



**Captive Insurance Division
Arizona Department of Insurance**

100 North 15th Avenue, Suite 102, Phoenix, Arizona 85007-2624
Phone: (602) 364-4490 | Web: <https://insurance.az.gov>

CAPTIVE RRG – ANNUAL REPORT AND RENEWAL FEE TRANSMITTAL

Submit certain required annual filings using the Captive Insurer Annual Report web portal at:
<https://insurance.az.gov/insurers/financial-reporting-domestic-entities/captive-insurer>

INFORMATION ABOUT THE RISK RETENTION GROUP

Company Name			NAIC #	
Home Office Street Address		City	State	ZIP Code
On what date each year does the insurer's fiscal year end? ▶	Month	Day		

INFORMATION ABOUT THE PREPARER

Preparer's Name		Title	Area Code & Phone Number	
Preparer's Email Address			Fax Number	
Preparer's Mailing Address		City	State	ZIP Code

REQUIREMENTS CHECKLIST (submit payment by mail or electronically through the portal; submit all other documents not filed directly with the NAIC through the AZDOI filing portal unless noted otherwise below)

<input type="checkbox"/> \$5,500.00 (Pay Code 87) Certificate of Authority Renewal Fee ●Make payable to Arizona Department of Insurance . ●Write the license/NAIC number in the memo section of the payment or on the payment stub. ●Mail payment to the address at the top of this form. Payment may also be made via e-check or credit card through the Captive Insurer Annual Report web portal.
<input type="checkbox"/> RRG Annual Statement , submitted electronically directly to the NAIC
<input type="checkbox"/> RRG Annual Statement Jurat Page , signed by two officers of the captive insurer and the captive manager, submitted via the portal (also applicable for quarterly filings)
<input type="checkbox"/> Risk Based Capital Report , submitted electronically to the NAIC
<input type="checkbox"/> Actuarial Opinion , submitted electronically to the NAIC, unless exempt or waived <input type="checkbox"/>
<input type="checkbox"/> Actuarial Opinion Summary , submitted via the portal, unless exempt or waived <input type="checkbox"/>
<input type="checkbox"/> Management Discussion and Analysis , submitted electronically to the NAIC
<input type="checkbox"/> Form E-178: Certificate of Disclosure , submitted via the portal
<input type="checkbox"/> Annual audited financial report , submitted to the NAIC, unless exempt or waived <input type="checkbox"/>
<input type="checkbox"/> Holding Company filings if applicable (e.g. Form B, C, F, etc.) , submitted via e-mail to financialfilings@azinsurance.gov
<input type="checkbox"/> Annual disclosure regarding RRG Board independence (A.R.S. 20-2402.C.2)

IMPORTANT: Any risk retention group failing to file its annual report or pay its annual renewal fee on or before the due date is subject to financial penalties and suspension of its Arizona Certificate of Authority pursuant to A.R.S. §20-1098.07.