

COMPANY ACTIONS

NEW LICENSES ISSUED

Domestic Companies

Company Name	NAIC #	Effective Date	Type
1. ALPHA INSURANCE COMPANY LTD.	N/A	11/20/06	Captive Insurer
2. CLEAR LAKE INSURANCE, INC.	N/A	10/11/06	Captive Insurer
3. ESCOBA INSURANCE COMPANY	N/A	10/17/06	Captive Insurer
4. FORT WAYNE MEDICAL ASSURANCE COMPANY, RISK RETENTION GROUP	12625	10/05/06	Risk Retention Group
5. HOME INTEGRITY INSURANCE COMPANY	N/A	10/27/06	Captive Insurer
6. QCS INSURANCE COMPANY	N/A	10/11/06	Captive Insurer
7. UNIVERSAL PRUDENTIAL REINSURANCE CORPORATION	N/A	09/27/06	Captive Insurer

Foreign Companies

Company Name	State of Domicile	NAIC #	Effective Date	Type
1. AMERICAN CONTINENTAL INSURANCE COMPANY	TN	12321	12/13/06	Life & Disability Insurer
2. AMERICAN SOUTHERN INSURANCE COMPANY	KS	10235	11/13/06	Property & Casualty Insurer
3. COMPWEST INSURANCE COMPANY	CA	12177	10/12/06	Casualty Insurer
4. MERITPLAN INSURANCE COMPANY	CA	24821	12/20/06	Property & Casualty Insurer
5. NAU COUNTRY INSURANCE COMPANY	MN	25240	10/10/06	Property & Casualty Insurer
6. NMHC GROUP SOLUTIONS INSURANCE, INC.	DE	12630	12/21/06	Disability Insurer
7. PLANS' LIABILITY INSURANCE COMPANY	OH	26794	11/13/06	Casualty Insurer
8. RANCHERS AND FARMERS INSURANCE COMPANY	TX	11853	10/11/06	Property & Casualty Insurer
9. SILVERSCRIPT INSURANCE COMPANY	TN	12575	10/24/06	Disability Insurer
10. SURETEC INSURANCE COMPANY	TX	10916	12/13/06	Casualty Insurer
11. UNIVERSAL FIDELITY LIFE INSURANCE COMPANY	OK	70122	11/16/06	Casualty Insurer
12. UNIVERSAL UNDERWRITERS OF TEXAS INSURANCE COMPANY	TX	40843	11/13/06	Property & Casualty Insurer
13. VERLAN FIRE INSURANCE COMPANY	MD	10815	10/11/06	Property & Casualty Insurer

Risk Retention Groups Registered

Company Name	State of Domicile	NAIC #	Effective Date
1. VEHICULAR SERVICE INSURANCE COMPANY, RISK RETENTION GROUP	OK	11063	11/22/06
2.			

Service Company Permits (A.R.S. 20-1095, et seq.)

Company Name	State of Domicile	Effective Date
1. NICOR ENERGY SERVICES COMPANY	DE	10/5/06
2. ALLIANCE HOME WARRANTY, INC.	UT	10/31/06

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3.

Third Party Administrators (TPA)

Company Name	State of Domicile	Effective Date
1. SRI ADMINISTRATORS, INC.	IN	10/10/06
2. FARMINGTON ADMINISTRATIVE SERVICES INC	CT	10/10/06
3. ALLIED ADMINISTRATORS, INC.	CA	10/16/06
4. AUTOMATED BENEFIT SERVICES, INC.	MI	10/16/06
5. HERBERT V. FRIEDMAN, INC.	NY	12/18/06

Utilization Review Agents

Company Name	State of Domicile	Effective Date
NO ACTIVITY THIS QUARTER		

CHANGE OF AUTHORITY

Company Name	State of Domicile	NAIC #	Effective Date	Change
1. CENTRAL PENNSYLVANIA LIFE INSURANCE COMPANY	AZ	91944	12/14/06	Converted to an Unaffiliated Credit Life/Disability Reinsurer
2. CONTINENTAL NATIONAL INDEMNITY COMPANY	OH	28258	10/02/06	Granted Workers' Compensation
3. FIDELITY AND GUARANTY LIFE INSURANCE COMPANY	MD	63274	10/10/06	Granted Variable Annuities & Variable Life
4. IDS PROPERTY CASUALTY INSURANCE COMPANY	WI	29068	11/13/06	Granted Disability
5. LCS-WESTMINSTER PARTNERSHIP IV LLP dba SAGEWOOD	IA	N/A	11/22/06	Granted Life Care Provider Permit
6. MONUMENTAL LIFE INSURANCE COMPANY	MD	66281	12/20/06	Granted Variable Annuities

NAME CHANGES

Company Name	State of Domicile	NAIC#	Effective Date
1. ALEA NORTH AMERICA SPECIALTY INSURANCE COMPANY (to) PRAETORIAN SPECIALY INSURANCE COMPANY	DE	44776	10/02/06
2. CHARITABLE SERVICE PROVIDERS RISK RETENTION GROUP (to) CHARITABLE SERVICE PROVIDERS RECIPROCAL RISK RETENTION GROUP	AZ	12167	12/06/06
3. LCS-WESTMINSTER PARTNERSHIP IV LLP dba EL PASO (to) LCS-WESTMINSTER PARTNERSHIP IV LLP dba SAGEWOOD	IA	N/A	11/22/06

ARIZONA REDOMESTICATIONS

Company Name	NAIC #	Effective Date	State of Domicile From	To
NO ACTIVITY THIS QUARTER				

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ACQUISITIONS/MERGERS/WITHDRAWALS

Acquisitions of Arizona Companies

Company Name	NAIC #	Date Order Filed	Acquired By
1. OLD UNITED LIFE INSURANCE COMPANY	76007	12/29/06	The Van Tuyl Family 2006 Irrevocable Trust FBO Larry Van Tuyl
2. REPUBLIC-VANGUARD INSURANCE COMPANY	40479	11/16/06	Delek Group Ltd., Delek Investment and Properties LTD., Delek Capital, Ltd., Arrow Capital US Inc., and Arrow Subsidiary Corporation
3. ROCKFORD LIFE INSURANCE COMPANY	60008	10/23/06	Gerald W. Schwartz, Onex Corporation, Warrior Holding Corp., and Warrior Acquisition Corp.

Mergers involving Arizona Companies

Company Name Merged Into	State of Domicile	NAIC #	Date Order Filed
1. AMERICAN PARTNERS LIFE INSURANCE COMPANY (Into) IDS LIFE INSURANCE COMPANY	AZ MN	93653 65005	11/16/06
2. CONCORD NATIONAL LIFE INSURANCE COMPANY (Into) CONCORD LIFE INSURANCE COMPANY	IN AZ	78654 73482	11/07/06
3. OLD WEST ANNUITY & LIFE INSURANCE COMPANY (Into) GREAT AMERICAN LIFE INSURANCE COMPANY	AZ OH	76791 63312	12/29/06

Withdrawals from Arizona

Company Name	State of Domicile	NAIC #	Date Order Filed
1. DOBCO LIFE INSURANCE COMPANY	AZ	86851	12/05/06
2. FAIRLANE LIFE INSURANCE COMPANY	AZ	72400	12/05/06
3. FINANCIAL BENEFIT LIFE INSURANCE COMPANY	KS	98213	12/14/06
4. IRWIN UNION CREDIT INSURANCE CORP.	AZ	83267	12/22/06
5. MEMBER SERVICE LIFE INSURANCE COMPANY	OK	88420	11/16/06
6. MENLO LIFE INSURANCE COMPANY	AZ	71749	12/15/06
7. POMOCO LIFE INSURANCE COMPANY, INC.	AZ	72150	12/15/06
8. SAGE LIFE ASSURANCE OF AMERICA, INC.	DE	93246	12/1/06

SUPERVISIONS/RECEIVERSHIPS

Company Name	State of Domicile	NAIC#	Effective Date	Action Taken
NO ACTIVITY THIS QUARTER				

MARKET CONDUCT EXAM REPORTS/ORDERS

Company Name Findings	State of Domicile	NAIC #	Date Filed	Civil Penalty	Restitution + Interest
1. Principal Life Insurance Company	IA	61271	10/25/06	0	0

Company procedures appear to be in compliance with "Free Look" provisions and replacement statutes.

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2. Pruco Life Insurance Company	AZ	79227	10/25/06	0	0
Company procedures appear to be in compliance with "Free Look" provisions and replacement statutes.					
3. Prudential Insurance Company of America	NJ	68241	10/25/06	0	0
Company procedures appear to be in compliance with "Free Look" provisions and replacement statutes.					
4. AMEX Assurance Company	IL	27928	11/29/06	0	3,595.11
The Company failed to pay the appropriate ACV to one first party and failed to pay the appropriate tax amount on two third party automobile paid claims.					
5. Pacific Life Insurance Company	NE	67466	11/30/06	0	0
Company procedures appear to be in compliance with "Free Look" provisions and replacement statutes.					
6. Northwestern Mutual life Insurance Company	WI	67091	11/30/06	0	0
Company procedures appear to be in compliance with "Free Look" provisions and replacement statutes.					
7. Farmers Insurance Exchange	CA	21652	12/06/06	15,000.00	0
Failure to provide a Summary of Rights in the event of an adverse underwriting decision.					
8. Safeco Insurance Co. of Illinois	IL	39012	12/07/06	0	
Company procedures appear in compliance with applicable statutes and rules regarding surcharges, transfers, and non-renewals and use of comparative negligence processes.					
9. Hartford Insurance Company of the Midwest	IN	37478	12/14/06	0	0
Company procedures appear in compliance with applicable statutes and rules regarding surcharges, transfers, and non-renewals and use of comparative negligence processes.					
10. Twin City Fire Insurance Company	IN	29459	12/14/06	0	0
Company procedures appear in compliance with applicable statutes and rules regarding surcharges, transfers, and non-renewals and use of comparative negligence processes.					
11. Lincoln Benefit Life Company	NE	65595	12/15/06	0	0
Company procedures appear to be in compliance with "Free Look" provisions and replacement statutes.					
12. Thrivent Financial for Lutherans	WI	56014	12/15/06	0	0
Company procedures appear to be in compliance with "Free Look" provisions and replacement statutes.					
13. Nationwide Assurance Company	WI	10723	12/20/06	10,000.00	303.88
Company failed to provide the minimum 7 day grace period for policies cancelled for non-payment of premium, used claim forms that failed to contain the required fraud warning notice, and failed to identify the appropriate insuring company on claim forms and correspondence.					

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SUSPENSIONS/REINSTATEMENTS

Company Name	State of Domicile	NAIC #	Effective Date	Action
1. CNP INSURANCE COMPANY	AZ	27938	12/14/06	Certificate of Authority Revoked

OTHER DISCIPLINARY ACTIONS

Company Name NAIC #	Allegation	Disposition
1. 06A-059 CNP Insurance Company (27938)	Failure to file an Annual Report with the Director; Failure to file its Annual Registration Statement; unsound condition or such a condition as to render the further transaction of insurance in this state hazardous to it's policyholders or to the people of this state	12/14/2006 Order Summarily Revoking Certificate of Authority and Notification of Rights.

PRODUCERS AND OTHER LICENSEES DISCIPLINED

Cause No. Name City – State	Allegation	Disposition
1. 06A-207 Kenneth Duane Feldhacker	Using fraudulent or dishonest practices and/or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business; Forging another's name to any document related to an insurance transaction	10/26/2006 Consent Order License Revocation
2. 06A-205 Ronald Neil Cookler	Attempting to obtain a license through misrepresentation or fraud	10/25/2006 Consent Order 500.00 Civil Penalty
1. 06A-1777 Mari Matis Bishop	Failed to submit full set of fingerprints to the department, provided incomplete information in the license application	10/19/2006 Consent Order License Revocation
2. 06A-097 Donald James Demarest, dba Better Bail Bonds, Don's Bail Bonds, International Bonding, Bail One Bail Bonds, City Hall Bail Bonds, Economy Bail Bonds, Active Bail Bonds and Ebony Bail Bonds	Conducting business without a license; improperly withholding monies received in the course of doing bail bond business; permitting a non-licensed person to negotiate bail transactions; failing to maintain a daily bond register; collecting monies for other than actual and reasonable expenses; failing to provide a copy of the bail bond agreement to the arrestee of their representative and failing to return collateral after the exoneration of a bail bond	10/02/2006 Consent Order License Revocation 5,000.00 Civil Penalty
3. 06A-210 Carlos A. Palomo-Torres	Violation of the requirement that each bail bond agent maintain in force while license, a bond in favor of this State executed by surety insurer authorized to do business in this State.	11/02/2006 Order and Notice of Opportunity for Hearing License Summarily Suspended
4. 06A-129 Ryne Matthew McCarty	Failed to submit full set of fingerprints to the department, provided incomplete information in the license application	11/7/2006 Order License Revocation
5. 06A-217 Randolph & Company Bail Bonds, Inc.	Violation of charging and collecting from clients, extra bond fees and posting fees labeled as "travel expenses to local jails".	11/16/2006 Consent Order Cease and Desist 1,500.00 Civil Penalty
6. 06A-186 Robert Neal Shearburn, Sr.	Attempted to obtain license through misrepresentation or fraud, improperly withheld or misappropriated monies Using fraudulent or dishonest practices and/or demonstrating incompetence, untrustworthiness, or financial	11/21/2006 Order License Revocation

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	irresponsibility in the conduct of business; failed to report a change of address and failed to report administrative action taken against him by the California Department of Corporations.	
7. 06A-223 Lisa Marie Freeman	Violation of the requirement that each bail bond agent maintain in force while license, a bond in favor of this State executed by surety insurer authorized to do business in this State.	11/29/2006 Order and Notice of Opportunity for Hearing License Summarily Suspended
8. 06A-239 Roger Warren Webb and Lighthouse Bail Bonds, LLC	Forging another's name to any document related to an insurance transaction; charging or collecting monies for other than to pay premium at the rates that are established by the surety insurer and that are approved by the Director, to provide collateral, or to be reimbursed for actual and reasonable expenses incurred in connection with the individual bail transaction.	12/29/2006 Consent Order 500.00 Civil Penalty
9. 06A-237 David Allan Skjerven	Using fraudulent or dishonest practices and/or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business; failure to be currently licensed as a resident and in good standing in the person's home state	12/28/2006 Consent Order License Revocation
10. 06A-198 Cory McKay Brian	Failure to provide a written answer to the allegation set forth in the Notice	12/5/2006 Finding of Fact, Conclusions of Law and Order License Revocation
