

# Arizona Department of Insurance

**Orders Filed: 1/1/2013 - 12/31/2013**

<b>Docket Number</b> <b>Matter Name</b>	<b>Type of Action</b>		<b>Party Name</b>		<u>Dates:</u> <b>Order</b>	<u>Fines:</u> <b>Civil Penalty</b>	<b>Ordered*</b>
	<b>Settled by</b> <b>Consent</b>	<b>Source</b>	<b>License #</b>	<b>/ NAIC #</b>	<b>SS or CD</b>	<b>Restitution</b>	
13A-003-INS American General Life and Accident Insurance Company (NAIC 66672)	Surrender of Certificate of Authority		American General Life and Accident Insurance / 66672		1/4/2013	\$0.00	Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD				\$0.00	
13A-004-INS American General Life Insurance Company of Delaware (NAIC 66842)	Surrender of Certificate of Authority		American General Life Ins. Co. of Delaware / 66842		1/4/2013	\$0.00	Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD				\$0.00	
13A-002-INS American General Assurance Company (NAIC 68373)	Surrender of Certificate of Authority		American General Assurance Company / 68373		1/4/2013	\$0.00	Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD				\$0.00	
13A-001-INS Insura Property and Casualty Insurance Company (NAIC 38806)	Surrender of Certificate of Authority		Insura Property and Casualty Insurance Comp / 38806		1/4/2013	\$0.00	Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD				\$0.00	
13A-005-INS Western National Life Insurance Company (NAIC 70432)	Surrender of Certificate of Authority		Western National Life Insurance Company / 70432		1/4/2013	\$0.00	Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD				\$0.00	

*Report Key: SS or CD: Summary Suspension or Cease and Desist*

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	<i>Consent</i>	<i>Source</i>			<i>SS or CD</i>	<i>Restitution</i>	
13A-006-INS Educators Health Plans Health, Inc. (NAIC 12514)	Surrender of Certificate of Authority		Educators Health Plans Health, Inc.	/ 12514	1/9/2013	\$0.00 \$0.00	Surrender of CofA is accepted. Release deposits. Refund \$100 IERF deposit.
	No	FAD					
13A-009-INS John Alden Life Insurance Company (NAIC 65080)	Consent Order		John Alden Life Insurance Company	/ 65080	1/9/2013	\$90,000.00 \$0.00	Correct violations. Submit evidence of compliance w/in 90 days. Department may verify compliance. Civil money penalty of \$90,000.00. Examination and response letter shall be filed.
	Yes	MOD					
13A-007-INS Loss Ratio Standards & Prima Facie Rates for Credit Prop. Ins. & Credit Unempl. Ins.	Loss Ratio Standards and Prima Facie Rates		No parties	/	1/9/2013	\$0.00 \$0.00	Loss ratio standards remain at 50%. Prima facie rates revised - see Order. May apply for use of deviated rates. See Order for further information. Order effective January 15, 2013.
	No	Other					
13A-013-INS Union Security Insurance Company (NAIC 70408)	Consent Order		Union Security Insurance Company	/ 70408	1/10/2013	\$40,000.00 \$0.00	Correct violations. Submit evidence of compliance w/in 90 days. Department may verify compliance. Civil penalty of \$40,000.00. Examination and response letter shall be filed.
	Yes	MOD					
13A-012-INS Time Insurance Company (NAIC 69477)	Consent Order		Time Insurance Company	/ 69477	1/10/2013	\$60,000.00 \$0.00	Correct violations. Submit evidence of compliance w/in 90 days. Department may verify compliance. Civil penalty of \$60,000.00. Examination and response letter shall be filed.
	Yes	MOD					

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13A-015-INS Lee, Yvette	Consent Order		Yvette Lee 854664	/	1/14/2013	\$200.00 \$0.00	Civil penalty of \$200.00.
	Yes	Licensing					
13A-008-INS Pegasus Insurance Company, Inc., In Liquidation (OK) (NAIC 38474)	Release of Workers' Comp Deposit		Pegasus Insurance Company, In Liquidation / 38474		1/17/2013	\$0.00 \$0.00	Release WC deposit of approx. \$100,000.
	No	FAD					
13A-017-INS Westport Life Insurance Company (NAIC 62332) by David A. Dillon, Donald G. Kane II & James L. Harlin	Acquisition		David Dillon, Donald Kane II & James Harlin /		1/17/2013	\$0.00 \$0.00	Acquisition approved subject to condition. All documents are confidential. Advise of effective date of change of control. Upon acquisition, file registration statement. Failure to comply results in action against CofA..
	No	FAD					
13A-016-INS Crown Life Insurance Company (NAIC 80675)	Surrender of Certificate of Authority		Crown Life Insurance Company / 80675		1/17/2013	\$0.00 \$0.00	Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD					
12A-157-INS Gil, Juan Michael	Revocation (Contested Case)		Juan Michael Gil 39596	/	1/17/2013	\$0.00 \$0.00	May surrender credit, prop. & casualty LOAs. Acc/health & life LOAs are not surrendered.
	Yes	Licensing					
13A-011-INS Smart Insurance Company (NAIC 80055) by Smart Ins. Group Holdings, Inc.	Acquisition		Smart Insurance Group Holdings, Inc. /		1/17/2013	\$0.00 \$0.00	Acquisition approved subject to condition. All documents are confidential. Advise of effective date of change of control. Upon acquisition, file registration statement. Failure to comply results in action against CofA.
	No	FAD					

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	<i>Consent</i>	<i>Source</i>			<i>SS or CD</i>	<i>Restitution</i>	
12A-178-INS Pacific Wholesale Ins. Brokers, LLC	Final Administrative Decision		Pacific Wholesale Ins. Brokers, LLC 190355 /		1/18/2013	\$34,203.74 \$0.00	Final Administrative Decision. Pay delinquent tax (\$50,517.06) immediately. Pay civil penalties (\$34,203.74) immediately.
	No	FAD					
13A-019-INS Industrial Alliance Pacific Insurance and Financial Services Inc. (US Branch) (NAIC 84514)	Surrender of Certificate of Authority		Industrial Alliance Pacific Ins. & Financial Svc / 84514		1/23/2013	\$0.00 \$0.00	Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD					
13A-020-INS DC Roofing & Drywall, LLC	Cease and Desist (Appealable Agency Action)		DC Roofing & Drywall, LLC Unlicensed /		1/24/2013	\$0.00 \$0.00	Immediately cease & desist acting as an adjuster.
	No	Investigations					
13A-021-INS Parker, Paul Stewart	Consent Order		Paul Stewart Parker 77756 /		1/28/2013	\$250.00 \$0.00	Civil penalty of \$250. License is renewed.
	Yes	Licensing					
13A-022-INS Espinoza, Juan C.	Consent Order		Juan C. Espinoza 888469 /		1/28/2013	\$0.00 \$0.00	License revoked, effective immediately.
	Yes	Investigations					
12A-120-INS Fine, Tyson Heath and Fine Retirement Services, LLC	Revocation (Contested Case)		Tyson Heath Fine 105369 / Fine Retirement Services, LLC 877970 /		2/6/2013	\$0.00 \$0.00	Request for rehearing denied.
	No	Investigations					

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09A-051-INS Shenandoah Life Insurance Company (NAIC 68845)	Order Vacating Prior Order		Shenandoah Life Insurance Company	/ 68845	2/6/2013	\$0.00 \$0.00	April 23, 2009 Order is vacated. CofA is reinstated.
	No	FAD					
97A-167-INS American Benefit Life Insurance Company (NAIC 66001)	Order Vacating Prior Order		American Benefit Life Insurance Company	/ 66001	2/6/2013	\$0.00 \$0.00	September 26, 1997 Order is vacated. CofA is reinstated.
	No	FAD					
13A-023-INS Montoya, Miguel Angel	Consent Order		Miguel Angel Montoya	29556 /	2/7/2013	\$0.00 \$0.00	License revoked effective immediately.
	Yes	Investigations					
12A-175-INS Regulator Bail Bonds, Inc.; Wirtjes, George Myles Andrew	Cease and Desist (Appealable Agency Action)		Regulator Bail Bonds, Inc.	984892 /	2/13/2013 12/27/2012	\$0.00 \$0.00	December 27, 2012 C&D is vacated.
	No	Licensing	George Myles Andrew Wirtjes	985320 /			
13A-026-INS Whitlock, Bryan Shane	Consent Order		Bryan Shane Whitlock	199952 /	2/15/2013	\$5,000.00 \$0.00	License suspended from 2/12/13 to 3/13./13. Civil penalty of \$5,000.
	Yes	Investigations					
13A-025-INS Fairfield Insurance Company (NAIC 44784)	Surrender of Certificate of Authority		Fairfield Insurance Company	/ 44784	2/20/2013	\$0.00 \$0.00	Surrender of CofA is accepted. Release security and WC deposits. Refund EIRF deposit.
	No	FAD					

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13A-018-INS Mercer, Dennis James	License Denial (Appealable Agency Action)		Dennis James Mercer Unlicensed	/	3/8/2013	\$100.00 \$0.00	Denial withdrawn. Civil penalty of \$100. Hearing is vacated.
	Yes	Licensing					
13A-014-INS Schureman, Trent Steven and Better Choice Bail Bonds, LLC	Summary Suspension (Appealable Agency Action)		Trent Steven Schureman 980260	/	3/15/2013	\$0.00 \$19,443.00	Schureman licensed suspended effective immediately Better Choice license suspended effect immediately Resitution to Annis - \$1,900. Restitution to Young - \$8,000. Restitution to Moreno - \$2,500. Restitution to Smith - \$5,243. Restitution to Thompson - \$1,800. Return collateral to Torrez immediately.
	No	Investigations	Better Choice Bail Bonds, LLC 985876	/			
12A-121-INS Executive Professionals Insurance Consultants, PLLC and Martin, David Glenn	Revocation (Contested Case)		Executive Professionals Ins Consultants, PLL 974066	/	3/15/2013	\$2,500.00 \$0.00	Civil penalty of \$2,500. David Glenn Martin dismissed as a party. Hearing is vacated.
	Yes	Investigations	David Glenn Martin 971399	/			
13A-032-INS Milwaukee Insurance Company (NAIC 14591)	Surrender of Certificate of Authority		Milwaukee Insurance Company / 14591		3/18/2013	\$0.00 \$0.00	Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD					
12A-030-INS Randolph & Co Bail Bonds, Inc. and Randolph, Tony Ann	Revocation (Contested Case)		Randolph & Co Bail Bonds, Inc. 145821	/	3/19/2013	\$2,500.00 \$0.00	ALJ Decision certified as Final Admin Decision. Respondent's licenses suspended for 12 mos. Civil penalty of \$2,500 due in 60 days.
	No	Investigations	Tony Ann Randolph 145473	/			
			Kelby Reese Randolph 156868	/			

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13A-033-INS Howard, Laura Lynn	Consent Order		Laura Lynn Howard 979906	/	3/19/2013	\$0.00 \$26,256.01	License revoked, effective immediately. Restitution to Colonial of \$7,453.32. Restitution to AFLAC of \$18,802.69.
	Yes	Investigations					
13A-035-INS Sierra Health and Life Insurance Company, Inc. (NV) (NAIC 71420)	Retaliatory Deposit Order		Sierra Health and Life /71420		3/25/2013	\$0.00 \$0.00	Deposit and maintain retaliatory dep. w/in 30 days
	No	FAD					
13A-043-INS Horner, Stevan Nathaniel	Summary Suspension (Appealable Agency Action)		Stevan Nathaniel Horner 985758	/	3/29/2013	\$0.00 \$0.00	License summarily suspended, effective immediately
	No	Licensing					
13A-047-INS Smith, Garrett James	Summary Suspension (Appealable Agency Action)		Garrett James Smith 966645	/	3/29/2013	\$0.00 \$0.00	License summarily suspended, effective immediately
	No	Licensing					
13A-046-INS Nickle, Laura Ann	Summary Suspension (Appealable Agency Action)		Laura Ann Nickle 976797	/	3/29/2013	\$0.00 \$0.00	License summarily suspended, effective immediately
	No	Licensing					
13A-044-INS Hurtado, Carlos Luis	Summary Suspension (Appealable Agency Action)		Carlos Luis Hurtado 964696	/	3/29/2013	\$0.00 \$0.00	License summarily suspended, effective immediately
	No	Licensing					

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13A-042-INS Hockett, Michael Glen	Summary Suspension (Appealable Agency Action)		Michael Glen Hockett 966104             /	3/29/2013	\$0.00 \$0.00 License summarily suspended, effective immediately
	No	Licensing			
13A-041-INS Hedges, John Andrew	Summary Suspension (Appealable Agency Action)		John Andrew Hedges 960698             /	3/29/2013	\$0.00 \$0.00 License summarily suspended, effective immediately
	No	Licensing			
13A-040-INS Headlee, Michael Grant	Summary Suspension (Appealable Agency Action)		Michael Grant Headlee 1018787             /	3/29/2013	\$0.00 \$0.00 License summarily suspended, effective immediately
	No	Licensing			
13A-038-INS Gagnon, Joseph Henry (Jr)	Summary Suspension (Appealable Agency Action)		Joseph Henry Gagnon, Jr. 947520             /	3/29/2013	\$0.00 \$0.00 License summarily suspended, effective immediately
	No	Licensing			
13A-037-INS Corricelli, Wayne Lawrence Moon Ho	Summary Suspension (Appealable Agency Action)		Wayne Lawrence Moon Ho Corricelli 1000126             /	3/29/2013	\$0.00 \$0.00 License summarily suspended, effective immediately
	No	Licensing			
13A-036-INS Burns, John Andrew (Jr)	Summary Suspension (Appealable Agency Action)		John Andrew Burns, Jr. 961074             /	3/29/2013	\$0.00 \$0.00 License summarily suspended, effective immediately
	No	Licensing			

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13A-045-INS Lehr, Joel Brett (Sr)	Summary Suspension (Appealable Agency Action)		Joel Brett Lehr, Sr. 957576	/	3/29/2013	\$0.00 \$0.00	License summarily suspended, effective immediately
	No	Licensing					
13A-039-INS Gracia, Emilia Genieveve	Summary Suspension (Appealable Agency Action)		Emilia Genieveve Gracia 113453	/	3/29/2013	\$0.00 \$0.00	License summarily suspended, effective immediately
	No	Licensing					
13A-049-INS Fedigan, Linda Marie	Consent Order		Linda Marie Fedigan 13802	/	4/10/2013	\$500.00 \$0.00	Civil penalty of \$500.
	Yes	Investigations					
13A-051-INS Unitrin Direct Property & Casualty Company (NAIC 10915)	Consent Order		Unitrin Direct Property & Casualty Company / 10915		4/11/2013	\$18,000.00 \$0.00	Correct violations. Submit evidence of compliance w/in 90 days. Dept. shall verify compliance. Civil penalty of \$18,000. Exam and objection letter shall be filed.
	Yes	MOD					
13A-050-INS Unitrin Direct Insurance Company (NAIC 10226)	Consent Order		Unitrin Direct Insurance Company / 10226		4/11/2013	\$9,000.00 \$0.00	Correct violations. Submit evidence of compliance w/in 90 days. Dept. shall verify compliance. Civil penalty of \$9,000. Exam and objection letter shall be filed.
	Yes	MOD					
12A-155-INS Blissard, Malcolm Dean	Revocation (Contested Case)		Malcolm Dean Blissard 59230	/	4/15/2013	\$0.00 \$0.00	License revoked, effective immediately.
	Yes	Investigations					

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	<i>Consent</i>	<i>Source</i>			<i>SS or CD</i>	<i>Restitution</i>	
13A-053-INS Bird Insurance Company (NAIC 12996)	Summary Suspension (Appealable Agency Action)		Bird Insurance Company / 12996		4/17/2013	\$0.00 \$0.00	CofA summarily suspended, effective immediately No new or renewal insurance business.
	No	FAD					
13A-054-INS Ullico Casualty Company (NAIC 37893)	Summary Suspension (Appealable Agency Action)		Ullico Casualty Company / 37893		4/17/2013	\$0.00 \$0.00	CofA suspended, effective immediately. No new or renewal insurance business.
	No	FAD					
13A-055-INS The Dentists Insurance Company (CA) (NAIC 40975)	Release of Deposit		The Dentists Insurance Company / 40975		4/30/2013	\$0.00 \$0.00	Release deposit of approx. \$560,000 to Petitioner.
	No	FAD					
13A-056-INS LaScala Insurance Inc. dba Sunstate Insurance Agency and LaScala, Jeffery Thede	Consent Order		LaScala Insurance, Inc. 925743 / Jeffery Thede LaScala 47631 /		5/2/2013	\$250.00 \$0.00	Civil penalty of \$250.
	Yes	Investigations					
11A-112-INS PMI Mortgage Insurance Co. (NAIC 27251) & PMI Insurance Co. (NAIC 10287)	Supervision		PMI Mortgage Insurance Co / 27251 PMI Insurance Co / 10287		5/6/2013	\$0.00 \$0.00	Supervision Order abated as to PIC (NAIC 10287)
	No	FAD					
13A-057-INS MN Home Warranty Corp. dba Capital Home Shield	Cease and Desist (Appealable Agency Action)		MN Home Warranty Corp. / Unlicensed		5/8/2013	\$0.00 \$0.00	Immediately C&D offering service contracts. Pay all valid claims. Furnish complete listing of AZ business.
	No	Investigations					

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13A-058-INS Access Insurance Agency of Arizona, LLC dba Access Auto Insurance	Consent Order		Access Insurance Agency of Arizona, LLC 911656	/	5/8/2013	\$10,000.00	C&D using unlicensed personnel. Civil penalty of \$10,000.
	Yes	Investigations				\$0.00	
13A-034-INS National Bail Bond, LLC	Revocation (Contested Case)		National Bail Bond, LLC 995176	/	5/10/2013	\$0.00	License revoked effective immediately. Hearing is vacated.
	No	Licensing				\$0.00	
13A-059-INS Nuckles, Chad B.	Consent Order		Chad B. Nuckles 902932	/	5/10/2013	\$0.00	License revoked effective immediately. Restitution to Davis. Restitution to Morgan. Restitution to Ramos. Restitution to Jansen. Restitution to England. Restitution to Aldrich. Restitution to Fedor.
	Yes	Investigations				\$15,329.77	
13A-060-INS Geovera Security Insurance Company (NAIC 12759)	Surrender of Certificate of Authority		Geovera Security Insurance Company / 12759		5/15/2013	\$0.00	Request to terminate CofA is granted. May file Art of Dissolution (Art) w/ AGC. Release stat deposit if condition met. File 2013 Ann Strmnt if Art not filed by 12/31/13. Pay CofA renewal if Art not filed by 3/31/14. Refund \$100 IERF deposit.
	No	FAD				\$0.00	
13A-066-INS American Summit Insurance Company (NAIC 19623)	Consent Order		American Summit Insurance Company / 19623		6/6/2013	\$25,000.00	Correct violations. Submit evidence of corrections w/in 90 days. Department shall verify compliance. Civil money penalty of \$25,000. Exam and objection letter shall be filed.
	Yes	MOD				\$0.00	

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13A-067-INS Bergen, Darcy Lee	Consent Order		Darcy Lee Bergen 155178	/	6/10/2013	\$250.00 \$0.00	Civil penalty of \$250.
	Yes	Investigations					
13A-068-INS Nguyen, Eileen Thuy Ngoc	Consent Order		Eileen Thuy Ngoc Nguyen 182984	/	6/14/2013	\$1,000.00 \$0.00	Civil Penalty of \$1,000.
	Yes	Investigations					
13A-069-INS Alpha Property & Casualty Insurance Company (NAIC 38156)	Consent Order		Alpha Property & Casualty Insurance Compan / 38156		6/25/2013	\$25,600.00 \$0.00	Correct violations. Submit evidence of compliance w/in 90 days. Department may verify compliance. Civil penalty of \$25,600. File exam and objection letter.
	Yes	MOD					
13A-073-INS World Insurance Company (NAIC 70629)	Surrender of Certificate of Authority		World Insurance Company / 70629		7/2/2013	\$0.00 \$0.00	Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD					
13A-031-INS Moritomo, Michael Masayoshi	License Denial (Appealable Agency Action)		Michael Masayoshi Moritomo Unlicensed	/	7/5/2013	\$0.00 \$0.00	Rehearing request denied.
	No	Licensing					
13A-077-INS Occidental Fire & Casualty Company of North Carolina (NAIC 23248)	Consent Order		Occidental Fire & Casualty Co. of N.C. / 23248		7/10/2013	\$25,000.00 \$0.00	Correct violations. Complete self-audit w/in 90 days. Submit evidence of compliance w/in 90 days. Dept may verify compliance. Civil penalty of \$25,000. Exam and objection letter shall be filed.
	Yes	MOD					

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	<b>Settled by</b> <b>Consent</b>	<b>Source</b>	<b>License #</b>	<b>/ NAIC #</b>	<b>Order</b> <b>SS or CD</b>	<b>Civil Penalty</b> <b>Restitution</b>	
13A-076-INS Wilshire Insurance Company (NAIC 13234)	Consent Order		Wilshire Insurance Company	/ 13234	7/10/2013	\$16,000.00 \$0.00	Correct violations. Complete self-audit w/in 90 days. Submit evidence of compliance w/in 90 days. Dept may verify compliance. Civil penalty of \$16,000. Exam and objection letter shall be filed.
	Yes	MOD					
13A-078-INS Korman, Daniel Phillip dba Dan Korman & Associates	Consent Order		Daniel Phillip Korman	64282 /	7/15/2013	\$0.00 \$9,010.00	License revoked effective immediately. Restitution to Contreras.
	Yes	Investigations					
13A-079-INS Advanced Risk Solutions, Inc.	Consent Order		Advanced Risk Solutions, Inc.	Unlicensed /	7/15/2013	\$1,750.00 \$0.00	License granted effective immediately. Civil penalty of \$1,750.00.
	Yes	Licensing					
13A-072-INS National Guaranty Insurance Company (NAIC 12068)	Withdrawal		National Guaranty Insurance Company	/ 12068	7/15/2013	\$0.00 \$0.00	Req. to term CofA and transf approved if cond met. File Art of Domestication (Art) w/ ACC & NV. Release stat. dep. if cond. met. Make filings until CofA terminated. Refund \$100 IERF deposit. Supervision (12A-106-INS) is terminated. Order is vacated if Art not filed by 9/30/13.
	No	FAD					
13A-180-INS American Concept Insurance Company (NAIC 31909)	Surrender of Certificate of Authority		American Concept Insurance Company	/ 31909	7/17/2013	\$0.00 \$0.00	Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD					

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	<i>Consent</i>				<i>SS or CD</i>	<i>Restitution</i>	<i>Ordered*</i>
13A-082-INS Reassure America Life Insurance Company (NAIC 70211)	Surrender of Certificate of Authority		Reassure America Life Insurance Company	/ 70211	7/17/2013	\$0.00 \$0.00	Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD					
13A-074-INS Suntrust Insurance Company (NAIC 85995)	Withdrawal		Suntrust Insurance Company	/ 85995	7/17/2013	\$0.00 \$0.00	Request to terminate CofA is granted. File Art of Dissolution (Art) w/ ACC. Release stat dep if cond met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
	No	FAD					
13A-083-INS Montoya, Terry Michael	Consent Order		Terry Michael Montoya	908053 /	7/18/2013	\$0.00 \$41,326.54	License revoked effective immediately. Restitution to Farmers Insurance Group.
	Yes	Investigations					
13A-081-INS Acme Life Insurance Company (Ariz. No. 5597)	Withdrawal		Acme Life Insurance Company	/ AZ No. 5597	7/19/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Art of Dissolution (Art) w/ ACC. Release statutory deposit if conditions met. File 2013 Ann Stmt if Art not filed by 12/31/13. Pay CofA renewal if Art not filed by 8/1/14. Refund \$100 IERF deposit.
	No	FAD					
13A-080-INS International Credit of North America Reinsurance, Inc. (NAIC 34860)	Summary Suspension (Appealable Agency Action)		International Credit of North America Reinsura	/ 34860	7/22/2013	\$0.00 \$0.00	Arizona CofA summarily suspended. No new or renewal ins. business effective immed.
	No	FAD					

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	<i>Settled by</i> <i>Consent</i>	<i>Source</i>	<i>License #</i>	<i>/ NAIC #</i>			
13A-084-INS AAA PMSAZ Bail Bonds, LLC and Thomas, Charles Edward (Jr.)	Consent Order		AAA PMSAZ Bail Bonds, LLC 944966 Charles Edward Thomas, Jr. 942120	/  /	7/22/2013	\$2,500.00 \$6,000.00	Civil penalty of \$2,500. Restitution to Rodriguez.
	Yes	Investigations					
13A-092-INS Hebel, Crystal Lorraine	Consent Order		Crystal Lorraine Hebel 978480	/	7/26/2013	\$0.00 \$0.00	Non-resident license revoked effective immediately
	Yes	Licensing					
13A-093-INS Hansen, Jeffrey Carl	Consent Order		Jeffrey Carl Hansen 931220	/	7/29/2013	\$0.00 \$6,804.90	License revoked effective immediately. Restitution to Farmers.
	Yes	Investigations					
13A-086-INS Fox Insurance Company (NAIC 10161)	Withdrawal		Fox Insurance Company / 10161		7/29/2013	\$0.00 \$0.00	Request to term CofA is granted. May file Art of Amendment (Art) w/ ACC. Release stat dep if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
	No	FAD					
13A-087-INS Westport Life Ins. Co. (NAIC 62332) by The Plateau Group, Inc.	Acquisition		The Plateau Group, Inc. /		7/29/2013	\$0.00 \$0.00	Acquisition of Westport Life Ins. Co. is approved. All documents are confidential. Advise of effedtive date of control. Upon acquisition, file registration stmt. Failure to comply shall init. action against CofA.
	No	FAD					

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	<b>Settled by</b> <b>Consent</b>	<b>Source</b>	<b>License #</b>	<b>/ NAIC #</b>	<b>Order</b> <b>SS or CD</b>	<b>Civil Penalty</b> <b>Restitution</b>	
13A-094-INS Sanctuary Bail Bonds, LLC	Consent Order		Sanctuary Bail Bonds, LLC 977772	/	8/2/2013	\$250.00 \$0.00	Civil penalty of \$250.
	Yes	Investigations					
13A-095-INS Conway, Jeffrey Thomas	Consent Order		Jeffrey Thomas Conway 1012816	/	8/2/2013	\$2,500.00 \$0.00	License suspended from 8/1/13 to 2/1/14. Civil Money Penalty of \$2,500.
	Yes	Investigations					
13A-096-INS Crestbrook Insurance Company (OH) (NAIC 18961)	Release of Deposit		Crestbrook Insurance Company / 18961		8/12/2013	\$0.00 \$0.00	Release trust deposit to Petitioner.
	No	FAD					
13A-097-INS Independence Life and Annuity Company (DE) (NAIC 64602)	Release of Deposit		Independence Life & Annuity Co. / 64602		8/12/2013	\$0.00 \$0.00	Release trust deposit to Petitioner.
	No	FAD					
13A-098-INS Seb Trygg Life (USA) Assurance Company Limited (NAIC 89071)	Withdrawal		Seb Trygg Life (USA) Assurance Co. Ltd. / 89071		8/12/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Art. of Dissolution (Art) w/ ACC. Release stat deposit if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
	No	FAD					

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<i>Matter Name</i>	<i>Settled by</i>	<i>License # / NAIC #</i>	<i>Order</i>	<i>Civil Penalty</i>	<i>Ordered*</i>
13A-100-INS Arizona Physicians IPA, Inc. (NAIC 14566)	Withdrawal  No	Arizona Physicians IPA, Inc.. / 14566	8/23/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Articles of Amendment (Art) w/ ACC. Release statutory deposit if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
13A-101-INS Sutliff Warranty Company (NAIC 11249)	Withdrawal  No	Sutliff Warranty Company / 11249	8/23/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Articles of Dissolution (Art) w/ ACC. Release statutory deposit if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
13A-102-INS Foundation Life Insurance Company (NAIC 78409)	Withdrawal  No	Foundation Life Insurance Company / 78409	8/23/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Articles of Dissolution (Art) w/ ACC. Release statutory deposit if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
13A-104-INS Sauer, Brett Andrew	Consent Order  Yes	Brett Andrew Sauer 917777 /	8/28/2013	\$300.00 \$0.00	Civil penalty of \$300.
13A-103-INS Gomez, Jessica Marie	Consent Order  Yes	Jessica Marie Gomez 1015962 /	8/28/2013	\$0.00 \$365.00	Respondent may surrender her license. Restitution to UAIC of \$365.

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<i>Matter Name</i>	<i>Settled by</i> <i>Consent</i>	<i>Source</i>	<i>Order</i> <i>SS or CD</i>	<i>Civil Penalty</i> <i>Restitution</i>	<i>Ordered*</i>
13A-105-INS Advanced Physicians Insurance Risk Retention Group, Inc. (NAIC 12166)	Supervision Order  No	Advanced Physicians Insurance RRG, Inc. / 12166 FAD	8/29/2013	\$0.00 \$0.00	Respondent placed under Supervision. Director is applying ARS 20-169.3. Williams appointed as Supervisor. No acts listed w/out permission of Director. Cure deficiencies to abate Supervision. Director may commence Conservatorship w/in 60 days Costs charged against assets of Respondent.
13A-106-INS Houston General Insurance Exchange (NAIC 11988)	Release of Deposit  No	Houston General Insurance Exchange / 11988 FAD	9/9/2013	\$0.00 \$0.00	Release excess deposit.
13A-110-INS Rascon, Delia Felix	Consent Order  Yes	Delia Felix Rascon 866167 / Investigations	9/10/2013	\$250.00 \$413.90	Civil penalty of \$250. Restitution to UAIC of \$413.90.
13A-109-INS Cole, Daren Charles	Consent Order  Yes	Daren Charles Cole 871890 / Investigations	9/10/2013	\$350.00 \$0.00	Immediately update business address. Civil penalty of \$350 due by 10/28/13.
13A-108-INS Wirtjes, George Myles Andrew and Regulator Bail Bonds, Inc.	Summary Suspension (Appealable Agency Action)  No	George Myles Andrew Wirtjes 985320 / Regulator Bail Bonds, Inc. 984892 / Investigations	9/12/2013	\$0.00 \$11,000.00	Wirtjes license summarily suspended immediately. Regulator license summarily suspended immediately. Restitution to Collins of \$1,000. Restitution to Benson of \$10,000.

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	<i>Settled by</i> <i>Consent</i>	<i>Source</i>	<i>License #</i>	<i>/ NAIC #</i>	<i>SS or CD</i>	<i>Restitution</i>	
13A-111-INS Rossetti, Frank	Consent Order		Frank Rossetti 954982	/	9/13/2013	\$0.00 \$0.00	Respondent may surrender license.
	Yes	Investigations					
13A-089-INS PMI Reinsurance Co. (NAIC 12917)	Withdrawal		PMI Reinsurance Co. / 12917		9/17/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Articles of Amendment (Art) w/ ACC. Release stat deposit if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
	No	FAD					
13A-088-INS PMI Mortgage Guaranty Co. (NAIC 10670)	Withdrawal		PMI Mortgage Guaranty Co. / 10670		9/17/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Articles of Amendment (Art) w/ ACC. Release stat deposit if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
	No	FAD					
13A-090-INS Residential Insurance Co. (NAIC 10741)	Withdrawal		Residential Insurance Co. / 10741		9/17/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Articles of Amendment (Art) w/ ACC. Release stat. deposit if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
	No	FAD					
13A-061-INS Carillo, Marilee	Revocation (Contested Case)		Carillo, Marilee 1006410	/	9/19/2013	\$0.00 \$0.00	License revoked effective immediately. Hearing is vacated.
	No	Licensing					

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	<i>Settled by</i> <i>Consent</i>	<i>Source</i>	<i>License #</i>	<i>/ NAIC #</i>	<i>SS or CD</i>	<i>Restitution</i>	
13A-112-INS Phoenix Health Plans, Inc. (NAIC 10160) by Tenet Healthcare Corporation	Acquisition		Tenet Healthcare Corporation	/	9/24/2013	\$0.00 \$0.00	Acquisition approved subject to condition. All documents are confidential. Advise of effective date of control. Failure to comply results in administrative action.
	No	FAD					
13A-113-INS Mercury Casualty Company (NAIC 11908)	Consent Order		Mercury Casualty Company	/ 11908	9/25/2013	\$19,000.00 \$0.00	Correct violations. Submit evidence of corrections w/in 90 days. Department shall verify compliance. Civil penalty of \$19,000. Exam and objection letter shall be filed.
	Yes	MOD					
13A-117-INS Tabaka, Mark Lee dba A & A Bail Bonds	Consent Order		Mark Lee Tabaka	/	10/1/2013	\$2,500.00 \$0.00	License suspended 15 business days from 10/15/13. Civil penalty of \$2,500.
	Yes	Investigations					
13A-118-INS Hosman, Sarah Anne	Consent Order		Sarah Anne Hosman	/	10/1/2013	\$250.00 \$0.00	Civil penalty of \$250.
	Yes	Investigations					
13A-071-INS P&C Rate/Form Filing Exemptions; Comm. Non- Cancel. Restriction Exemptions; Recognized Surplus Lines	Amended Order		Arizona Department of Insurance	/	10/4/2013	\$0.00 \$0.00	Re-adopting Ex. A and B of 9/13/13 Order. Adopting Ex. C as amended. Amend Order supersedes 9/13/13 Order, same docket.
	No	Other					
13A-120-INS Camden Fire Insurance Association, The (NAIC 21946)	Surrender of Certificate of Authority		The Camden Fire Insurance Association	/ 21946	10/7/2013	\$0.00 \$0.00	Surrender of CofA is accepted. Transfer WC deposit to OIC account. Refund \$100 IERF deposit.
	No	FAD					

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13A-122-INS Houston General Insurance Company (NAIC 38849)	Surrender of Certificate of Authority		Houston General Insurance Company / 38849	10/7/2013	\$0.00 \$0.00 Surrender of CofA is accepted. Transfer WC deposit to OIC account. Refund \$100 IERF deposit.
	No	FAD			
13A-121-INS Northern Assurance Company of America, The (NAIC 38369)	Surrender of Certificate of Authority		The Northern Assurance Company of America / 38369	10/7/2013	\$0.00 \$0.00 Surrender of CofA is accepted. Transfer WC deposit to OIC account. Refund \$100 IERF deposit.
	No	FAD			
13A-119-INS Assured Guaranty Municipal Insurance Company (NAIC 10843)	Surrender of Certificate of Authority		Assured Guaranty Municipal Insurance Comp / 10843	10/7/2013	\$0.00 \$0.00 Surrender of CofA is accepted. Refund \$100 IERF deposit.
	No	FAD			
13A-125-INS Cathcart, Kelly Sue	Consent Order		Kelly Sue Cathcart 36738 /	10/8/2013	\$250.00 \$0.00 Civil penalty of \$250.
	Yes	Investigations			
13A-126-INS Conway, Jeffrey Thomas	Consent Order		Jeffrey Thomas Conway 1012816 /	10/9/2013	\$0.00 \$0.00 License revoked effective immediately.
	Yes	Investigations			
13A-128-INS Brown, Shawn Bruce	Consent Order		Shawn Bruce Brown 129245 /	10/11/2013	\$0.00 \$0.00 Update address w/in 30 days. License suspended 20 calendar days.
	Yes	Investigations			

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13A-129-INS Bird Insurance Company (NAIC 12996)	Withdrawal  No	 FAD	Bird Insurance Company / 12996	10/21/2013	\$0.00 \$0.00 Request to terminate CofA is granted. May file Articles of Dissolution (Art) w/ ACC. Release statutory deposit if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
13A-131-INS United Insurance Company (NAIC 12256)	Consent Order  Yes	MOD	United Insurance Company / 12256	11/4/2013	\$23,000.00 \$0.00 Correct all violations. Submit evidence of compliance w/in 90 days. Dept. shall verify compliance. Civil penalty of \$23,000. File Examination and Objection letter.
13A-027-INS Metropolitan Life Insurance Company (NAIC 65978)	Appeal of Assessment (Appealable Agency Action)  No	FAD	Metropolitan Life Insurance Company / 65978	11/5/2013	\$0.00 \$0.00 Findings of Fact adopted with clarifications. Conclusions of Law adopted. Appeal of 2011 & 2012 rates denied.
13A-132-INS Trace Life Insurance Company (AZ No. 5578)	Withdrawal  No	FAD	Trace Life Insurance Company / AZ No. 5578	11/13/2013	\$0.00 \$0.00 Request to terminate CofA is granted. May file Art of Dissolution (Art) w/ ACC. Release statutory deposit if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 8/1/14. Refund \$100 IERF deposit.
13A-133-INS Aetna Life Insurance Company (NAIC 60054)	Consent Order  Yes	MOD	Aetna Life Insurance Company / 60054	11/18/2013	\$246,000.00 \$0.00 Correct violations. Within 120 days perform additional tasks. Submit evidence of compliance w/in 90 days. Department may verify compliance. Civil penalty of \$246,000. File examination and response letter.

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	<i>Settled by</i> <i>Consent</i>	<i>Source</i>	<i>License #</i>	<i>/ NAIC #</i>	<i>SS or CD</i>	<i>Restitution</i>	
12A-140-INS Dano's Bail Bonds, LLC and Wallace, Nathan Fredrick	Revocation (Contested Case)		Dano's Bail Bonds, LLC 959227 / Wallace, Nathan Fredrick 957660 /		11/19/2013	\$2,000.00 \$615.00	Restitution of \$615 payable in 60 days. Civil penalty of \$2,000 payable in 60 days.
	No	Investigations					
13A-140-INS Arizona National Life Insurance Company (NAIC 60018)	Withdrawal		Arizona National Life Insurance Company / 60018		12/3/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Articles of Amendment (Art) w/ ACC. Release statutory deposit if conditions met. File 2013 Ann Stmt if Art not filed by 12/31/13. Pay CofA renewal if Art not filed by 3/31/14. Refund \$100 IERF deposit.
	No	FAD					
13A-144-INS Better Life and Health Insurance Company (NAIC 60032)	Withdrawal		Better Life and Health Ins. Co. / 60032		12/3/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Articles of Amendment (Art) w/ ACC. Release statutory deposit if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
	No	FAD					
13A-143-INS Evergreen Life Insurance Company (NAIC 83232)	Release of Deposit		Evergreen Life Insurance Company / 83232		12/3/2013	\$0.00 \$0.00	Release excess deposit to Petitioner.
	No	FAD					
13A-142-INS PNW Insurance Group, Inc. (NAIC 14464)	Withdrawal		PNW Insurance Group, Inc. / 14464		12/3/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Articles of Dissolution (Art) w/ ACC. Shall provide ACC certified copy of filed Art. File 2013 Ann Rpt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 3/31/14. Refund \$100 IERF deposit.
	No	FAD					

Report Key: SS or CD: Summary Suspension or Cease and Desist

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<i>Docket Number</i> <i>Matter Name</i>	<i>Type of Action</i>		<i>Party Name</i>		<i>Dates:</i> <i>Order</i>	<i>Fines:</i> <i>Civil Penalty</i>	<i>Ordered*</i>
	<i>Settled by</i> <i>Consent</i>	<i>Source</i>	<i>License #</i>	<i>/ NAIC #</i>	<i>SS or CD</i>	<i>Restitution</i>	
13A-141-INS Mountain National Life Insurance Company (NAIC 85782)	Withdrawal		Mountain National Life Insurance Company	/ 85782	12/3/2013	\$0.00 \$0.00	Request to terminate CofA is granted. May file Articles of Dissolution (Art) w/ ACC. Release statutory deposit if conditions met. File 2013 Ann Stmt unless Art filed by 12/31/13. Pay CofA renewal unless Art filed by 8/1/14. Refund \$100 IERF deposit.
	No	FAD					
13A-075-INS Garcia, Jamie Francisco	Revocation (Contested Case)		Jamie Francisco Garcia	1033212 /	12/6/2013	\$0.00 \$0.00	Respondent may surrender his non-resident license
	Yes	Licensing					
13A-154-INS PMI Mortgage Assurance Co. (NAIC 18732) by Arch U.S. MI Services, Inc.	Acquisition		Arch U.S. MI Services, Inc.	/	12/11/2013	\$0.00 \$0.00	Acquisition is approved subject to condition. All documents are confidential. Advise of eff. date of change of control. Upon acq. File registration form. Non-compliance will result in admin. action.
	No	FAD					
13A-145-INS O'Garro, Earl O.	Summary Suspension (Appealable Agency Action)		Earl O. O'Garro	995039 /	12/11/2013	\$0.00 \$0.00	License summarily suspended effective immediately.
	No	Licensing					
13A-116-INS Marquez, Samuel	Refuse to Renew (Appealable Agency Action)		Samuel Marquez	938899 /	12/20/2013	\$0.00 \$0.00	Dept refuses to renewal ins. producer's license.
	No	Licensing					
13A-156-INS Educators Life Insurance Company of America (NAIC 62790)	Release of Deposit		Educators Life Insurance Company of America	/ 62790	12/20/2013	\$0.00 \$0.00	Release excess deposit upon receipt of form.
	No	FAD					

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<b>Docket Number</b> <b>Matter Name</b>	<b>Type of Action</b> <i>Settled by</i> <b>Consent</b> <b>Source</b>		<b>Party Name</b> <b>License #</b> <b>/ NAIC #</b>		<b>Dates:</b> <b>Order</b> <b>SS or CD</b>	<b>Fines:</b> <b>Civil Penalty</b> <b>Restitution</b>	<b>Ordered*</b>
13A-114-INS Madden, Steven Michael	License Denial (Appealable Agency Action)		Steven Michael Madden Unlicensed      /		12/20/2013	\$0.00 \$0.00	Application for ins producer's license is denied.
	No	Licensing					
13A-155-INS Valley Assurance Company (AZ No. 3298)	Withdrawal		Valley Assurance Company / AZ No. 3298		12/20/2013	\$0.00 \$0.00	Request to terminate CofA is granted. File Articles of Dissolution (Art) w/ ACC. Provide ACC certified copy of filed Art. File 2014 Ann Rpt unless Art filed by 8/31/14. Pay 2015 CofA Renewal unless Art filed by 11/30/14 Refund \$100 IERF deposit.
	No	FAD					
13A-157-INS Sterling Grant & Associates, LLC; Milne, John Leroy	Consent Order		Sterling Grant & Associates, LLC 81048      / John Leroy Milne 479609      /		12/23/2013	\$0.00 \$286,567.86	Licenses revoked effective immediately. Pay restitution as ordered. Provide proof of restitution to Department.
	Yes	Investigations					
13A-158-INS Jameson Ins., Inc. (A KY Corp.) (NAIC 14576) into Jameson Ins., Inc. (An AZ Corp.) (NAIC 15328)	Merger		Jameson Insurance, Inc. (An Arizona Corpora / 15328		12/23/2013	\$0.00 \$0.00	Agreement and Plan of Merger is approved. Pet. may file Articles of Merger (Art) w/ ACC. Provide Dept w/ ACC cert copy of filed Art. File certified approval by KY DOI.
	No	FAD					
13A-160-INS Zucchini Insurance Agency, Inc. and Zucchini, Marcy Lynne	Consent Order		Zucchini Insurance Agency, Inc. 135044      / Marcy Lynne Zucchini 45488      /		12/24/2013	\$0.00 \$82,834.76	Dept accepts surrender of licenses. Immediately make restitution to Allstate. Respondents are jointly and severally liable.
	Yes	Investigations					

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<i>Docket Number</i>	<i>Type of Action</i>	<i>Party Name</i>	<i>Dates:</i>	<i>Fines:</i>	
<i>Matter Name</i>	<i>Settled by</i> <i>Consent</i>	<i>Source</i>	<i>License #</i> <i>/ NAIC #</i>	<i>Order</i> <i>SS or CD</i>	<i>Civil Penalty</i> <i>Restitution</i> <i>Ordered*</i>
12A-177-INS Ace Public Adjusters, LLC; Boyle, Vincent Joseph & Mostak, Michael Blaise	Revocation (Contested Case)	Ace Public Adjusters, LLC 1023311     /  Viincent Joseph Boyle 1015076     /  Michael Blaise Mostak Unlicensed     /	12/27/2013	\$0.00  \$0.00	Allegations against Mostak dismissed.  Respondent Ace license revoked eff immediately.  Respondent Boyle license revoked eff immediately.
13A-124-INS Urry, Shane Willard	License Denial (Appealable Agency Action)	Shane Willard Urry Unlicensed     /	12/30/2013	\$0.00  \$0.00	Petitioner's application denied.
	No     Licensing				
13A-115-INS Grosseto, Teagin Kristoffer	License Denial (Appealable Agency Action)	Teagin Kristoffer Grosseto Unlicensed     /	12/30/2013	\$0.00  \$0.00	Petitioner's application denied.
	No     Licensing				
<b>Total Civil Penalty for Period</b>				\$666,253.74	
<b>Total Restitution for Period</b>				\$505,966.74	

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