

INSURANCE AND FINANCIAL INSTITUTIONS

Form E-710: Application for Purchasing Group Registration

| SECTION A: Applicant Identity | | | | | | | |
|---|----------------------|----------|--------------|-----------|-----------|--|--|
| Applicant Name: FEIN #: | | | | | | | |
| DBA Name (if applicable): State of I | | | | Domicile: | | | |
| SECTION B: Contact Information | 1 | | <u> </u> | | | | |
| Home Office Address (cannot be P.O. Box or PMB): | | City: | State: | ZIP Code: | | | |
| Mailing Address: | | City: | City: State: | | ZIP Code: | | |
| Main Administrative Office Address: | | City: | State: | ZIP Code: | | | |
| Area Code and Phone Number: | Toll Free Phone | Number: | Fax Number: | | | | |
| Contact Person – Name: | E-mail | Address: | lress: | | | | |
| Title: | Title: Phone Number: | | | | | | |
| 1. Describe the business, trade, product, services, premises or operations engaged in by the Purchasing Group's members: 2. Describe the lines and classifications of liability insurance the Purchasing Group intends to purchase: SECTION D: Applicant Declaration. Carefully read the questions below and answer YES (x) NO (x) | | | | | | | |
| each one "yes" or "no". If "no", atta | ach detailed expla | nation: | | | , , | | |
| 1. One of the purposes of the Purchasing Group is the purchase of liability insurance on a group basis. (ARS §20-2401(9)(a)) | | | | | | | |
| 2. The Purchasing Group intends to purchase liability insurance only for its members and only to cover their similar or related liability exposure. (ARS §20-2401(9)(b)) | | | | | | | |
| 3. The Purchasing Group will not purchase insurance from a risk retention group that is not chartered in a state or from an insurer which is not admitted in the state in which the purchasing group is located, unless the purchase is effected through a licensed insurance producer. (ARS §20-2408(A)) | | | | | | | |
| Form E-710 (v 20201031) | | | | | | | |

| Ар | olicant Name: | | PAGE 2 of 2 | | | | | |
|---|--|---|---------------------------|--|--|--|--|--|
| 4. | The purchasing group agrees that if liability insur admitted in this state or a risk retention group that group which have a risk resident or located in this insurance insolvency guaranty fund in this state a may not be subject to all insurance laws and rule | at it shall inform each of the members of the is state that the risk is not protected by an and that the risk retention group or the insu | e | | | | | |
| 5. | The Purchasing Group has completed the NAIC and Resolutions Authorizing Appointment of Arizona Director of Insurance and Financial Institute receiving service of legal documents or process. | Attorney Form 12 and has designated the tutions as its agent solely for the purpose of | | | | | | |
| 6. | The Purchasing Group will promptly submit any registration application. (15 USC §3903(d)(2)) | revisions to the information contained in thi | s | | | | | |
| SE | CTION E: Required Enclosures | | | | | | | |
| 1. | INCLUDE the NAIC Uniform Consent to Service Attorney Form 12 | ce of Process and Resolutions Authoriz | ing Appointment of | | | | | |
| 2. | INCLUDE the Purchasing Group List of Insure | ers and Agents Form E-711 | | | | | | |
| 3. | 3. INCLUDE documentation that verifies the state of domicile. (ARS §20-2401)(a) For a corporation, the state in which the purchasing group is incorporated.(b) For an unincorporated entity, the state of its principal place of business. | | | | | | | |
| SECTION F: AFFIDAVIT of an officer of the applicant authorized by the applicant to verify the facts stated in the | | | | | | | | |
| | olication. | | | | | | | |
| l, _ | oose and say under oath that I am the | (name of af | ïant) being duly sworn, | | | | | |
| | | (title) of | | | | | | |
| | (Applicant Name) | | | | | | | |
| | D that I have authority to bind the registrant, | is application and in all applications berowit | b are true and correct to | | | | | |
| AND that all information provided in all sections of this application and in all enclosures herewith are true and correct to the best of my knowledge and belief. | | | | | | | | |
| | | | | | | | | |
| | Signature | Date | | | | | | |
| SECTION G: APPLICATION CONTACT PERSON | | | | | | | | |
| Ар | plication Contact Person – Name: | -mail Address: | | | | | | |
| T:21 | | I Disara Nove I | | | | | | |
| Titl | e: | Phone Numb | er: | | | | | |

Send the registration documents to erica.bowsher@difi.az.gov. DO NOT send a hard copy filing.

ARS § 41-1030(G) requires most Arizona government agencies to prominently print the provisions of ARS § 41-1030(B), (D), (E) and (F) on all license applications. The following is the language in ARS § 41-1030(B), (D), (E) and (F): B. An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition. D. This section may be enforced in a private civil action and relief may be awarded against the state. The court may award reasonable attorney fees, damages and all fees associated with the license application to a party that prevails in an action against the state for a violation of this section. E. A state employee may not intentionally or knowingly violate this section. A violation of this section is cause for disciplinary action or dismissal pursuant to the agency's adopted personnel policy. F. This section does not abrogate the immunity provided by section 12-820.01 or 12-820.02.

Notice – ARS § 41-1030(H) requires most Arizona government agencies to prominently print the provisions of ARS § 41-1030(B), (E), (F), and (G) on all license applications.

The following is the language in ARS § 41-1030(B), (E), (F), and (G):

- B. An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.
- E. This section may be enforced in a private civil action and relief may be awarded against the state. The court may award reasonable attorney fees, damages and all fees associated with the license application to a party that prevails in an action against the state for a violation of this section.
- F. A state employee may not intentionally or knowingly violate this section. A violation of this section is cause for disciplinary action or dismissal pursuant to the agency's adopted personnel policy.
- G. This section does not abrogate the immunity provided by section 12-820.01 or 12-820.02.