



**Captive Insurance Division
Arizona Department of Insurance**

100 North 15th Avenue, Suite 102, Phoenix, Arizona 85007-2624
Phone: (602) 364-4490 | Web: <https://insurance.az.gov>

CAPTIVE INSURER ANNUAL REPORT AND RENEWAL FEE TRANSMITTAL

File your annual report using the Captive Insurer Annual Report web portal, accessible from <https://insurance.az.gov/insurers/financial-reporting-domestic-entities/captive-insurer>

INFORMATION ABOUT THE CAPTIVE INSURER

Company Name			NAIC #	
Home Office Street Address		City	State	ZIP Code
On what date each year does the insurer's fiscal year end? ▶	Month	Day		

INFORMATION ABOUT THE PREPARER

Preparer's Name		Title	Area Code & Phone Number	
Preparer's Email Address			Fax Number	
Preparer's Mailing Address		City	State	ZIP Code

REQUIREMENTS CHECKLIST (submit payment by mail or electronically through the portal; submit all other required reporting documents through the portal no later than the applicable due date)

<input type="checkbox"/> \$5,500.00 (Pay Code 87) Certificate of Authority Renewal Fee ●Make payable to Arizona Department of Insurance . ●Write the license/NAIC number in the memo section of the payment or on the payment stub. ●Mail payment to the address at the top of this form. Payment may also be made via e-check or credit card through the Captive Insurer Annual Report web portal.
<input type="checkbox"/> Captive Insurer Annual Report Jurat Page signed by two officers of the captive insurer and the captive manager and submitted as an Adobe Acrobat PDF file.
<input type="checkbox"/> Captive Insurer Annual Report , submitted as a Microsoft Excel workbook
Actuarial Opinion: Adobe Acrobat PDF file of one of the following: <input type="checkbox"/> Actuarial opinion (including Exhibits A and B) OR <input type="checkbox"/> exemption reason OR <input type="checkbox"/> requirement waiver reason
<input type="checkbox"/> Actuarial Opinion Summary (if not exempt or waived): Adobe Acrobat PDF file
<input type="checkbox"/> Management Discussion and Analysis – original plus one printed copy
<input type="checkbox"/> Form E-178: Certificate of Disclosure
<input type="checkbox"/> Annual audited financial report , unless exempt or waived <input type="checkbox"/>
<input type="checkbox"/> Most recent financial statements of the captive's parent

IMPORTANT: Any captive insurer failing to file its annual report or pay its annual renewal fee on or before the due date is subject to financial penalties and suspension of its Arizona Certificate of Authority pursuant to A.R.S. §20-1098.07.