
5	\$ -	\$ -	\$ -	\$ -	\$ -	
6	\$ -	\$ -	\$ -	\$ -	\$ -	
7	\$ -	\$ -	\$ -	\$ -	\$ -	
8	\$ 31,061	\$ 48,548	\$ 15,000	\$ 5,396	\$ (9,604)	35.98%
9	\$ -	\$ 1,905	\$ -	\$ -	\$ -	
10	\$ -	\$ -	\$ -	\$ -	\$ -	
11	<u>\$ 6,256,849</u>	<u>\$ 6,378,118</u>	<u>\$ 6,532,495</u>	<u>\$ 3,273,319</u>	<u>\$ (3,259,176)</u>	50.11%
12			\$ 6,060,423			
13				2.08%		
14				2.08%		



ARIZONA AUTO THEFT AUTHORITY FUND  
FINANCIAL REPORT  
FOR THE FISCAL YEAR ENDED AUGUST 31, 2018

SCHEDULE 3: BY APPROPRIATION	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
1 PERSONAL SERVICES	\$ 312,944	\$ 317,907	\$ 340,718	\$ -	\$ 55,672	\$ 285,046
2 ERE	\$ 122,555	\$ 119,700	\$ 142,661	\$ -	\$ 19,182	\$ 123,479
3 OUTSIDE SERVICES	\$ 33,668	\$ 12,229	\$ 16,050	\$ -	\$ 1,531	\$ 14,519
4 TRAVEL IN-STATE	\$ 1,130	\$ 6,163	\$ 6,250	\$ -	\$ 372	\$ 5,878
5 TRAVEL OUT-STATE	\$ 3,684	\$ 3,492	\$ 8,500	\$ -	\$ 850	\$ 7,650
6 AID TO ORGANIZATIONS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
7 OTHER OPER. EXPENSES	\$ 128,873	\$ 87,602	\$ 112,021	\$ -	\$ 4,543	\$ 107,478
8 EQUIPMENT	\$ 19,877	\$ 35,783	\$ 25,000	\$ -	\$ -	\$ 25,000
9 CAPITAL OUTLAY (OFFICE SECURITY)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10 TRANSFERS-OUT ( TO GF-Approp Reduct)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
11 OPERATING TOTAL	<u>\$ 622,731</u>	<u>\$ 582,876</u>	<u>\$ 651,200</u>	<u>\$ -</u>	<u>\$ 82,151</u>	<u>\$ 569,049</u>
12 GRANT TO TASK FORCE	\$ 3,650,000	\$ 3,650,000	\$ 3,650,000	\$ -	\$ -	\$ 3,650,000
13 OTHER LAW ENFORCEMENT GRANTS	\$ 81,916	\$ 37,842	\$ 1,000	\$ -	\$ -	\$ 1,000
14 PROFESSIONAL TRAINING GRANTS	\$ 3,000	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000
15 EMERGENCY/DISCRETIONARY GRANTS	\$ -	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000
16 VERTICAL PROSECUTION GRANTS	\$ 870,141	\$ 905,164	\$ 930,942	\$ -	\$ 56,875	\$ 874,067
17 PUBLIC AWARENESS GRANT PROGRAM	\$ -	\$ 3,567	\$ 23,758	\$ -	\$ -	\$ 23,758
18 SPECIAL GRANTS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
19 REIMBURSABLE PROGRAMS	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000
20 LEGISLATIVE FUND SWEEPS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
21 TOTAL SPECIAL LINE ITEMS	<u>\$ 4,605,057</u>	<u>\$ 4,596,573</u>	<u>\$ 4,657,700</u>	<u>\$ -</u>	<u>\$ 56,875</u>	<u>\$ 4,600,825</u>
22 TOTAL EXPENDITURES	<u>\$ 5,227,788</u>	<u>\$ 5,179,449</u>	<u>\$ 5,308,900</u>	<u>\$ -</u>	<u>\$ 139,026</u>	<u>\$ 5,169,874</u>

ARIZONA AUTO THEFT AUTHORITY FUND  
FINANCIAL REPORT  
FOR THE FISCAL YEAR ENDED AUGUST 31, 2018

**SCHEDULE 4: ACTIVITY SUMMARY**

LINE #	FY2017	FY2018	ALLOCATED FY 2019	ENCUMBERED FY 2019	EXPENDED FY 2019	AVAILABLE FY 2019
1 ADMINISTRATION	\$ 391,349	\$ 361,151	\$ 409,221	\$ -	\$ 50,014	\$ 359,207
2 LAW ENFORCEMENT	\$ 3,734,916	\$ 3,687,842	\$ 3,653,000	\$ -	\$ -	\$ 3,653,000
3 VERTICAL PROSECUTION	\$ 870,141	\$ 905,164	\$ 930,942	\$ -	\$ 56,875	\$ 874,067
4 PROGRAMS/PUBLIC AWARENESS (Includes PA Grants)	\$ 231,382	\$ 245,492	\$ 264,537	\$ -	\$ 32,137	\$ 232,401
5 INVESTIGATOR/SPECIAL PROJECTS	\$ -	\$ -	\$ 1,200	\$ -	\$ -	\$ 1,200
6 REIMBURSABLE PROGRAMS	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000
7 LEGISLATIVE TRANSFER (Border Strike Force)	\$ 3,000,000	\$ -	\$ -	\$ -	\$ -	\$ -
8 LEGISLATIVE TRANSFER (E-Procurement System)	\$ 500	\$ -	\$ -	\$ -	\$ -	\$ -
9 LEG/AFIS TRANSFER (INDEX 91007)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>10 TOTAL EXPENDITURES</b>	<b>\$ 8,228,288</b>	<b>\$ 5,199,649</b>	<b>\$ 5,308,900</b>	<b>\$ -</b>	<b>\$ 139,026</b>	<b>\$ 5,169,874</b>

<b>11 STATUTORY SPENDING CAP</b>	<b>Projected</b>	<b>Actual</b>
<b>RATIO OF ADMIN COST TO ACTUAL INCOME (10% STATUTORY CAP)</b>	6.26%	8/31/2018 1.53%

<b>12 ADMINISTRATIVE EXPENSES AS A % OF TOTAL EXPENSES (LEG. MEASURE)</b>	7.71%	35.97%
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ARIZONA AUTO THEFT AUTHORITY FUND  
 FINANCIAL REPORT  
 FOR THE FISCAL YEAR ENDED AUGUST 31, 2018

**SCHEDULE 5: ADMINISTRATIVE EXPENSE SUMMARY**

LINE#	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
1 PERSONAL SERVICES	\$ 173,406	\$ 180,615	\$ 200,607	\$ -	\$ 32,058	\$ 168,549
2 ERE	\$ 68,955	\$ 69,075	\$ 84,826	\$ -	\$ 11,330	\$ 73,496
3 OUTSIDE PROFESSIONAL	\$ 24,243	\$ 10,620	\$ 10,350	\$ -	\$ 1,400	\$ 8,950
4 TRAVEL IN-STATE	\$ 210	\$ 1,845	\$ 2,500	\$ -	\$ -	\$ 2,500
5 TRAVEL OUT-STATE	\$ 3,247	\$ 2,720	\$ 6,250	\$ -	\$ 850	\$ 5,400
6 OTHER OPER. EXPENSES	\$ 101,411	\$ 41,810	\$ 87,688	\$ -	\$ 4,377	\$ 83,311
7 EQUIPMENT (CAPITAL & NON CAPITAL)	\$ 19,877	\$ 34,266	\$ 17,000	\$ -	\$ -	\$ 17,000
8 CAPITAL OUTLAY (OFFICE SECURITY)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
9 TRANSFERS-OUT	\$ -	\$ 20,200	\$ -	\$ -	\$ -	\$ -
<b>10 TOTAL ADMIN EXPENDITURES</b>	<u>\$ 391,349</u>	<u>\$ 361,151</u>	<u>\$ 409,221</u>	<u>\$ -</u>	<u>\$ 50,014</u>	<u>\$ 359,207</u>

ARIZONA AUTO THEFT AUTHORITY FUND  
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**SCHEDULE 6: GRANTS**

LINE #	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
<u>LAW ENFORCEMENT</u>						
1	\$ 3,650,000	\$ 3,650,000	\$ 3,650,000	\$ -	\$ -	\$ 3,650,000
2	\$ 81,916	\$ 37,842	\$ 1,000	\$ -	\$ -	\$ 1,000
3	\$ 3,000	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000
4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5	\$ -	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000
6	<u>\$ 3,734,916</u>	<u>\$ 3,687,842</u>	<u>\$ 3,653,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 3,653,000</u>
<u>VERTICAL PROSECUTION</u>						
7	\$ 870,141	\$ 905,164	\$ 930,942	\$ -	\$ 56,875	\$ 874,067
8	<u>\$ 870,141</u>	<u>\$ 905,164</u>	<u>\$ 930,942</u>	<u>\$ -</u>	<u>\$ 56,875</u>	<u>\$ 874,067</u>
<u>PUBLIC AWARENESS GRANTS</u>						
9	\$ -	\$ 3,567	\$ 23,758	\$ -	\$ -	\$ 23,758
10	<u>\$ -</u>	<u>\$ 3,567</u>	<u>\$ 23,758</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 23,758</u>
<u>REIMBURSABLE PROGRAMS</u>						
11	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000
12	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>
13	<u>\$ 4,605,057</u>	<u>\$ 4,596,573</u>	<u>\$ 4,657,700</u>	<u>\$ -</u>	<u>\$ 56,875</u>	<u>\$ -</u>

ARIZONA AUTO THEFT AUTHORITY FUND  
 FINANCIAL REPORT  
 FOR THE FISCAL YEAR ENDED AUGUST 31, 2018

**SCHEDULE 7: PROGRAMS/PUBLIC AWARENESS**

LINE #	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
<b><u>PROGRAMS/PUBLIC AWARENESS</u></b>						
1	\$ 139,538	\$ 137,292	\$ 140,111	\$ -	\$ 23,615	\$ 116,497
2	\$ 53,600	\$ 50,625	\$ 57,835	\$ -	\$ 7,852	\$ 49,983
3	\$ 9,425	\$ 1,609	\$ 5,700	\$ -	\$ 131	\$ 5,569
4	\$ 920	\$ 4,318	\$ 3,750	\$ -	\$ 372	\$ 3,378
5	\$ 437	\$ 772	\$ 2,250	\$ -	\$ -	\$ 2,250
6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
7	\$ 27,462	\$ 45,792	\$ 23,133	\$ -	\$ 167	\$ 22,966
8	\$ -	\$ 1,517	\$ 8,000	\$ -	\$ -	\$ 8,000
9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10	<u>\$ 231,382</u>	<u>\$ 241,925</u>	<u>\$ 240,779</u>	<u>\$ -</u>	<u>\$ 32,137</u>	<u>\$ 208,643</u>
<b><u>PUBLIC AWARENESS GRANTS</u></b>						
11	\$ -	\$ 3,567	\$ 23,758	\$ -	\$ -	\$ 23,758
12	<u>\$ -</u>	<u>\$ 3,567</u>	<u>\$ 23,758</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 23,758</u>
13	<u>\$ 231,382</u>	<u>\$ 245,492</u>	<u>\$ 264,537</u>	<u>\$ -</u>	<u>\$ 32,137</u>	<u>\$ 232,401</u>

ARIZONA AUTO THEFT AUTHORITY FUND  
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**SCHEDULE 8: INVESTIGATOR/SPECIAL PROJECTS**

LINE#	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
1 PERSONAL SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2 ERE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3 OUTSIDE PROFESSIONAL	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
4 TRAVEL IN-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5 TRAVEL OUT-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
6 OTHER OPER. EXPENSES	\$ -	\$ -	\$ 1,200	\$ -	\$ -	\$ 1,200
7 EQUIPMENT (CAPITAL & NON CAPITAL)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
8 TRANSFERS-OUT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>9 TOTAL SPECIAL PROJECTS</b>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,200</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,200</u>

ARIZONA AUTO THEFT AUTHORITY FUND  
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 FOR THE FISCAL YEAR ENDED AUGUST 31, 2018

**SCHEDULE 9: REIMBURSABLE PROGRAMS**

LINE #	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
1 PERSONAL SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2 ERE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3 OUTSIDE SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
4 TRAVEL IN-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5 TRAVEL OUT-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
6 AID TO ORGANIZATIONS	\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ 25,000
7 OTHER OPER. EXPENSES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
8 CAPITAL	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
9 TRANSFERS-OUT	\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ 25,000
10 TOTAL REIMBURSABLE PROGRAM EXPENDITURES	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>

ARIZONA AUTO THEFT AUTHORITY FUND  
 FINANCIAL REPORT  
 FOR THE FISCAL YEAR ENDED AUGUST 31, 2018  
**SCHEDULE 10: NON-APPROPRIATED FUNDS (SIMS METAL MANAGEMENT)**

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>
<b>NON-APPROPRIATED FUNDS</b>			
<b>1 BEGINNING BALANCE</b>	\$ 103,000	\$ 29,664	\$ 17,283
<b>REVENUE</b>			
<b>2 COURT AWARD(S)</b>	\$ -	\$ -	\$ -
<b>3 MISCELLANEOUS INCOME</b>	\$ -	\$ -	\$ -
<b>4 TOTAL NON-APPROPRIATED REVENUE</b>	\$ -	\$ -	\$ -
<b>5 TOTAL NON-APPROPRIATED FUNDS</b>	<b>\$ 103,000</b>	<b>\$ 29,664</b>	<b>\$ 17,283</b>
<hr/>			
<b>EXPENDITURES</b>			
<b>6 PERSONAL SERVICES</b>	\$ -	\$ -	\$ -
<b>7 ERE</b>	\$ -	\$ -	\$ -
<b>8 OUTSIDE SERVICES</b>	\$ -	\$ -	\$ -
<b>9 TRAVEL IN-STATE</b>	\$ 2,999	\$ 315	\$ -
<b>10 TRAVEL OUT-STATE</b>	\$ -	\$ -	\$ -
<b>11 AID TO ORGANIZATIONS</b>	\$ 35,360	\$ 9,394	\$ (557)
<b>12 OTHER OPER. EXPENSES</b>	\$ 15,319	\$ 1,100	\$ -
<b>13 EQUIPMENT</b>	\$ -	\$ -	\$ -
<b>14 TRANSFERS-OUT</b>	\$ 19,657	\$ 1,572	\$ -
<b>15 TOTAL EXPENDITURES</b>	\$ 73,336	\$ 12,381	\$ (557)
<b>16 ENCUMBRANCES</b>	\$ -	\$ -	\$ -
<b>17 ENDING FUND BALANCE PER AFIS</b>	<b>\$ 29,664</b>	<b>\$ 17,283</b>	<b>\$ 17,840</b>

Prepared: 9/4/18

**ARIZONA AUTO THEFT AUTHORITY  
SPENDING PLAN  
FY 2019 Q1-Q4  
(Actual/Projected)**

Schedule 11  
Revised: FY19 Actual/Projected Spending Plan

Line #	Description	FY19 Actual				FY20 Projected				FY20 Starting FY2019	FY20 Original Appropriation (Adj Working #)					
		July 2018	August 2018	September 2018	October 2018	November 2018	December 2018	January 2019	February 2019			March 2019	April 2019	May 2019	June 2019	
1	Current Fund Balance AFIS	\$ 2,944,107	\$ 3,958,710	\$ 6,078,401	\$ 4,937,076	\$ 3,691,538	\$ 3,660,307	\$ 3,624,455	\$ 3,549,647	\$ 5,621,445	\$ 5,568,479	\$ 4,369,365	\$ 4,315,481	\$ 4,261,251	\$ 4,261,251	\$ 15,000
2	Investment w/State Treas.	\$ -	\$ 5,396	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3	Insurance Collections	\$ 1,047,278	\$ 2,220,645	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,258,748	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,526,671
4	Additions to Fund Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5	Total Fund Balance Available	\$ 3,991,385	\$ 6,184,751	\$ 6,078,401	\$ 4,937,076	\$ 3,691,538	\$ 3,662,411	\$ 3,624,455	\$ 3,624,455	\$ 6,808,395	\$ 5,621,445	\$ 5,568,479	\$ 4,369,365	\$ 4,322,981	\$ 6,541,671	\$ 15,000
6	Projects (Reduce Cash)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
7	Transfer to Special Project	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
8	Total Available Fund Balance	\$ 3,991,385	\$ 6,184,751	\$ 6,078,401	\$ 4,937,076	\$ 3,691,538	\$ 3,662,411	\$ 3,624,455	\$ 3,624,455	\$ 6,808,395	\$ 5,621,445	\$ 5,568,479	\$ 4,369,365	\$ 4,322,981	\$ 6,541,671	\$ 15,000
9	Expenditures by Program (Lump Sum):															
10	Administrative: 40000	\$ 19,970	\$ 30,044	\$ 27,331	\$ 77,474	\$ 12,398	\$ 12,433	\$ 49,968	\$ 25,006	\$ 27,331	\$ 33,797	\$ 33,797	\$ 34,712	\$ 384,261	\$ 409,221	\$ 15,000
11	Programs/Public Awareness: 41111	\$ 12,705	\$ 19,431	\$ 18,845	\$ 22,728	\$ 18,733	\$ 18,734	\$ 24,740	\$ 16,609	\$ 18,845	\$ 19,981	\$ 19,987	\$ 20,228	\$ 231,567	\$ 240,779	\$ 1,000
12	Investigator/Special Projects: 42222	\$ -	\$ -	\$ 100	\$ 100	\$ 100	\$ 100	\$ 100	\$ 100	\$ 100	\$ 100	\$ 100	\$ 100	\$ 1,000	\$ 1,200	\$ -
13	Total ATA Operating Expenses (LS):	\$ 32,676	\$ 49,475	\$ 46,276	\$ 100,302	\$ 31,231	\$ 31,267	\$ 74,808	\$ 41,715	\$ 46,276	\$ 53,878	\$ 53,884	\$ 55,040	\$ 616,828	\$ 651,200	\$ -
14	Fund Balance After Fund Sweep & ATA Monthly Operating Expenses	\$ 3,958,710	\$ 6,135,276	\$ 6,032,125	\$ 4,836,774	\$ 3,660,307	\$ 3,631,144	\$ 3,549,647	\$ 6,766,680	\$ 5,575,169	\$ 5,514,601	\$ 4,315,481	\$ 4,267,941	\$ 4,267,941	\$ 4,267,941	\$ -
15	Reimbursable Programs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 50,000
16	Special Line Items (Grants)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
17	Task Force	\$ -	\$ -	\$ 912,500	\$ 912,500	\$ -	\$ -	\$ -	\$ 912,500	\$ -	\$ 912,500	\$ -	\$ -	\$ -	\$ -	\$ -
18	Vertical Prosecution Grants	\$ -	\$ 56,875	\$ 175,860	\$ 232,736	\$ -	\$ -	\$ -	\$ 232,735	\$ -	\$ 232,736	\$ -	\$ -	\$ -	\$ -	\$ 930,942
19	Public Awareness Grants	\$ -	\$ -	\$ 5,939	\$ -	\$ -	\$ 5,939	\$ -	\$ -	\$ 5,940	\$ -	\$ -	\$ 5,940	\$ 23,758	\$ 23,758	\$ 1,000
20	Law Enforcement Grants	\$ -	\$ -	\$ 250	\$ -	\$ -	\$ 250	\$ -	\$ -	\$ 250	\$ -	\$ -	\$ 250	\$ 1,000	\$ 1,000	\$ 1,000
21	Professional Training Grants	\$ -	\$ -	\$ 250	\$ -	\$ -	\$ 250	\$ -	\$ -	\$ 250	\$ -	\$ -	\$ 250	\$ 1,000	\$ 1,000	\$ 1,000
22	Emergency Grants/Discretionary Grants	\$ -	\$ -	\$ 250	\$ -	\$ -	\$ 250	\$ -	\$ -	\$ 250	\$ -	\$ -	\$ 250	\$ 1,000	\$ 1,000	\$ 1,000
23	Total Special Line Items	\$ -	\$ 56,875	\$ 1,095,049	\$ 1,145,236	\$ -	\$ 6,689	\$ -	\$ 1,145,235	\$ 6,690	\$ 1,145,236	\$ -	\$ 6,690	\$ 4,607,700	\$ 4,657,700	\$ -
24	Encumbrances	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
25	Fund Balance Per AFIS	\$ 3,958,710	\$ 6,078,401	\$ 4,937,076	\$ 3,691,538	\$ 3,660,307	\$ 3,624,455	\$ 3,549,647	\$ 5,621,445	\$ 5,568,479	\$ 4,369,365	\$ 4,315,481	\$ 4,261,251	\$ 5,224,528	\$ 5,308,900	\$ -
26	Admin Exp. As % of Revenue (10% Cap) Projected for FY '19: 5.87%															
27	Admin Exp as a % of expenditures: 7.35%															
28	Legend (Budget Colors)															
29	Green=Actual, Blue=Projected															
30	Original Proj. Expenditures															
31	Total															

Updated: 9/4/18