

**Form SL-112: Application for Domestic Surplus Lines Insurer Designation**

Pursuant to ARS § 20-407.01, the director may designate an authorized insurer as a domestic surplus lines insurer if the applicant meets all the following conditions:

1. The applicant either ► holds a Certificate of Authority as an Arizona-domiciled insurer; or, ► meets all requirements to be issued a Certificate of Authority as an Arizona domiciled insurer and submits with this form a Uniform Certificate of Authority Application (UCAA) with additionally required materials;
  2. The applicant possesses minimum capital and surplus of at least \$15 million; and,
  3. The applicant’s board of director’s approves a resolution to be designated an Arizona domestic surplus lines insurer.
- Once designated, a domestic surplus lines insurer is restricted to only writing surplus lines insurance.

<b>SECTION A: Applicant Information</b>		Department Use:
Applicant Name:		NAIC #:
<b>SECTION B: Contact Information</b>		
Contact Person – Name:	Title:	
Email Address:	Phone Number:	
<b>SECTION C: Required Enclosures</b>		
<ol style="list-style-type: none"> <li>1. <b>INCLUDE</b> the duly executed resolution of the applicant’s board of directors to be designated an Arizona domestic surplus lines insurer.</li> <li>2. <b>INCLUDE</b> a balance sheet for the most recently completed calendar quarter that demonstrates the applicant possesses minimum capital and surplus of at least \$15 million.</li> <li>3. Does the applicant currently hold a Certificate of Authority in Arizona?   <input type="checkbox"/> <b>YES</b>   <input type="checkbox"/> <b>NO</b>  <u>If YES, SEND</u> the current <u>original</u> Arizona Certificate of Authority and a check in the amount of \$195.00 along with a cover letter to:  <div style="text-align: center; margin-left: 100px;"> Insurance Financial Affairs Division  <b>Arizona Department of Insurance and Financial Institutions</b>  100 N. 15th Ave., Suite 261  Phoenix, Arizona 85007-2630 </div> <u>If NO, FILE</u> a UCAA Primary application electronically. <a href="http://www.naic.org/industry_ucaa.htm">http://www.naic.org/industry_ucaa.htm</a> </li> </ol>		
<b>SECTION D: ATTESTATION AND CERTIFICATION</b> of an officer of the applicant authorized by the applicant to verify the facts stated in the application (“Authorized Officer”).		
By signing this form, the Authorized Officer		
<ol style="list-style-type: none"> <li>1. Attests and certifies that all information contained in the application and any attachments, enclosures and supplements thereto, are true, complete and accurate, to the best of the Authorized Officer’s knowledge and belief.</li> <li>2. Certifies that upon designation as an Arizona domestic surplus lines insurer, the applicant shall only write surplus lines insurance and shall comply with the laws of the State of Arizona that pertain to Arizona domestic surplus lines insurers.</li> </ol>		
Signature of Authorized Officer:	Printed Name:	Date:
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**ARS § 41-1030(G) requires most Arizona government agencies to prominently print the provisions of ARS § 41-1030(B), (D), (E) and (F) on all license applications.** The following is the language in ARS § 41-1030(B), (D), (E) and (F): **B.** An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition. **D.** This section may be enforced in a private civil action and relief may be awarded against the state. The court may award reasonable attorney fees, damages and all fees associated with the license application to a party that prevails in an action against the state for a violation of this section. **E.** A state employee may not intentionally or knowingly violate this section. A violation of this section is cause for disciplinary action or dismissal pursuant to the agency’s adopted personnel policy. **F.** This section does not abrogate the immunity provided by section 12-820.01 or 12-820.02.