



DEPARTMENT OF INSURANCE AND FINANCIAL INSTITUTIONS FOREIGN RISK RETENTION GROUP REGISTRATION APPLICATION

NEW REGISTRATON UPDATED REGISTRATION

Form with fields: Federal Tax ID Number, NAIC Number, Risk Retention Group, Mailing Address, City, State, ZIP

SECTION A: GENERAL INFORMATION

1. Does the applicant plan to transact insurance under any name other than as shown above or under its initials? If yes, list the name(s) below: YES NO

Empty text box for listing names

2. Street address of principal administrative office:

Form with fields: Address, City, State, Zip, Telephone #, Fax #, Toll Free #

3. Designated contact Information:

Form with fields: Contact Name, Telephone #, E-Mail Address

4. The Risk Retention Group is chartered and licensed as a liability insurance company under the laws of the state of:

Form with fields: Domicile State, Original Incorporation / Organization Date

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5. The Risk Retention Group is authorized to engage in the transaction of insurance in the following lines under the laws of the chartering state (attach copy of Certificate of Authority):

6. Check one of the following:

The owners of the Risk Retention Group are the only persons who comprise the membership of the group and who are provided insurance by the group.

The sole owner of the Risk Retention Group is:

7. The Risk Retention Group is composed of members who are engaged in the following described business or activity which is similar or related with respect to the liability to which such members are exposed by virtue of related, similar or common business, trade, product, service, premises or operation:

SECTION B: DISCLOSURES

Carefully read the questions below and answer each one 'Yes' or 'No'

- | | | |
|---------------------------|--------------------------|--|
| <input type="radio"/> Yes | <input type="radio"/> No | The primary activity of the Risk Retention Group consists of assuming and spreading all, or any portion of, the liability exposure of its members. |
| <input type="radio"/> Yes | <input type="radio"/> No | The Risk Retention Group is organized for the primary purpose of assuming and spreading all or any portion of the liability exposure of its members. |
| <input type="radio"/> Yes | <input type="radio"/> No | The Risk Retention Group does not exclude any person from membership in the group solely to provide for members of the group a competitive advantage over such a person. |
| <input type="radio"/> Yes | <input type="radio"/> No | The activities of the risk retention group do not include providing insurance other than the liability insurance for assuming and spreading all or any portion of the similar or related liability exposure of its group members. |
| <input type="radio"/> Yes | <input type="radio"/> No | The activities of the Risk Retention Group do not include providing insurance other than reinsurance with respect to the similar or related liability exposure of another Risk Retention Group (or member of such other Risk Retention Group) engaged in businesses or activities which qualify such other Risk Retention Group (or member) for membership in the group. |

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SECTION C: Affidavit that Application & Attachments are True & Correct

I, hereby being duly sworn, depose and say that

as I am a principal or an officer of:

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the registrant, that I have the authority to bind the registrant, and that all information previously provided in all sections of this registration statement and in all attachments thereto are true and correct to the best of our knowledge and belief, and I understand that any misrepresentation made in this registration statement or its attachments thereto are grounds for denial or removal from the Qualified List of Registered Foreign Risk Retention Groups of this State.

Signature	Date
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Notice – ARS § 41-1030(H) requires most Arizona government agencies to prominently print the provisions of ARS § 41-1030(B), (E), (F), and (G) on all license applications.

The following is the language in ARS § 41-1030(B), (E), (F), and (G):

B. An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.

E. This section may be enforced in a private civil action and relief may be awarded against the state. The court may award reasonable attorney fees, damages and all fees associated with the license application to a party that prevails in an action against the state for a violation of this section.

F. A state employee may not intentionally or knowingly violate this section. A violation of this section is cause for disciplinary action or dismissal pursuant to the agency's adopted personnel policy.

G. This section does not abrogate the immunity provided by section 12-820.01 or 12-820.02.