



**Financial Affairs Division
Arizona Department of Insurance**

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**AUDITED FINANCIAL REPORT INTERNAL CONTROL FILINGS TRANSMITTAL FORM
DUE **AUGUST 1****

GENERAL INSTRUCTIONS:

In addition to your Audited Financial Report (AFR), you are required to file with us internal control documents that are prepared in accordance with the NAIC Annual Financial Reporting Model Regulation.

THIS E-AFR.IC TRANSMITTAL FORM MUST BE COMPLETED AND ATTACHED TO THE INTERNAL CONTROL DOCUMENTS THAT ARE FILED WITH US BY **AUGUST 1:**

- **Management's Report of Internal Control Over Financial Reporting** – Must comply with Section 17 of the NAIC Annual Financial Reporting Model Regulation.

A penalty fee of up to \$25 per day may be assessed for any part of your AFR filing that is filed late. ARS § 20-223.

SPECIAL INSTRUCTION FOR COMPANIES FILING CONSOLIDATED REPORTS:

Each company in the group must separately file a copy of each internal control document with us along with a transmittal **Form E-AFR.IC** attached.

IMPORTANT – ENTER THE CALENDAR YEAR OF THE ATTACHED FILING: _____

Enter the NAIC number and name of the insurance company whose individual internal control document is attached or on whose behalf a copy of a consolidated internal control document is attached.

NAIC # _____ **Name of Insurance Company** _____

Contact Name

Title

Email Address

Telephone Number