

Arizona Department of Insurance

Orders Filed: 1/1/2019 - 12/31/2019

| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> | | <i>Dates:</i> | <i>Fines:</i> | <i>Ordered*</i> |
|---|--|------------------|-----------------------------------|-----------------|---------------------------------|--|--|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 19A-001-INS Ponderosa Indemnity, Inc. (NAIC 14255) | Withdrawal | | Ponderosa Indemnity, Inc. | / 14255 | 1/2/2019 | \$0.00 \$0.00 | Request to terminate CofA is granted. May file Articles of Dissolution (Art) w/ ACC. Provide certified copy of Art & 2018 Ann. Rpt. Pay CofA renewal unless Art filed by 3/31/19. Refund \$100 IERF deposit. |
| | No | FAD | | | | | |
| 18A-061-INS Aguirre, Angelica Christine | Revocation (Contested Case) | | Angelica Christine Aguirre | 18435836 / | 1/3/2019 | \$0.00 \$0.00 | May surrender insurance producer license. |
| | Yes | Fingerprint Case | | | | | |
| 18A-137-INS Regacho, Wilhma | License Denial (Appealable Agency Action) | | Wilhma Regacho | Unlicensed / | 1/8/2019 | \$0.00 \$0.00 | Application for Bail Bond Agent license is denied. |
| | No | Licensing | | | | | |
| 19A-002-INS Farmland Mutual Insurance Company (NAIC 13838) | Surrender of Certificate of Authority | | Farmland Mutual Insurance Company | / 13838 | 1/10/2019 | \$0.00 \$0.00 | Surrender of CofA is accepted. File 2018 Ann Stmt & Ann Tax Rpt w/ fees. Release security deposit. Refund \$100 IERF deposit. |
| | No | FAD | | | | | |
| 18A-055-INS Snider, Frances Veronica | Revocation (Contested Case) | | Frances Veronica Snider | 18518804 / | 1/14/2019 | \$0.00 \$0.00 | May surrender insurance producer license. |
| | No | Fingerprint Case | | | | | |

Report Key: SS or CD: Summary Suspension or Cease and Desist

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Source Codes: CAD - Consumer Affairs Division; FAD - Financial Affairs Division; MOD - Market Conduct Exam; PC - Property and Casualty Division

| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> <i>License # / NAIC #</i> | <i>Dates:</i> <i>Order</i> | | <i>Fines:</i> <i>Civil Penalty</i> | |
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| | <i>Consent</i> | <i>Source</i> | | <i>SS or CD</i> | <i>Restitution</i> | <i>Ordered*</i> | |
| 19A-008-INS Programmed Life Ins. Co. (NAIC 64866) into North American National Re Ins. Co. (NAIC 60118) | Merger | | North American National Re Insurance Comp / 60118 | 1/22/2019 | \$0.00 \$0.00 | Plan and Merger is approved. Pet may file Statement of Merger (Stmnt) w/ ACC. Ins. pay CofA renewal unless Stmnt fld by 3/31/19. Release statutory deposit if conditions met. Refund \$100 IERF deposit. | |
| | No | FAD | | | | | |
| 19A-007-INS Dentists Benefits Insurance Company (NAIC 18813) | Surrender of Certificate of Authority | | Dentists Benefits Insurance Company / 18813 | 1/22/2019 | \$0.00 \$0.00 | Surrender of CofA is accepted. Release security deposit. Refund \$100 IERF deposit. | |
| | No | FAD | | | | | |
| 19A-009-INS American Modern Life Insurance Company (NAIC 65811) | Surrender of Certificate of Authority | | American Modern Life Insurance Company / 65811 | 1/22/2019 | \$0.00 \$0.00 | Surrender of CofA is accepted. Refund \$100 IERF deposit. | |
| | No | FAD | | | | | |
| 19A-003-INS Fort Gatlin Assurance, Inc. (NAIC 14292) | Withdrawal | | Fort Gatlin Assurance, Inc. / 14292 | 1/22/2019 | \$0.00 \$0.00 | Request to terminate CofA is granted. May file Articles of Dissolution (Art) w/ ACC. Provide ACC certified copy of filed Art. File 2018 Annual Report w/ Dept. Pay CofA renewal unless Art filed by 3/31/19. Refund \$100 IERF deposit. | |
| | No | FAD | | | | | |
| 18A-145-INS Rivera, Ramses | License Denial (Appealable Agency Action) | | Ramses Rivera 17936909 / | 1/22/2019 | \$0.00 \$0.00 | Application to add line of authority denied. License revoked, effective immediately. | |
| | No | Licensing | | | | | |

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| 17A-060-INS Baldwin, Tyler Scott | Summary Suspension (Appealable Agency Action) | | Tyler Scott Baldwin 16496682 | / | 1/22/2019 6/5/2017 | \$0.00 \$0.00 | License summarily suspended effective immediately. License reinstated 1/22/19. |
| | No | Investigations | | | | | |
| 19A-015-INS Lincoln General Insurance Company (NAIC 33855) | Surrender of Certificate of Authority | | Lincoln General Insurance Company / 33855 | | 2/5/2019 | \$0.00 \$0.00 | Surrender of CofA is accepted. Release security dep. Refund \$100 IERF deposit. |
| | No | FAD | | | | | |
| 18A-078-INS ASAP Bail Bonds LLC and Robinette, Tranisha Kay | Revocation (Contested Case) | | ASAP Bail Bonds LLC 1800014211 Tranisha Kay Robinette 17389043 | / / / | 2/5/2019 | \$0.00 \$0.00 | ASAP license revoked, effective immediately. Robinette license revoked, effective immediately. |
| | No | Investigations | | | | | |
| 19A-027-INS Titan Indemnity Company (NAIC 13242) | Surrender of Certificate of Authority | | Titan Indemnity Company / 13242 | | 2/19/2019 | \$0.00 \$0.00 | Surrender of CofA is accepted. File 2018 Ann Stmt and Ann Tax Rpt with fees. Refund \$100 IERF deposit. |
| | No | FAD | | | | | |
| 19A-043-INS Roque, Jason Adams | Consent Order | | Jason Adams Roque 17570622 | / | 3/8/2019 | \$800.00 \$0.00 | Civil penalty of \$800. |
| | Yes | Investigations | | | | | |

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| 19A-018-INS Mansell, Angela Maria | Yes | Licensing | Angela Maria Mansell 8358357 | / | 3/12/2019 | \$100.00 \$0.00 | Civil penalty of \$100. |
| 18A-069-INS Mark, Glenn Allan | No | Fingerprint Case | Glenn Alan Mark 18547112 | / | 3/18/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| 19A-044-INS Corvesta Life Insurance Company (NAIC 78301) by Clear Spring Health Holdings, LLC | No | FAD | Clear Spring Health Holdings, LLC | / | 3/18/2019 | \$0.00 \$0.00 | Acquisition is approved. All documents are confidential. Advise of effective date of change of control. Upon acq, file registration statement. Failure to comply will result in admin action. |
| 19A-051-INS Parnell, Christopher | Yes | Licensing | Christopher Parnell 18770688 | / | 3/26/2019 | \$250.00 \$0.00 | Civil penalty of \$250. |
| 19A-052-INS Randolph, Tony Ann, Ranolph, Ralph Cooper & Randolph & Co Bail Bonds Inc | Yes | Investigations | Tony Ann Randolph 7542841 Ralph Cooper Randolph 7541635 Randolph & Co Bail Bonds Inc 1800002542 | / | 3/27/2019 | \$0.00 \$0.00 | Tony Ann Randolph may surrender license. Ralph Cooper Randolph may surrender license. |

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| 19A-004-INS Berger, Nathan James | Revocation (Contested Case) | | Nathan James Berger 18686397 | / | 3/27/2019 | \$500.00 \$0.00 | Civil penalty of \$500. |
| | Yes | Licensing | | | | | |
| 19A-056-INS Kelley, Blake | Consent Order | | Blake Kelley 18725643 | / | 4/2/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | Yes | Licensing | | | | | |
| 19A-055-INS Vergara, Juanita M. aka Guillen, Juanita Vergara | Consent Order | | Juanita M. Vergara 18955429 | / | 4/2/2019 | \$150.00 \$0.00 | Civil penalty of \$150.00. |
| | Yes | Licensing | | | | | |
| 18A-143-INS Haugen, Joseph Keith | Revocation (Contested Case) | | Joseph Keith Haugen 18225532 | / | 4/4/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | | | |
| 18A-139-INS Mallen, Matthew John | Revocation (Contested Case) | | Matthew John Mallen 15767749 | / | 4/4/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Investigations | | | | | |
| 19A-058-INS Groshong, Emily May | Consent Order | | Emily May Groshong 18958864 | / | 4/4/2019 | \$100.00 \$0.00 | Civil penalty of \$100. |
| | Yes | Licensing | | | | | |

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| 19A-057-INS Cardinal & Gold Insurance Company (NAIC 89702) | Withdrawal | Cardinal & Gold Insurance Company | 4/10/2019 | \$0.00 | \$0.00 | Request to terminate CofA is granted. May file Articles of Dissolution (Art) w/ ACC. Release statutory deposit if conditions met. Pay 2019 CofA renewal unless Art filed by 8/1/19. File 2019 Ann Stmt unless Art filed by 12/31/19. Pay 2020 CofA renewal unless Art filed by 8/1/20. Refund \$100 IERF fee. |
| | No | FAD | | | | |
| 19A-047-INS Kantor, Bruce H. | License Denial (Appealable Agency Action) | Bruce H. Kantor Unlicensed / | 4/18/2019 | \$250.00 | \$0.00 | Civil Penalty of \$250. |
| | Yes | Licensing | | | | |
| 19A-053-INS Lynch, John T. | License Denial (Appealable Agency Action) | John T. Lynch Unlicensed / | 4/22/2019 | \$250.00 | \$0.00 | Civil penalty of \$250. |
| | Yes | Licensing | | | | |
| 18A-105-INS Roque, Erika D. | Revocation (Contested Case) | Erika D. Roque 18556299 / | 4/22/2019 | \$0.00 | \$0.00 | License revoked, effective immediately. |
| | No | Fingerprint Case | | | | |
| 18A-097-INS Holling, John B. | Revocation (Contested Case) | John B. Holling 7092558 / | 4/22/2019 | \$0.00 | \$0.00 | License revoked, effective immediately. |
| | No | Fingerprint Case | | | | |

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| 19A-005-INS Alexander, Earl A. | Revocation (Contested Case) | | Earl A. Alexander 18917419 | / | 4/23/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | | | |
| 19A-069-INS Foster, Jon Stephen | Consent Order | | Jon Stephen Foster 4565929 | / | 4/29/2019 | \$250.00 \$450.00 | Civil Penalty of \$250. Restitution to Greco-Welch. |
| | Yes | Investigations | | | | | |
| 19A-070-INS Galli Parker, Catherine Francine | Consent Order | | Catherine Francine Galli Parker 6754571 | / | 4/29/2019 | \$250.00 \$0.00 | Civil penalty of \$250. |
| | Yes | Licensing | | | | | |
| 18A-098-INS Lind, Edward Sheldon | Revocation (Contested Case) | | Edward Sheldon Lind 18568421 | / | 5/13/2019 | \$0.00 \$0.00 | May surrender insurance producer license. |
| | Yes | Fingerprint Case | | | | | |
| 19A-006-INS Todd, Adam | Revocation (Contested Case) | | Adam Todd 18918047 | / | 5/13/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | | | |
| 19A-076-INS Baldry, Timothy Ward | Consent Order | | Timothy Ward Baldry 15812439 | / | 5/13/2019 | \$250.00 \$0.00 | Civil penalty of \$250. |
| | Yes | Investigations | | | | | |

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| 19A-078-INS Brewster, James Edward | Consent Order | | James Edward Brewster 6743062 | / | 5/15/2019 | \$150.00 \$0.00 | Civil penalty of \$150. |
| | Yes | Licensing | | | | | |
| 19A-021-INS Katal, Ingrid E. | License Denial (Appealable Agency Action) | | Ingrid E. Katal Unlicensed | / | 5/16/2019 | \$0.00 \$0.00 | Insurance producer application denied. |
| | No | Licensing | | | | | |
| 19A-013-INS Vickery, Zachariah Scott | Revocation (Contested Case) | | Zachariah Scott Vickery 18425285 | / | 5/24/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | Yes | Licensing | | | | | |
| 19A-083-INS Nevada General Insurance Company (NAIC 10007) | Consent Order | | Nevada General Insurance Company / 10007 | | 5/28/2019 | \$5,000.00 \$0.00 | Civil penalty of \$5,000. |
| | Yes | MOD | | | | | |
| 18A-109-INS Ngoyi, January | Revocation (Contested Case) | | January Ngoyi 18556208 | / | 5/28/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Fingerprint Case | | | | | |
| 18A-107-INS Riggs, Sheena Sherle | Revocation (Contested Case) | | Sheena Sherle Riggs 18465127 | / | 5/28/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Fingerprint Case | | | | | |

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| 18A-104-INS Sanchez Lopez, Hingrid Rossett | Revocation (Contested Case) | | Hingrid Rossett Sanchez Lopez 18573111 | / | 5/28/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Fingerprint Case | | | | | |
| 18A-101-INS Stuve, Sarah Irene | Revocation (Contested Case) | | Sarah Irene Stuve 18571532 | / | 5/28/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Fingerprint Case | | | | | |
| 18A-083-INS Brown, Narvia Nicole | Revocation (Contested Case) | | Narvia Nicole Brown 18538289 | / | 5/28/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Fingerprint Case | | | | | |
| 19A-073-INS First and Last Insurance Services, Inc. and Harutyunyan, Narek Gagikovich | Cease and Desist (Appealable Agency Action) | | First and Last Insurance Services, Inc. Unlicensed | / | 5/28/2019 | \$0.00 \$0.00 | Cease and desist unlawfully selling insurance. |
| | No | Investigations | Narek Gagikovich Harutyunyan 17003584 | / | | | |
| 19A-082-INS Flores, Andres | Consent Order | | Andres Flores 17252520 | / | 5/28/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | Yes | Investigations | | | | | |

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| 18A-096-INS Hobbs, Valerie Catherine | Revocation (Contested Case) | | Valerie Catherine Hobbs 18525289 | / | 5/28/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Fingerprint Case | | | | | |
| 19A-084-INS Arizona Automobile Insurance Company (NAIC 11805) | Consent Order | | Arizona Automobile Insurance Company / 11805 | | 5/28/2019 | \$5,000.00 \$0.00 | Civil money penalty of \$5,000. |
| | Yes | MOD | | | | | |
| 19A-081-INS Borg, Kirk Stephen | Consent Order | | Kirk Stephen Borg 6747936 | / | 5/28/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | Yes | Investigations | | | | | |
| 19A-085-INS Great-West Life & Annuity Insurance Company (NAIC 68322) | Consent Order | | Great-West Life & Annuity Insurance Compan / 68322 | | 5/29/2019 | \$5,000.00 \$0.00 | CMP of \$5,000. |
| | No | MOD | | | | | |
| 19A-054-INS Professional Life & Casualty Co. (NAIC 68063) by Heritage Life Ins. Co. (NAIC 64394) | Acquisition | | Heritage Life Insurance Company / 64394 | | 6/3/2019 | \$0.00 \$0.00 | Acquisition is approved. All documents are confidential. Advise of effective date of change of control. Upon acq, file registration strtnt. Failure to comply results in admnsitrative action |
| | No | FAD | | | | | |

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| 18A-115-INS Agosto, Xiamara Nohea | Revocation (Contested Case) | | Xiamara Nohea Agosto 18556247 | / | 6/10/2019 | \$0.00 \$0.00 | May surrender license. |
| | Yes | Fingerprint Case | | | | | |
| 19A-087-INS Toth, Attila Gyula | Consent Order | | Attila Gyula Toth 2620278 | / | 6/10/2019 | \$0.00 \$0.00 | Life line of authority revoked immediately. Accident/health line of authority - good standing. |
| | Yes | Investigations | | | | | |
| 19A-080-INS Annable, Joel | Revocation (Contested Case) | | Joel Annable 19023355 | / | 6/10/2019 | \$250.00 \$0.00 | Civil money penalty of \$250. |
| | Yes | Licensing | | | | | |
| 19A-088-INS Mandeline, William Joseph | Consent Order | | William Joseph Mandeline 18625842 | / | 6/10/2019 | \$0.00 \$0.00 | Non-resident license revoked immediately. |
| | Yes | Investigations | | | | | |
| 19A-090-INS Bready, John Baker III | Consent Order | | John Baker Bready III 18851806 | / | 6/17/2019 | \$0.00 \$0.00 | Non-resident license revoked, eff. Immediately. |
| | No | Investigations | | | | | |
| 19A-059-INS Fogarty, Maureen A. | License Denial (Appealable Agency Action) | | Maureen A. Fogarty Unlicensed | / | 6/19/2019 | \$0.00 \$0.00 | Application for AZ ins producer license denied. |
| | No | Licensing | | | | | |

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| 19A-065-INS Western General Insurance Company (NAIC 27502) | Consent Order | | Western General Insurance Company / 27502 | 6/19/2019 | \$75,000.00 \$0.00 | File and use approved rates. Refund overcharges plus interest in 30 days. Submit evidence to Dept w/in 30 days. Dept may verify compliance. Civil penalty of \$75,000. |
| | Yes | MOD | | | | |
| 18A-111-INS Houston, Alberta Anita | Revocation (Contested Case) | | Alberta Anita Houston 18657856 / | 6/25/2019 | \$0.00 \$0.00 | Resident license revoked, effective immediately. |
| | No | Fingerprint Case | | | | |
| 18A-113-INS Frost, Marissa Blair | Revocation (Contested Case) | | Marissa Blair Frost 18620750 / | 6/25/2019 | \$0.00 \$0.00 | Resident license revoked, effective immediately. |
| | No | Fingerprint Case | | | | |
| 18A-110-INS McCullough, Amber Latecia | Revocation (Contested Case) | | Amber Latecia McCullough 18549004 / | 6/25/2019 | \$0.00 \$0.00 | Resident license revoked, effective immediately. |
| | No | Fingerprint Case | | | | |
| 19A-093-INS Knauf, Timothy Justin | Consent Order | | Timothy Justin Knauf 18757249 / | 7/2/2019 | \$0.00 \$0.00 | Resident license revoked, effective immediately. |
| | Yes | Investigations | | | | |

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| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> | | <i>Dates:</i> | <i>Fines:</i> | <i>Ordered*</i> |
|---|--|------------------|-----------------------------------|-----------------|---------------------------------|--|---|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 19A-064-INS Accordia Life and Annuity Company (NAIC 62200) | Consent Order | | Accordia Life and Annuity Company | / 62200 | 7/2/2019 | \$50,000.00 \$0.00 | Civil penalty of \$50,000. Provide documentation w/in 3 months. Department may verify compliance. |
| | Yes | MOD | | | | | |
| 18A-116-INS Anderson, Callen Ray | Revocation (Contested Case) | | Callen Ray Anderson | 18612502 / | 7/8/2019 | \$100.00 \$0.00 | Submit fingerprints and Illegible Form. Civil penalty of \$100. |
| | Yes | Fingerprint Case | | | | | |
| 18A-119-INS Gardner, Melissa Helen | Revocation (Contested Case) | | Melissa Helen Gardner | 18662118 / | 7/8/2019 | \$100.00 \$0.00 | Submit fingerprints and Illegible Form. Civil penalty of \$100. |
| | Yes | Fingerprint Case | | | | | |
| 18A-112-INS Hallagan, Anna Louise | Revocation (Contested Case) | | Anna Louise Hallagan | 18556296 / | 7/8/2019 | \$100.00 \$0.00 | Submit fingerprints and Illegible Form. Civil penalty of \$100. |
| | Yes | Fingerprint Case | | | | | |
| 18A-114-INS Cabrera, Stephanie | Revocation (Contested Case) | | Stephanie Cabrera | 18616852 / | 7/8/2019 | \$0.00 \$0.00 | May surrender insurance producer license. |
| | Yes | Fingerprint Case | | | | | |
| 19A-014-INS Smith, Maurice Lynell | Revocation (Contested Case) | | Maurice Lynell Smith | 18992235 / | 7/15/2019 | \$250.00 \$0.00 | Civil penalty of \$250. |
| | Yes | Licensing | | | | | |

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|---|---|----------------|---|-----------------|---------------------------------|--|---|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 19A-096-INS National Crown Life Insurance Company (NAIC 84689) | Withdrawal No | FAD | National Crown Life Insurance Company / 84689 | | 7/15/2019 | \$0.00 \$0.00 | Request to terminate CofA is granted. May file Articles of Dissolution (Art) w/ ACC. Release statutory deposit if conditions met. |
| 19A-097-INS Sequoia Indemnity Company (NAIC 12338) | Surrender of Certificate of Authority No | FAD | Sequoia Indemnity Company / 12338 | | 7/15/2019 | \$0.00 \$0.00 | Surrender of CofA is accepted. Release retaliatory deposit. Refund \$100 IERF deposit. |
| 19A-098-INS Transamerica Advisors Life Insurance Company (NAIC 79022) | Surrender of Certificate of Authority No | FAD | Transamerica Advisors Life Insurance Compa / 79022 | | 7/15/2019 | \$0.00 \$0.00 | Surrender of CofA is accepted. Refund \$100 IERF deposit. |
| 19A-099-INS Medical Mutual Insurance Company of North Carolina (NC) (NAIC 32522) | Retaliatory Deposit Order No | FAD | Medical Mutual Ins. Co. of North Carolina / 32522 | | 7/16/2019 | \$0.00 \$0.00 | Deposit and maintain retaliatory dep w/in 30 days. |
| 19A-100-INS Sikes, Richard Harris | Consent Order Yes | Investigations | Richard Harris Sikes 7837851 / | | 7/17/2019 | \$2,500.00 \$0.00 | Immediately update addresses w/ Dept. Civil penalty of \$2500. |
| 19A-107-INS Garcia, Maria Guadalupe | Consent Order Yes | Investigations | Maria Guadalupe Garcia 6739157 / | | 7/23/2019 | \$0.00 \$0.00 | License suspended for 6 months. |

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| 19A-108-INS Parks, Hilary Ann | Consent Order | | Hilary Ann Parks 17181146 | / | 7/24/2019 | \$2,750.00 \$871.00 | Restitution to Torrisi of \$871. Civil penalty of \$2,750. License revoked, effective immediately. |
| | Yes | Investigations | | | | | |
| 18A-117-INS Black, Edward C. | Revocation (Contested Case) | | Edward C. Black 18615343 | / | 7/24/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Fingerprint Case | | | | | |
| 18A-120-INS Yogerst, Vania | Revocation (Contested Case) | | Vania Yogerst 18556246 | / | 7/24/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Fingerprint Case | | | | | |
| 19A-112-INS Marcum, Gary D | Consent Order | | Gary D. Marcum 58157 | / | 7/30/2019 | \$2,500.00 \$0.00 | Civil penalty of \$2500. Non-resident license suspended for 90 days. |
| | Yes | Investigations | | | | | |
| 19A-101-INS Thrivent Life Insurance Company (NAIC 97721) | Surrender of Certificate of Authority | | Thrivent Life Insurance Company / 97721 | | 7/30/2019 | \$0.00 \$0.00 | Surrender of CofA is accepted. Refung \$100 IERF deposit. |
| | No | FAD | | | | | |

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|--|--|----------------|--|---|--|---|---|
| | <i>Source</i> | | | | | | |
| 19A-111-INS Value Health Reinsurance, Inc. by Anthem, Inc. | Acquisition | | Anthem, Inc. | / | 7/30/2019 | \$0.00 \$0.00 | Acquisition is approved. All documents are confidential. Advise of effective date of change of control. Upon Acq, file registration stmnt. Failure to comply results in admin action. |
| | No | FAD | | | | | |
| 19A-017-INS Beserra, Shawnette Cyra Cecile | Revocation (Contested Case) | | Shawnette Cyra Cecile Beserra 18955253 | / | 8/8/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | | | |
| 19A-049-INS Augustin, Ramona Anuhea | Revocation (Contested Case) | | Ramona Anuhea Augustin 18907994 | / | 8/8/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | | | |
| 19A-105-INS Gray, Wayne Delbert | License Denial (Appealable Agency Action) | | Wayne Delbert Gray Unlicensed | / | 8/8/2019 | \$100.00 \$0.00 | Civil penalty of \$100. Hearing is vacated. Process renewal application. |
| | Yes | Licensing | | | | | |
| 19A-117-INS Guzman, Romeo Urbano and Romeo U. Guzman Agency, LLC | Summary Suspension (Appealable Agency Action) | | Romeo Urbano Guzman 1485991 | / | 8/20/2019 | \$0.00 \$0.00 | Licenses summarily suspended effective immediately |
| | No | Investigations | Romeo U. Guzman Agency, LLC 18-5582 | / | | | |

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| 19A-113-INS Marmolejo, Marcela | Cease and Desist (Appealable Agency Action) | | Marcela Marmolejo Unlicensed | / | 8/20/2019 | \$0.00 \$0.00 | C&D selling, soliciting, negotiating insurance. |
| | No | Investigations | | | | | |
| 19A-061-INS Delgado, Francisco C. | Revocation (Contested Case) | | Francisco C. Delgado 18180749 | / | 8/23/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | | | |
| 19A-127-INS Hunter, William R and Bill Hunter Insurance Brokers Inc | Consent Order | | William R. Hunter 137905 | / | 9/5/2019 | \$250.00 \$0.00 | Civil penalty of \$250. |
| | Yes | Investigations | Bill Hunter Insurance Brokers Inc. 16439218 | / | | | |
| 19A-050-INS McCray, Stephan Joseph | Civil Money Penalty | | Stephan Joseph McCray 18918151 | / | 9/5/2019 | \$0.00 \$0.00 | License revoked, effective immediately. |
| | No | Licensing | | | | | |
| 19A-128-INS Jones, Steven James and #1 Truck Insurance LLC | Consent Order | | Steven James Jones 8980961 | / | 9/5/2019 | \$0.00 \$3,994.33 | Restitution to Johannsen of \$3,660.32. Restitution to Cortez of \$334.01. |
| | Yes | Investigations | #1 Truck Insurance LLC 17223033 | / | | | Jones license revoked, effective immediately. #1 license revoked, effective immediately. |

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| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 18A-126-INS Bickell, Katie Anne | Revocation (Contested Case) | | Katie Anne Bickell 18667122 | / | 9/10/2019 | \$0.00 \$0.00 | May surrender insurance producer license. |
| | Yes | Fingerprint Case | | | | | |
| 19A-130-INS EDNM Financial Services LLC dba Express Insurance Center and Hernandez, Dylia Doribel | Consent Order | | EDNM Financial Services LLC 18588556 | / | 9/11/2019 | \$2,750.00 \$0.00 | Respondents shall immediately pay a CMP of \$2,750. |
| | Yes | Investigations | Dylia Doribel Hernandez 18555269 | / | | | |
| 19A-092-INS Underwood, Rhett Michael | License Denial (Appealable Agency Action) | | Rhett Michael Underwood Unlicensed | / | 9/11/2019 | \$0.00 \$0.00 | License application denied. |
| | No | Licensing | | | | | |
| 18A-125-INS Anderson, Angelica | Revocation (Contested Case) | | Angelica Anderson 18559058 | / | 9/11/2019 | \$0.00 \$0.00 | May surrender insurance producer license. |
| | Yes | Fingerprint Case | | | | | |
| 19A-133-INS Ponce, Maria Lourdes | Consent Order | | Maria Lourdes Ponce 10188507 | / | 9/12/2019 | \$2,500.00 \$0.00 | License suspended for 14 calendar days. Civil penalty of \$2500. |
| | Yes | Investigations | | | | | |

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| 19A-132-INS Zerger, Adam Shane and WIT Insurance | Consent Order | | Adam Shane Zerger 8701801 | / | 9/12/2019 | \$0.00 \$0.00 | Zerger license revoked, effective immediately. WIT license revoked, effective immediately. |
| | Yes | Investigations | WIT Insurance 18058489 | / | | | |
| 19A-124-INS Puritan Life Ins. Co. (NAIC 68071) & Puritan Life Ins. Co. of America (NAIC 71390) by PLAZ Holdings | Acquisition | | PLAZ Holdings, LLC | / | 9/16/2019 | \$0.00 \$0.00 | Acquisition is approved. All documents are confidential. Advise of effective date of change of control. Upon acq, file registration statement. Failure to comply results in admin action. |
| | No | FAD | | | | | |
| 19A-033-INS Hancock, Elizabeth | Revocation (Contested Case) | | Elizabeth Hancock 18793539 | / | 9/17/2019 | \$100.00 \$0.00 | Civil Money Penalty of \$100.00 |
| | Yes | Fingerprint Case | | | | | |
| 19A-134-INS Diaz, Teresa Lynn (nee Cross) | Consent Order | | Teresa Lynn (Nee Cross) Diaz | / | 9/17/2019 | \$100.00 \$0.00 | Civil Money Penalty |
| | Yes | | | | | | |
| 18A-127-INS Garcia, Danielle Christine | Revocation (Contested Case) | | Danielle Christine Garcia 18660065 | / | 9/17/2019 | \$100.00 \$0.00 | Civil Money Penalty |
| | Yes | Fingerprint Case | | | | | |

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| 19A-141-INS Yap, Norman See Loon | Consent Order | | Norman See Loon Yap 8594687 | / | 9/25/2019 | \$0.00 \$0.00 | License suspended for 10 cal days from 10/1/19. |
| | Yes | Investigations | | | | | |
| 19A-140-INS Osprey Insurance Company (NAIC 15509) | Withdrawal | | Osprey Insurance Company / 15509 | | 9/25/2019 | \$0.00 \$0.00 | Request to terminate CofA is granted. May file Articles of Dissolution (Art) w/ ACC. Provide ACC certified copy of Art File 2019 Ann Rpt unless Art filed by 12/31/19. Pay CofA renewal unless Art filed by 3/31/20. Refund \$100 IERF deposit. |
| | No | FAD | | | | | |
| 19A-094-INS Zrihen, Daniel Chaim | License Denial (Appealable Agency Action) | | Daniel Chaim Zrihen Unlicensed | / | 9/25/2019 | \$0.00 \$0.00 | Application for insurance adjuster license denied. |
| | No | Licensing | | | | | |
| 18A-118-INS Wapnick, Jacob Tyler | Revocation (Contested Case) | | Jacob Tyler Wapnick 18615318 | / | 9/26/2019 | \$0.00 \$0.00 | Revocation |
| | No | Fingerprint Case | | | | | |
| 18A-121-INS Wosmek, Donald Anthony | Revocation (Contested Case) | | Donald Anthony Wosmek 6461647 | / | 9/26/2019 | \$0.00 \$0.00 | Revocation |
| | No | Fingerprint Case | | | | | |

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| 18A-124-INS Brooks, Stephen William Sr. | Revocation (Contested Case) | | Stephen William Brooks, Sr. 18568455 | / | 9/26/2019 | \$0.00 \$0.00 | Revocation |
| | No | Fingerprint Case | | | | | |
| 19A-032-INS Sanchez, Ciara Mia | Revocation (Contested Case) | | Ciara Mia Sanchez 18827016 | / | 9/26/2019 | \$0.00 \$0.00 | Revocation |
| | No | Fingerprint Case | | | | | |
| 19A-129-INS Scottish RE (US.), Inc. | Suspension (Contested Case) | | Scottish RE (U.S.), Inc. / | | 9/26/2019 9/26/2019 | \$0.00 \$0.00 | Summary Suspension |
| | No | | | | | | |
| 19A-118-INS Wellcare Hlt Insur of AZ, Inc. & Wellca AZ, Inc. & Wellcare Hlt Insur of AZ, Inc. by Centene Corp | Acquisition | | Centene Corporation / | | 9/26/2019 | \$0.00 \$0.00 | Acquisition is approved. All documents are confidential. Advise of effective date of change of control. Upon Acq, file registration statement. Failure to comply results in admin action. |
| | No | FAD | | | | | |
| 19A-031-INS Alvin, Jarae Ashley | Revocation (Contested Case) | | Jarae Ashley Alvin 18914920 | / | 9/26/2019 | \$0.00 \$0.00 | Revocation |
| | No | Fingerprint Case | | | | | |

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| 19A-142-INS MACIAS, ARMANDO AND A & G INSURANCE ENTERPRISES LLC DBA ARMANDO MACIAAS INSURANCE AGENCY | Consent Order | | Macias, Armando | / | 9/30/2019 | \$2,500.00 \$0.00 | Civil Money Penalty |
| | Yes | Investigations | A & G Insurance Enterprises LLC | / | | | |
| 19A-143-INS WATSON, THOMAS ALLAN DBA GLOBE BAIL BONDS, TEAMSTERS BAIL BONDS | Consent Order | | Watson Thomas Allan | / | 9/30/2019 | \$250.00 \$0.00 | Civil Money Penalty |
| | Yes | Investigations | | | | | |
| 19A-138-INS SENIOR HEALTH INSURANCE COMPANY OF PENNSYLVANIA (NAIC 76325) | Summary Suspension (Appealable Agency Action) | | Senior Health Insurance Company of Pennsylv | / | 10/3/2019 10/3/2019 | \$0.00 \$0.00 | Summary Suspenssion effective immediately |
| | No | Investigations | | | | | |
| 19A-147-INS MENENDEZ, CARLOS ALBERTO | Consent Order | | Carlos Alberto Menendez | / | 10/7/2019 | \$250.00 \$0.00 | CMP |
| | Yes | Licensing | | | | | |
| 19A-145-INS WADE, JASON ALAN AND WADE, REGINA MARIE | Consent Order | | Regina Marie Wade | / | 10/7/2019 | \$500.00 \$0.00 | CMP |
| | Yes | Investigations | Jason Alan Wade | / | | | |

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| 19A-146-INS DB SELECTIVE INSURANCE GROUP, INC. (NAIC No. 16367) | Withdrawal No | FAD | BD Selective Insurance Group, Inc. / 16367 | | 10/8/2019 | \$0.00 \$0.00 | Request to terminate CofA is granted. May file Amendment of Articles (Art) w/ACC. Provide ACC certified copy of Art. File 2019 Ann Rpt unless Art filed by 12/31/19. Pay CofA renewal unless Art filed by 3/31/20. Refund \$100 IERF deposit. |
| 19A-072-INS Hernandez, Omar Leonardo | Revocation (Contested Case) Yes | Licensing | Omar Leonardo Hernandez 19075103 / | | 10/10/2019 | \$150.00 \$0.00 | CMP |
| 19A-149-INS FLORIDA SPECIALTY INSURANCE COMPANY (NAIC No. 17248) | Summary Suspension (Appealable Agency Action) No | FAD | Florida Specialty Insurance Company / 17248 | | 10/17/2019 10/17/2019 | \$0.00 \$0.00 | Certificate of Authority Summarily Suspended |
| 19A-152-INS TENNISON, BRADLEY JOSEPH | Consent Order No | Investigations | Bradley Joseph Tennison / | | 10/21/2019 | \$0.00 \$0.00 | Revocation |
| 19A-153-INS PULSIPHER, KENNETH TOBY AND AVIATION MANAGEMENT & INSURANCE, LLC | Consent Order No | | Kenneth Toby Pulsipher / Aviation Management & Insurance, LLC / | | 10/24/2019 | \$0.00 \$0.00 | 60 days suspension (through Dec.31, 2019) for K.P |

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| 19A-155-INS STEWART, DAVID KAY | Consent Order | | David Kay Stewart | / | 10/31/2019 | \$0.00 \$0.00 | \$750.00 |
| | No | Investigations | | | | | |
| 19A-144-INS CAUSING, NENITA B. | Consent Order | | Nenita B. Causing | / | 10/31/2019 | \$0.00 \$0.00 | Civil Money Penalty |
| | Yes | Licensing | | | | | |
| 19A-036-INS Jorgensen, Tyler Wayne | Revocation (Contested Case) | | Tyler Wayne Jorgensen | / | 10/31/2019 | \$0.00 \$0.00 | Permission to Surrender |
| | No | Fingerprint Case | | | | | |
| 19A-038-INS Ochs, Marissa M | Revocation (Contested Case) | | Marissa M Ochs | / | 11/5/2019 | \$0.00 \$0.00 | Surrender of license |
| | Yes | Fingerprint Case | | | | | |
| 18A-128-INS Kuhns, Melissa Jo | Revocation (Contested Case) | | Melissa Jo Kuhns | / | 11/7/2019 | \$0.00 \$0.00 | Respondent to submit a fingerprint card CMP |
| | No | Fingerprint Case | | | | | |
| 19A-161-INS MARCUM, GARY D | Consent Order | | Gary D Marcum | / | 11/7/2019 | \$0.00 \$0.00 | License Revoked |
| | Yes | Investigations | | | | | |

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| <i>Docket Number</i> <i>Matter Name</i> | <i>Type of Action</i> <i>Settled by</i> | | <i>Party Name</i> <i>License # / NAIC #</i> | <i>Dates:</i> <i>Order</i> <i>SS or CD</i> | <i>Fines:</i> <i>Civil Penalty</i> <i>Restitution</i> | | <i>Ordered*</i> |
|--|--|----------------|--|--|---|--|---|
| | <i>Consent</i> | <i>Source</i> | | | | | |
| 19A-158-INS Citadel Life and Health Insurance Company | Withdrawal | | Citadel Life and Health Insurance Company / 71897 | 11/13/2019 | \$0.00 \$0.00 | | Request to terminate Cof A granted. May file Articles of Dissolution (Art) w/ ACC. Release statutory deposit. File 2019 Ann Stmt unless Art filed by 12/31/19. Pay CofA renewal unless Art filed by 8/1/20. Refund \$100 IERF deposit. |
| | No | FAD | | | | | |
| 19A-159-INS SOMERSET LIFE INSURANCE COMPANY | Withdrawal | | Somerset Life Insurance Company / | 11/18/2019 | \$0.00 \$0.00 | | Request Granted |
| | No | | | | | | |
| 19A-160-INS CITCO LIFE INSURANCE COMPANY | Withdrawal | | Citco Life Insurance Company / | 11/18/2019 | \$0.00 \$0.00 | | Request to terminate granted |
| | No | Licensing | | | | | |
| 19A-163-INS MINOR, EBONEE P. | Consent Order | | Ebonee P. Minor / | 11/18/2019 | \$0.00 \$0.00 | | CMP |
| | Yes | Investigations | | | | | |
| 19A-086-INS Belfort, Alex | Revocation (Contested Case) | | Alex Belfort 16865240 / | 11/19/2019 | \$0.00 \$0.00 | | Voluntarily Surrender |
| | Yes | Investigations | | | | | |

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| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 19A-035-INS Martinez, Arlette | Revocation (Contested Case) | | Arlette Martinez 18782790 | / | 11/19/2019 | \$0.00 \$0.00 | Revocation |
| | No | Fingerprint Case | | | | | |
| 19A-034-INS Bagley, Tamauria Maschele | Revocation (Contested Case) | | Tamauria Maschele Bagley 18799382 | / | 11/19/2019 | \$0.00 \$0.00 | Revocation |
| | No | Fingerprint Case | | | | | |
| 19A-040-INS Tufts, Janie Lynn | Revocation (Contested Case) | | Janie Lynn Tufts 18792268 | / | 11/19/2019 | \$0.00 \$0.00 | Revocation |
| | No | Fingerprint Case | | | | | |
| 19A-165-INS WRIGHT, JEFFREY | Consent Order | | Jeffrey Wright | / | 11/21/2019 | \$0.00 \$0.00 | License Revoked |
| | Yes | Investigations | | | | | |
| 19A-166-INS PENNSYLVANIA LIFE INSURANCE COMPANY | Surrender of Certificate of Authority | | Pennsylvania Life Insurance Company | / | 12/2/2019 | \$0.00 \$0.00 | Surrender of Certificate of Authority |
| | No | | | | | | |
| 19A-089-INS Pittman, Dorothy Lamoure | Revocation (Contested Case) | | Dorothy Lamoure Pittman 19027314 | / | 12/2/2019 | \$100.00 \$0.00 | CMP |
| | Yes | Licensing | | | | | |

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|---|--|----------------|--|-----------------|---------------------------------|--|---|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 19A-168-INS MOUNTAIN WEST FARM BUREAU MUTUAL INSURANCE COMPANY | Surrender of Certificate of Authority | | Mountain West Farm Bureau Mutual Insuranc / | | 12/2/2019 | \$0.00 \$0.00 | Surrender of the Certificate of Authority |
| | No | FAD | | | | | |
| 19A-167-INS GREATER MISSOURI LIFE INSURANCE COMPANY | Withdrawal | | Greater Missouri Life Insurance Company / | | 12/2/2019 | \$0.00 \$0.00 | Release of the statutory deposit |
| | No | FAD | | | | | |
| 19A-079-INS Maze-Carter, DeAndre Rashawn | Revocation (Contested Case) | | DeAndre Rashawn Maze-Carter 17472653 / | | 12/2/2019 | \$0.00 \$0.00 | License Revoked |
| | No | Investigations | | | | | |
| 19A-169-INS CONTRERAS, ROBERT ARTHUR | Consent Order | | Robert Arthur Contreras / | | 12/2/2019 | \$0.00 \$0.00 | License Revoked |
| | Yes | Investigations | | | | | |
| 19A-077-INS Garcia, Roxanne | Revocation (Contested Case) | | Roxanne Garcia 7732988 / | | 12/3/2019 | \$0.00 \$0.00 | License Revoked |
| | No | Investigations | | | | | |
| 19A-175-INS LANDCAR LIFE INSURANCE COMPANY | Surrender of Certificate of Authority | | Landcar Life Insurance Company / | | 12/11/2019 | \$0.00 \$0.00 | Surrender Accepted |
| | No | FAD | | | | | |

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| 19A-177-INS BRECTOR, TODD WAYNE | Consent Order | | Todd Wayne Brecto | / | 12/12/2019 | \$5,000.00 \$0.00 | CMP |
| | Yes | | | | | | |
| 19A-171-INS NUNEZ, GABINO | Summary Suspension (Appealable Agency Action) | | Gabano Nunez | / | 12/12/2019 | \$0.00 \$0.00 | Summary Suspension |
| | No | Licensing | | | | | |
| 19A-172-INS MARIN, TOPAZIO A. | Summary Suspension (Appealable Agency Action) | | Topazio A Marin | / | 12/12/2019 | \$0.00 \$0.00 | Summary Suspension |
| | No | Licensing | | | | | |
| 19A-174-INS GALVANI, RICHARD JOHN | Summary Suspension (Appealable Agency Action) | | Richard John Galvani | / | 12/12/2019 | \$0.00 \$0.00 | Summary Suspension |
| | No | Licensing | | | | | |
| 19A-170-INS PIPPIN, CHRISTOPHER LEE | Summary Suspension (Appealable Agency Action) | | Christopher Lee Pippin | / | 12/12/2019 | \$0.00 \$0.00 | Summary Suspension |
| | No | Licensing | | | | | |
| 19A-039-INS Palmer, Chelsea Lynn | Revocation (Contested Case) | | Chelsea Lynn Palmer 18781766 | / | 12/17/2019 | \$0.00 \$0.00 | License Revoked |
| | Yes | Fingerprint Case | | | | | |

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|--|--|------------------|--------------------------|-----------------|---------------------------------|--|--|
| | <i>Consent</i> | <i>Source</i> | <i>License #</i> | <i>/ NAIC #</i> | <i>Order</i> <i>SS or CD</i> | <i>Civil Penalty</i> <i>Restitution</i> | |
| 19A-180-INS CACIOPPO, ROBERT JOSEPH | Consent Order | | Robert Joseph Cacioppo | / | 12/17/2019 | \$0.00 \$0.00 | 60 Day Suspension (starts Dec. 20, 2019) |
| | Yes | Investigations | | | | | |
| 19A-037-INS Martinez, Destyny Evelyss | Revocation (Contested Case) | | Destyny Evelyss Martinez | 18799440 / | 12/17/2019 | \$0.00 \$0.00 | License Revoked |
| | No | Fingerprint Case | | | | | |
| 19A-179-INS TAIT, LYNN ALAN | Civil Money Penalty | | Lynn Alan Tait | / | 12/17/2019 | \$250.00 \$0.00 | CMP |
| | Yes | Licensing | | | | | |

Total Civil Penalty for Period \$166,750.00

Total Restitution for Period \$5,315.33

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