

State of Arizona Budget Request

State Agency

Department of Insurance

A.R.S. Citation: § 20-101

Appropriated Funds

| FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total Budget |
|-----------------------|------------------------|-------------------------|
| 5,824.3 | 0.0 | 5,824.3 |
| 5,824.3 | 0.0 | 5,824.3 |

Total Amount Requested:

General Fund

Governor DUCEY:

This and the accompanying budget schedules, statements and explanatory information constitute the operating budget request for this agency for Fiscal Year 2018.

To the best of my knowledge all statements and explanations contained in the estimates submitted are true and correct.

Agency Head: **Leslie R. Hess**

Title: **Interim Director**

Non-Appropriated Funds

| FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total Budget |
|-----------------------|------------------------|-------------------------|
| 20,405.0 | 79,325.7 | 99,730.7 |
| 836.0 | (669.9) | 166.1 |
| 4,159.9 | 0.0 | 4,159.9 |
| 12,944.8 | 0.0 | 12,944.8 |
| 1,083.4 | 80,000.0 | 81,083.4 |
| 0.5 | 0.0 | 0.5 |
| 398.6 | 0.0 | 398.6 |
| 308.8 | 0.0 | 308.8 |
| 586.9 | 0.0 | 586.9 |
| 4.4 | (4.4) | 0.0 |
| 81.7 | 0.0 | 81.7 |

Total Amount Planned:

Federal Grant Fund
Insurance Examiners Revolving Fund
Arizona Property and Casualty Insurance Guarantee Fund
Life and Disability Insurance Guaranty Fund
Assessment Fund for Voluntary Plans Fund
Captive Insurance Regulatory/supervision Fund
Health Care Appeals Fund
Financial Surveillance Fund
IGA and ISA Fund
Receivership Liquidation Fund

(signature)

Phone: **(602) 364-3100**

Prepared By: **Scott B. Greenberg**

Email Address: **sgreenberg@azinsurance.gov**

Date Prepared: **Wednesday, August 31, 2016**

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|--------------|
| Fund: | 1000 | General Fund |
|--------------|------|--------------|

| AFIS Code | Category of Receipt and Description | FY 2016 | FY 2017 | FY 2018 |
|--------------------|---|-----------|-----------|-----------|
| 4192 | INSURANCE PREMIUM TAX | 494,089.2 | 485,627.1 | 484,100.0 |
| 4314 | FILING FEES | 536.0 | 532.0 | 528.0 |
| 4339 | OTHER FEES AND CHARGES FOR SERVICES | 12.1 | 12.0 | 12.0 |
| 4372 | PUBLICATIONS AND REPRODUCTIONS | 26.3 | 26.0 | 26.0 |
| 4415 | OCCUPATIONAL AND PROFESSIONAL LICENSES | 8,802.7 | 8,805.6 | 8,835.0 |
| 4417 | REGULATORY LICENSES | 642.2 | 620.0 | 600.0 |
| 4449 | OTHER FEES | 1,834.6 | 1,800.0 | 1,800.0 |
| 4511 | COURT ASSESSMENTS | 94.9 | 100.0 | 100.0 |
| 4512 | RESTITUTION | 2.9 | 0.0 | 0.0 |
| 4519 | OTHER FINES OR FORFEITURES OR PENALTIES | 636.4 | 500.0 | 500.0 |
| 4645 | CREDIT CARD DISCOUNT FEES PAID | (3.0) | (3.0) | (3.1) |
| 4647 | CREDIT CARD PROCESSING FEES PAID | (0.9) | (0.9) | (1.0) |
| 4699 | MISCELLANEOUS RECEIPTS | 105.9 | 100.0 | 100.0 |
| Fund Total: | | 506,779.3 | 498,118.8 | 496,596.9 |

Revenue Schedule

| |
|-------------------------------------|
| Agency: IDA Department of Insurance |
|-------------------------------------|

Revenue Schedule

Agency: IDA Department of Insurance

Fund: 1000 General Fund

Justification: TAXES

4192 - INSURANCE PREMIUM TAX: See "Insurance Premium Tax Forecast," starting on the following page.

FEES

**** IMPORTANT REVENUE FORECAST ASSUMPTION ****

Estimates assume that ARS § 20-167(F), which provides for fee schedule reductions if revenues exceed 110% of the Department's General Fund appropriation, will continue to be suspended or will be otherwise amended to prevent reductions to unit fee amounts.

During FY 2016, the Department collected \$11,585,657 in fee and assessment revenue that would be included in the fee-schedule calculation. For FY 2017, the Department's General Fund appropriation is \$5,824,300, making the revenue-to-appropriation ratio 198.9%. This means that without a change to, or suspension of, the existing statutes, the Department would be required to adjust its fee schedule and insurance fraud assessments so that beginning in FY 2018, the Department would collect between \$5,333,085 (95% of the Department's FY 2017 appropriation) and \$6,406,730 (110% of the Department's FY 2017 appropriation). Absent legislation, the Department will need to institute a fee schedule decrease that would decrease General Fund revenue by \$5.2- to \$6.2-million.

4314 - FILING FEES: Estimates assume small reductions to the number of annual statements filed, consistent with the trend during the past several years.

4415 - OCCUPATIONAL & PROFESSIONAL LICENSE: Estimates are based on the following:

a. FY 2017 and FY 2018 licenses issued are calculated to be 3% over the average number of licenses issued during the three preceding years.

b. Licenses to be renewed are based on the assumption that 61.2% of licenses scheduled to expire during FY 2017 will be renewed (based on replication of FY 2016 actual license retention rate) and 55% of licenses scheduled to expire during FY 2018 will be renewed based on some anticipated license abandonment coincidental to new insurance continuing education requirements becoming effective.

4417 - REGULATORY LICENSES: Estimates assume small reductions to licenses (certificates of authority) issued/renewed, consistent with the trend during the past several years.

4449 - OTHER FEES: Most 'other fees' come from \$1,050-per-insurer Fraud Unit assessments levied pursuant to ARS § 20-466(J).

FINES, FORFEITURES AND PENALTIES

The number and magnitude of fines, forfeitures and penalties are impossible to predict.

4511 - COURT ASSESSMENTS. Estimated to be similar to FY 2016 revenue.

4519 - OTHER FINES OR FORFEITURES OR PENALTIES. Most "other fines or forfeitures" revenue comes from insurance companies and surplus lines brokers that are required to pay penalties for failing to timely pay taxes or file annual statements. Estimates for FY 2017 and FY 2018 assume insurers and brokers will improve compliance and avoid having to pay penalties and interest.

Revenue Schedule

| | | |
|---------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|---------|-----|-------------------------|

| | | |
|-------|------|--------------------|
| Fund: | 2000 | Federal Grant Fund |
|-------|------|--------------------|

| | FY 2016 | FY 2017 | FY 2018 |
|-------------|---------|---------|---------|
| | 333.8 | 836.0 | 166.1 |
| Fund Total: | 333.8 | 836.0 | 166.1 |

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|--------------------|
| Fund: | 2000 | Federal Grant Fund |
|--------------|------|--------------------|

Justification: Revenue estimates reflect revenue drawdowns that match expenditures from the "US9401 Grants to States to Support Health Insurance Rate Review and Increase Transparency in the Pricing of Medical Services: Cycle 4" grant through 9/18/2017.

Revenue Schedule

| | | |
|---------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|---------|-----|-------------------------|

| | | |
|-------|------|------------------------------------|
| Fund: | 2034 | Insurance Examiners Revolving Fund |
|-------|------|------------------------------------|

| AFIS Code | Category of Receipt and Description | FY 2016 | FY 2017 | FY 2018 |
|-------------|-------------------------------------|---------|---------|---------|
| 4312 | EXAMINATION FEES | 4,399.4 | 4,200.0 | 4,200.0 |
| 4901 | OPERATING TRANSFERS IN | (2.0) | 0.0 | 0.0 |
| Fund Total: | | 4,397.4 | 4,200.0 | 4,200.0 |

Revenue Schedule

| | | |
|----------------|------------|--------------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|------------|--------------------------------|

| | | |
|--------------|-------------|---|
| Fund: | 2034 | Insurance Examiners Revolving Fund |
|--------------|-------------|---|

Justification: 4312 - EXAMINATION FEES _____

The Department charges fees to recoup the Department's costs in examining (the books, records, etc. of) insurance entities. The Department schedules some examinations, but calls others on an as-warranted basis. The scope, duration and cost of each examination varies.

4901 - OPERATING TRANSFER IN _____

ARS § 20- 159(E) requires each insurance company to submit a \$100 deposit, which is payable to the insurer, and paid if the insurer ceases to be licensed in Arizona. For the purpose of reflecting a correct cash balance on the Sources and Uses report, we have used COBJ 4901 to reflect changes in the amount of deposits on hand from insurers.

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|--|
| Fund: | 2114 | Arizona Property and Casualty Insurance Guarantee Fund |
|--------------|------|--|

| AFIS Code | Category of Receipt and Description | FY 2016 | FY 2017 | FY 2018 |
|--------------------|-------------------------------------|---------|---------|---------|
| 4369 | OTHER INTER-AGENCY REVENUE | 0.2 | 0.0 | 0.0 |
| 4634 | OTHER INVESTMENT INCOME | 1,415.1 | 1,400.0 | 1,400.0 |
| 4699 | MISCELLANEOUS RECEIPTS | 912.7 | 900.0 | 900.0 |
| Fund Total: | | 2,328.0 | 2,300.0 | 2,300.0 |

Revenue Schedule

| | | |
|----------------|------------|--------------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|------------|--------------------------------|

| | | |
|--------------|-------------|---|
| Fund: | 2114 | Arizona Property and Casualty Insurance Guarantee Fund |
|--------------|-------------|---|

Justification:

NOTE: The Superior Court of Arizona opined in case CV2009-091989 (Arizona Property and Casualty Insurance Guaranty Fund ("APCIGF") and Arizona Life and Disability Insurance Guaranty Fund ("ALDIGF") v. State of Arizona, et al, that the monies in this fund are not public state funds subject to legislative appropriation, but are instead, private funds held in a custodial capacity by the Guaranty Funds.

Pursuant to ARS § 20-662(A), some of the APCIGF accounts are maintained outside the State Treasury. Only a subset of APCIGF transactions are processed through the AFIS. The beginning balance on the Sources and Uses page has been adjusted to reflect funds maintained outside of the AFIS.

4699 - MISCELLANEOUS RECEIPTS_____

Revenues consist of receipts from receivership distributions, recoveries from subrogating claims and premium income from insureds. Cash receipts and disbursements are unpredictable for this fund; therefore, inclusion of amounts for future years is for illustration purposes only and is not our representation of what actual revenues will be.

Revenue Schedule

Agency: IDA Department of Insurance

Fund: 2154 Life and Disability Insurance Guaranty Fund

| AFIS Code | Category of Receipt and Description | FY 2016 | FY 2017 | FY 2018 |
|-------------|-------------------------------------|---------|---------|----------|
| 4369 | OTHER INTER-AGENCY REVENUE | 0.2 | 0.0 | 0.0 |
| 4634 | OTHER INVESTMENT INCOME | 212.0 | 200.0 | 200.0 |
| 4699 | MISCELLANEOUS RECEIPTS | 244.7 | 240.0 | 80,240.0 |
| Fund Total: | | 456.9 | 440.0 | 80,440.0 |

Revenue Schedule

| | | |
|----------------|------------|--------------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|------------|--------------------------------|

| | | |
|--------------|-------------|--|
| Fund: | 2154 | Life and Disability Insurance Guaranty Fund |
|--------------|-------------|--|

Justification:

NOTE: The Superior Court of Arizona opined in case CV2009-091989 (Arizona Property and Casualty Insurance Guaranty Fund ("APCIGF") and Arizona Life and Disability Insurance Guaranty Fund ("ALDIGF") v. State of Arizona, et al, that the monies in this fund are not public state funds subject to legislative appropriation, but are instead, private funds held in a custodial capacity by the Guaranty Funds.

Pursuant to ARS § 20-683(A), some of the ALDIGF accounts are maintained outside the State Treasury. Only a subset of APCIGF transactions are processed through the AFIS.

4699 - MISCELLANEOUS RECEIPTS_____

Revenues consist of receipts from receivership distributions, recoveries from subrogating claims, premium income from insureds and, for FY 2018, assessments of member insurers (\$80 million) to pay claims-related costs. Cash receipts and disbursements are unpredictable for this fund; therefore, inclusion of amounts for future years is for illustration purposes only and is not our representation of what actual revenues will be.

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|--|
| Fund: | 2163 | Insurance Department Fingerprinting Fund |
|--------------|------|--|

| AFIS Code | Category of Receipt and Description | FY 2016 | FY 2017 | FY 2018 |
|--------------------|-------------------------------------|---------|---------|---------|
| 4339 | OTHER FEES AND CHARGES FOR SERVICES | 13.1 | (20.0) | (9.7) |
| Fund Total: | | 13.1 | (20.0) | (9.7) |

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|--|
| Fund: | 2163 | Insurance Department Fingerprinting Fund |
|--------------|------|--|

Justification: Revenues to this fund are passed to the Department of Public Safety for criminal history fingerprint card processing.

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|--|
| Fund: | 2316 | Assessment Fund for Voluntary Plans Fund |
|--------------|------|--|

| AFIS Code | Category of Receipt and Description | FY 2016 | FY 2017 | FY 2018 |
|--------------------|-------------------------------------|---------|---------|---------|
| 4449 | OTHER FEES | 181.6 | 0.0 | 0.0 |
| Fund Total: | | 181.6 | 0.0 | 0.0 |

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|--|
| Fund: | 2316 | Assessment Fund for Voluntary Plans Fund |
|--------------|------|--|

Justification: The Department did not issue assessments to insurers in FY 2017 and does not anticipate a need to do so in FY 2018.

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|---|
| Fund: | 2377 | Captive Insurance Regulatory/supervision Fund |
|--------------|------|---|

| | <u>FY 2016</u> | <u>FY 2017</u> | <u>FY 2018</u> |
|--------------------|----------------|----------------|----------------|
| | 601.4 | 601.5 | 601.6 |
| Fund Total: | 601.4 | 601.5 | 601.6 |

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|---|
| Fund: | 2377 | Captive Insurance Regulatory/supervision Fund |
|--------------|------|---|

Justification: The revenue estimates assume 5 new captive insurers will become licensed each year (\$1,000 each), that 3 captives will file for an amended license (\$200 each) and that the combination of license renewals and attrition will result in 1 fewer captive paying renewal fees (\$5,500 each) in each FY 2017 and FY 2018.

Revenue Schedule

| | | |
|---------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|---------|-----|-------------------------|

| | | |
|-------|------|--------------------------|
| Fund: | 2467 | Health Care Appeals Fund |
|-------|------|--------------------------|

| AFIS Code | Category of Receipt and Description | FY 2016 | FY 2017 | FY 2018 |
|-------------|-------------------------------------|---------|---------|---------|
| 4339 | OTHER FEES AND CHARGES FOR SERVICES | 189.8 | 200.0 | 200.0 |
| 4449 | OTHER FEES | 106.5 | 103.0 | 103.0 |
| Fund Total: | | 296.3 | 303.0 | 303.0 |

Revenue Schedule

| | | |
|---------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|---------|-----|-------------------------|

| | | |
|-------|------|-----------------------------|
| Fund: | 2473 | Financial Surveillance Fund |
|-------|------|-----------------------------|

| AFIS Code | Category of Receipt and Description | FY 2016 | FY 2017 | FY 2018 |
|-------------|-------------------------------------|---------|---------|---------|
| 4449 | OTHER FEES | 412.5 | 300.0 | 400.0 |
| Fund Total: | | 412.5 | 300.0 | 400.0 |

Revenue Schedule

Agency: IDA Department of Insurance

Fund: 2500 IGA and ISA Fund

| AFIS Code | Category of Receipt and Description | FY 2016 | FY 2017 | FY 2018 |
|--------------------|---|---------|---------|---------|
| 4231 | STATE AND LOCAL GOVT GRANTS - OPERATING | 5.0 | 0.0 | 0.0 |
| Fund Total: | | 5.0 | 0.0 | 0.0 |

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|-------------------------------|
| Fund: | 3104 | Receivership Liquidation Fund |
|--------------|------|-------------------------------|

| AFIS Code | Category of Receipt and Description | FY 2016 | FY 2017 | FY 2018 |
|--------------------|-------------------------------------|---------|---------|---------|
| 4631 | TREASURERS INTEREST INCOME | 1.0 | 0.6 | 1.0 |
| 4699 | MISCELLANEOUS RECEIPTS | 50.0 | 0.0 | 50.0 |
| Fund Total: | | 51.0 | 0.6 | 51.0 |

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|-------------------------------|
| Fund: | 3104 | Receivership Liquidation Fund |
|--------------|------|-------------------------------|

Justification: 4699 - MISCELLANEOUS REVENUE _____

The amount reported as revenue in the budget request is the change in cash rather than revenue (in order for the Sources and Uses report to tie out). Cash receipts are recorded as a liability and not as revenue because unexpended monies are owed to receivership estates. The liability is reduced and revenue is recognized when expenditures are made. When a receivership is closed, the unexpended portion of the amount paid in from the receivership's assets are returned to the receivership estate.

Revenue Schedule

| | | |
|---------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|---------|-----|-------------------------|

| | | |
|-------|------|-------------------------------------|
| Fund: | 3727 | Insurance Tax Premium Clearing Fund |
|-------|------|-------------------------------------|

| | FY 2016 | FY 2017 | FY 2018 |
|-------------|------------|-----------|---------|
| | (10,722.4) | (2,967.7) | 0.0 |
| Fund Total: | (10,722.4) | (2,967.7) | 0.0 |

Revenue Schedule

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|--------------|------|-------------------------------------|
| Fund: | 3727 | Insurance Tax Premium Clearing Fund |
|--------------|------|-------------------------------------|

Justification: The (\$10,722.2) thousand net change in cash during FY 2016 is the result of the following:

> \$13,313,349.89 was transferred to the General Fund toward the beginning of FY 2016 for AHCCCS tax collected in June 2015.

> \$376,563.59 of fire tax collected in FY 2015 after April 30 was allocated as part of FY 2016 revenue.

> \$141.56 of "additional tax" on vehicles collected after April 30 was allocated as part of FY 2016 revenue.

Less:

> \$2,967,720.41 of funds remained in this fund as of June 30, 2016, for transfer to the General Fund.

Sources and Uses of Funds

| | | |
|----------------|------|-------------------------|
| Agency: | IDA | Department of Insurance |
| Fund: | 2000 | Federal Grant Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Revenue (From Revenue Schedule) | 333.8 | 836.0 | 166.1 |
| Total Available | 333.8 | 836.0 | 166.1 |
| Total Non-Appropriated Disbursements | 333.8 | 836.0 | 166.1 |
| Balance Forward to Next Year | 0.0 | 0.0 | 0.0 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 170.8 | 5.5 | 0.0 |
| Employee Related Expenses | 61.2 | 1.7 | 0.0 |
| Prof. And Outside Services | 89.2 | 822.3 | 164.4 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 1.8 | 5.6 | 1.4 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 10.8 | 0.9 | 0.3 |
| Equipment | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 333.8 | 836.0 | 166.1 |
| Non-Appropriated Expenditure Total: | 333.8 | 836.0 | 166.1 |
| Non-Appropriated FTE: | 0.0 | 0.0 | 0.0 |

Fund Justification

Justification:

Fund Description

| | |
|---------|---|
| Source: | The ADOI received funding under Section 2794 of the Affordable Care Act (US9401 Grants to States for Health Insurance Premium Review - Cycle 2, and US9401 Grants to States to Support Health Insurance Rate Review and Increase Transparency in the Pricing of Medical Services - Cycle 4) |
| Use: | The ADOI uses Health Insurance Premium Review grant funding to improve the transparency and effectiveness of rate review, and to develop the technical infrastructure to comply with Act requirements for collecting, reviewing and reporting health insurance rates. |
| OSPB: | |

Sources and Uses of Funds

| | | |
|----------------|-------------|---|
| Agency: | IDA | Department of Insurance |
| Fund: | 2034 | Insurance Examiners Revolving Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 1,650.7 | 0.0 | 0.0 |
| Revenue (From Revenue Schedule) | 4,397.4 | 4,200.0 | 4,200.0 |
| Total Available | 6,048.1 | 4,200.0 | 4,200.0 |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 4,347.8 | 4,159.9 | 4,159.9 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 880.5 | 783.5 | 783.5 |
| Employee Related Expenses | 337.8 | 302.1 | 302.1 |
| Prof. And Outside Services | 3,004.1 | 2,966.6 | 2,966.6 |
| Travel - In State | 0.2 | 0.1 | 0.1 |
| Travel - Out of State | 6.8 | 6.7 | 6.7 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 115.8 | 100.9 | 100.9 |
| Equipment | 2.6 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 4,347.8 | 4,159.9 | 4,159.9 |
| Cap Transfer due to Fund Balance | 0.0 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |

Non-Appropriated Expenditure Total: 4,347.8 4,159.9 4,159.9

Non-Appropriated FTE: 13.7 13.7 13.7

Fund Justification

Justification: The timing, frequency and magnitude of a large segment of examinations we perform are impossible to predict and depend upon economic and industry conditions.

Fund Description

| | |
|---------|---|
| Source: | Pursuant to A.R.S. §§ 20-156, 20-159 and other statutes throughout Title 20, the ADOI recovers program costs from the examined insurers. |
| Use: | Pursuant to A.R.S. §§ 20-156, 20-159 and other statutes throughout Title 20, the ADOI pays costs of examining insurer records, accounts and activities. The ADOI conducts financial examinations (Solvency Regulation Program), which detect and secure corrective action to resolve problems with an insurer's financial condition; and, market conduct examinations (Consumer Support Program), which identify and secure corrective actions to an insurer's non-compliance with marketing, claims, underwriting and rating laws. |
| OSP: | Revenues from invoices to insurers and other regulated entities are used to pay expenses associated with examining the affairs, transactions, accounts, records and assets of the insurers and regulated entities. |

Sources and Uses of Funds

| | | |
|----------------|------|--|
| Agency: | IDA | Department of Insurance |
| Fund: | 2073 | Assessment Fund for Voluntary Plans Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 0.0 | 0.0 | 0.0 |
| Total Available | 0.0 | 0.0 | 0.0 |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 0.0 | 0.0 | 0.0 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 0.0 | 0.0 | 0.0 |
| Employee Related Expenses | 0.0 | 0.0 | 0.0 |
| Prof. And Outside Services | 0.0 | 0.0 | 0.0 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 0.0 | 0.0 | 0.0 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 0.0 | 0.0 | 0.0 |
| Equipment | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 0.0 | 0.0 | 0.0 |
| Cap Transfer due to Fund Balance | 0.0 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Expenditure Total: | 0.0 | 0.0 | 0.0 |
| Non-Appropriated FTE: | 0.0 | 0.0 | 0.0 |

Fund Justification

Justification:

Fund Description

Source: Fund 2073 no longer in use. See Fund 2316

Use: Fund 2073 no longer in use. See Fund 2316

OSPB:

Sources and Uses of Funds

| | |
|----------------|--|
| Agency: | IDA Department of Insurance |
| Fund: | 2114 Arizona Property and Casualty Insurance Guarantee Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 254,719.6 | 0.0 | 0.0 |
| Revenue (From Revenue Schedule) | 2,328.0 | 2,300.0 | 2,300.0 |
| Total Available | 257,047.6 | 2,300.0 | 2,300.0 |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 12,911.6 | 12,944.8 | 12,944.8 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 172.4 | 197.8 | 197.8 |
| Employee Related Expenses | 66.8 | 76.3 | 76.3 |
| Prof. And Outside Services | 177.2 | 181.4 | 181.4 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 4.4 | 4.4 | 4.4 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 12,489.8 | 12,484.9 | 12,484.9 |
| Equipment | 1.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 12,911.6 | 12,944.8 | 12,944.8 |
| Cap Transfer due to Fund Balance | 0.0 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Expenditure Total: | 12,911.6 | 12,944.8 | 12,944.8 |
| Non-Appropriated FTE: | 3.0 | 3.0 | 3.0 |

Fund Justification

Justification: Figures provided for this fund include amounts held, received to and expended from accounts outside of the Arizona Financial Information System (AFIS).

The 'Other Operating Expenses' expenditure category consists, in part, of claims payments to policyholders concerning which the timing, volume and magnitude are impossible to predict.

Fund Description

| | |
|----------------|---|
| Source: | Pursuant to A.R.S. § 20-661 et seq., the Arizona Property and Casualty Insurance Guaranty Fund (APCIGF) assesses member insurers (solvent insurers authorized to transact property and casualty insurance in Arizona) as necessary to pay obligations of the fund. In accordance with ARS § 20-662(A), some of the APCIGF accounts are maintained outside the State Treasury and only a subset of transactions are processed through the Arizona Financial Information System (AFIS). |
| Use: | Pursuant to A.R.S. § 20-661 et seq., this fund pays certain obligations of insolvent property and casualty insurers up to limits prescribed in statute, and pays related expenses. |
| OSPB: | Revenues from the estates of insolvent property and casualty insurers and from assessments made against solvent insurers are used to pay the liabilities of insolvent property and casualty insurers that are approved by the Board subject to limitations established in law. |

Sources and Uses of Funds

| | |
|----------------|---|
| Agency: | IDA Department of Insurance |
| Fund: | 2154 Life and Disability Insurance Guaranty Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 29,124.1 | 0.0 | 0.0 |
| Revenue (From Revenue Schedule) | 456.9 | 440.0 | 80,440.0 |
| Total Available | 29,581.0 | 440.0 | 80,440.0 |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 1,105.3 | 1,083.4 | 81,083.4 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 147.6 | 145.0 | 145.0 |
| Employee Related Expenses | 49.5 | 55.9 | 55.9 |
| Prof. And Outside Services | 26.8 | 31.0 | 31.0 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 12.9 | 12.9 | 12.9 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 868.1 | 838.6 | 80,838.6 |
| Equipment | 0.4 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 1,105.3 | 1,083.4 | 81,083.4 |
| Cap Transfer due to Fund Balance | 0.0 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |

Non-Appropriated Expenditure Total: 1,105.3 1,083.4 81,083.4

Non-Appropriated FTE: 1.8 1.8 1.8

Fund Justification

Justification: Figures provided for this fund include amounts held, received to and expended from accounts outside of the Arizona Financial Information System (AFIS).

The 'Other Operating Expenses' expenditure category consists, in part, of claims payments to policyholders concerning which the timing, volume and magnitude are impossible to predict.

Fund Description

| | |
|----------------|---|
| Source: | Pursuant to A.R.S. § 20-681 et seq., the Arizona Life and Disability Insurance Guaranty Fund (ALDIGF) assesses member insurers (solvent insurers authorized to transact life and disability insurance and annuity contracts in Arizona) as necessary to pay obligations of the fund. In accordance with ARS § 20-683(A), some of the APCIGF accounts are maintained outside the State Treasury and only a subset of transactions are processed through the Arizona Financial Information System (AFIS). |
| Use: | Pursuant to A.R.S. § 20-681 et seq., this fund pays certain obligations of insolvent insurers up to limits prescribed in statute, and pays related expenses. |
| OSPB: | Revenues from the estates of insolvent life, disability and annuity insurers and from assessments made against solvent insurers are used to pay the liabilities of insolvent life, disability and annuity insurers that are approved by the Board subject to limitations established in law. |

Sources and Uses of Funds

| | | |
|----------------|-------------|---|
| Agency: | IDA | Department of Insurance |
| Fund: | 2163 | Insurance Department Fingerprinting Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 16.6 | 0.0 | 0.0 |
| Revenue (From Revenue Schedule) | 13.1 | (20.0) | (9.7) |
| Total Available | 29.7 | (20.0) | (9.7) |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 0.0 | 0.0 | 0.0 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 0.0 | 0.0 | 0.0 |
| Employee Related Expenses | 0.0 | 0.0 | 0.0 |
| Prof. And Outside Services | 0.0 | 0.0 | 0.0 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 0.0 | 0.0 | 0.0 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 0.0 | 0.0 | 0.0 |
| Equipment | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 0.0 | 0.0 | 0.0 |
| Cap Transfer due to Fund Balance | 0.0 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Expenditure Total: | 0.0 | 0.0 | 0.0 |
| Non-Appropriated FTE: | 0.0 | 0.0 | 0.0 |

Fund Justification

Justification:

Fund Description

| | |
|---------|---|
| Source: | Pursuant to A.R.S. § 20-142, other statutes within Title 20, and § 41-1750(G), this fund serves as a pass-through for fees collected for fingerprint processing by the Arizona Department of Public Safety (DPS) and the Federal Bureau of Investigations (FBI). Funds transferred to the DPS are reflected as a contra-revenue to the Department and a revenue to DPS. |
| Use: | Pursuant to A.R.S. § 20-142, other statutes within Title 20, and § 41-1750(G), funds pay for fingerprints to be processed by the DPS and the FBI for criminal background checks on license applicants and on officers, members and directors of business-entity license applicants. |
| OSPB: | Revenues from fees collected from license applicants who are required to submit fingerprint cards are transferred to the Department of Public Safety to pay for state and federal criminal background checks. |

Sources and Uses of Funds

| | | |
|----------------|------|--|
| Agency: | IDA | Department of Insurance |
| Fund: | 2316 | Assessment Fund for Voluntary Plans Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 185.2 | 0.0 | 0.0 |
| Revenue (From Revenue Schedule) | 181.6 | 0.0 | 0.0 |
| Total Available | 366.8 | 0.0 | 0.0 |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 72.4 | 0.5 | 0.5 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 39.2 | 0.0 | 0.0 |
| Employee Related Expenses | 24.1 | 0.0 | 0.0 |
| Prof. And Outside Services | 0.4 | 0.0 | 0.0 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 0.0 | 0.0 | 0.0 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 8.7 | 0.5 | 0.5 |
| Equipment | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 72.4 | 0.5 | 0.5 |
| Cap Transfer due to Fund Balance | 0.0 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Expenditure Total: | 72.4 | 0.5 | 0.5 |
| Non-Appropriated FTE: | 0.0 | 0.0 | 0.0 |

Fund Justification

Justification:

Fund Description

| | |
|---------|--|
| Source: | A.R.S. § 20-2201(D) allows the ADOI to annually assess each insurer authorized to transact liability insurance in Arizona up to \$200. |
| Use: | The ADOI uses funds to help insurance consumers find liability insurance coverage through the voluntary insurance market. |
| OSP: | Revenues from assessments of insurers authorized to write liability insurance are used to pay the costs associated with helping insurance consumers locate liability insurance coverage. |

Sources and Uses of Funds

| | | |
|----------------|-------------|--|
| Agency: | IDA | Department of Insurance |
| Fund: | 2377 | Captive Insurance Regulatory/supervision Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 488.7 | 0.0 | 0.0 |
| Revenue (From Revenue Schedule) | 601.4 | 601.5 | 601.6 |
| Total Available | 1,090.1 | 601.5 | 601.6 |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 598.9 | 398.6 | 398.6 |

| Non-Appropriated Expenditure | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Expenditure Categories | | | |
| Personal Services | 122.7 | 251.0 | 251.0 |
| Employee Related Expenses | 43.6 | 96.8 | 96.8 |
| Prof. And Outside Services | 19.5 | 30.0 | 30.0 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 1.8 | 2.7 | 2.7 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 22.6 | 18.1 | 18.1 |
| Equipment | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 210.2 | 398.6 | 398.6 |
| Cap Transfer due to Fund Balance | 388.7 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Expenditure Total: | 598.9 | 398.6 | 398.6 |
| Non-Appropriated FTE: | 2.5 | 3.5 | 3.5 |

Fund Justification

Justification:

Fund Description

| | |
|---------|---|
| Source: | Revenues are derived from captive insurer licensing and renewal fees determined by the director per A.R.S. § 20-167(H). |
| Use: | A.R.S. § 20-1098.18 established the fund to be used to pay the costs of administering the captive insurer program and to promote Arizona's captive insurance industry. |
| OSPB: | Revenues from captive insurer license and renewal fees are used to pay the costs of administering the captive insurance program. Any year-end balance exceeding \$100,000 is reverted to the General Fund after the close of the fiscal year. |

Sources and Uses of Funds

| | | |
|----------------|-------------|---------------------------------|
| Agency: | IDA | Department of Insurance |
| Fund: | 2467 | Health Care Appeals Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 103.4 | 0.0 | 0.0 |
| Revenue (From Revenue Schedule) | 296.3 | 303.0 | 303.0 |
| Total Available | 399.7 | 303.0 | 303.0 |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 262.8 | 308.8 | 308.8 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 54.5 | 58.0 | 58.0 |
| Employee Related Expenses | 25.0 | 22.4 | 22.4 |
| Prof. And Outside Services | 167.4 | 209.3 | 209.3 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 0.0 | 0.0 | 0.0 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 15.9 | 19.1 | 19.1 |
| Equipment | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 262.8 | 308.8 | 308.8 |
| Cap Transfer due to Fund Balance | 0.0 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |

Non-Appropriated Expenditure Total:

262.8 308.8 308.8

Non-Appropriated FTE:

1.0 1.0 1.0

Fund Justification

Justification:

Fund Description

| | |
|---------|---|
| Source: | This fund receives two types of revenue. Per A.R.S. § 20-2541(2), the ADOL may annually assess each health care insurer up to \$200 to pay ADOL's costs of administering the external independent review process. Per A.R.S. § 20-2540(B), the ADOL recoups the costs of having independent review organizations review certain types of health care appeals. |
| Use: | The fund pays ADOL's costs of administering the external independent review process and compensates independent review organizations (IRO's) for performing independent medical reviews. |
| OSPB: | Revenues from invoices to insurers are used to compensate procured independent review organizations for reviewing health care appeal cases that involve issues of medical necessity and to pay expenses relating to implementing and maintaining the external independent review process. |

Sources and Uses of Funds

| | | |
|----------------|-------------|------------------------------------|
| Agency: | IDA | Department of Insurance |
| Fund: | 2473 | Financial Surveillance Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 403.1 | 0.0 | 0.0 |
| Revenue (From Revenue Schedule) | 412.5 | 300.0 | 400.0 |
| Total Available | 815.6 | 300.0 | 400.0 |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 263.3 | 586.9 | 586.9 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 171.2 | 404.0 | 404.0 |
| Employee Related Expenses | 67.7 | 155.8 | 155.8 |
| Prof. And Outside Services | 3.9 | 7.5 | 7.5 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 0.0 | 0.0 | 0.0 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 17.0 | 19.6 | 19.6 |
| Equipment | 3.5 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 263.3 | 586.9 | 586.9 |
| Cap Transfer due to Fund Balance | 0.0 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |

Non-Appropriated Expenditure Total:

263.3 586.9 586.9

Non-Appropriated FTE:

7.3 7.3 7.3

Fund Justification

Justification:

Fund Description

| | |
|---------|---|
| Source: | A.R.S. § 20-156(G) requires the ADOI to impose assessments on certain domestic (Arizona-based) insurers based on the insurers' Arizona-admitted assets. |
| Use: | ADOI uses the fund to pay the costs of employing financial analysts to conduct financial surveillance of domestic insurers. |
| OSP: | Revenues from assessments on Arizona insurers are used to pay the costs of employing financial analysts to conduct financial surveillance of domestic insurers. |

Sources and Uses of Funds

| | | |
|----------------|-------------|--------------------------------|
| Agency: | IDA | Department of Insurance |
| Fund: | 2500 | IGA and ISA Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 0.0 | 0.0 | 0.0 |
| Revenue (From Revenue Schedule) | 5.0 | 0.0 | 0.0 |
| Total Available | 5.0 | 0.0 | 0.0 |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 0.6 | 4.4 | 0.0 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 0.0 | 0.0 | 0.0 |
| Employee Related Expenses | 0.0 | 0.0 | 0.0 |
| Prof. And Outside Services | 0.0 | 0.0 | 0.0 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 0.0 | 0.0 | 0.0 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 0.6 | 4.4 | 0.0 |
| Equipment | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 0.6 | 4.4 | 0.0 |
| Cap Transfer due to Fund Balance | 0.0 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Expenditure Total: | 0.6 | 4.4 | 0.0 |
| Non-Appropriated FTE: | 0.0 | 0.0 | 0.0 |

Fund Justification

Justification:

Fund Description

Source: In FY 2016, the Department of Insurance entered into an interagency services agreement ("ISA") with the Arizona Department of Public Safety Arizona Automobile Theft Authority ("AATA") to collaborate on efforts related to public awareness and vehicle theft prevention.

Use: The ISA provided the Department \$5 thousand to pay for printing and promotional materials

OSPB:

Sources and Uses of Funds

| | | |
|----------------|-------------|--------------------------------------|
| Agency: | IDA | Department of Insurance |
| Fund: | 3104 | Receivership Liquidation Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 108.4 | 0.0 | 0.0 |
| Revenue (From Revenue Schedule) | 51.0 | 0.6 | 51.0 |
| Total Available | 159.4 | 0.6 | 51.0 |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 24.9 | 81.7 | 81.7 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 15.3 | 54.4 | 54.4 |
| Employee Related Expenses | 4.5 | 20.9 | 20.9 |
| Prof. And Outside Services | 0.1 | 0.2 | 0.2 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 0.9 | 1.5 | 1.5 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 4.1 | 4.7 | 4.7 |
| Equipment | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 24.9 | 81.7 | 81.7 |
| Cap Transfer due to Fund Balance | 0.0 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |

Non-Appropriated Expenditure Total:

24.9 81.7 81.7

Non-Appropriated FTE:

0.8 0.8 0.8

Fund Justification

Justification:

Fund Description

| | |
|---------|--|
| Source: | The Deputy Receiver petitions the superior court assigned to a receivership estate to allow the Receivership Liquidation Fund (RLF) to receive funds from the estate to pay for the common costs of administering receiverships (ARS § 20-648). The ADOL records funds it receives as a liability and not as revenue because when the receivership is terminated the deputy receiver is required to return all unexpended funds allocable to the estate (ARS § 20-648(D)). The ADOL reduces the liability and recognizes revenue when it pays receivership administration costs. |
| Use: | This fund is used to pay the common administrative costs connected with overseeing the conservation, rehabilitation or liquidation of insolvent insurers, pursuant to ARS §§ 20-611 et seq. (esp. ARS § 20-648). |
| OSPB: | Cash from the estates of insurers in receivership are used to pay the common administrative costs of the receiverships overseen by the ADOL. |

Sources and Uses of Funds

| | | |
|----------------|-------------|--|
| Agency: | IDA | Department of Insurance |
| Fund: | 3727 | Insurance Tax Premium Clearing Fund |

| Cash Flow Summary | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|--------------------------------------|---------------------------|-----------------------------|-----------------------------|
| Balance Forward from Prior Year | 13,690.1 | 0.0 | 0.0 |
| Revenue (From Revenue Schedule) | (10,722.4) | (2,967.7) | 0.0 |
| Total Available | 2,967.7 | (2,967.7) | 0.0 |
| Total Appropriated Disbursements | 0.0 | 0.0 | 0.0 |
| Total Non-Appropriated Disbursements | 0.0 | 0.0 | 0.0 |

Non-Appropriated Expenditure

| Expenditure Categories | Actual FY 2016 | Estimate FY 2017 | Estimate FY 2018 |
|---|---------------------------|-----------------------------|-----------------------------|
| Personal Services | 0.0 | 0.0 | 0.0 |
| Employee Related Expenses | 0.0 | 0.0 | 0.0 |
| Prof. And Outside Services | 0.0 | 0.0 | 0.0 |
| Travel - In State | 0.0 | 0.0 | 0.0 |
| Travel - Out of State | 0.0 | 0.0 | 0.0 |
| Food | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 0.0 | 0.0 | 0.0 |
| Equipment | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 0.0 | 0.0 | 0.0 |
| Cap Transfer due to Fund Balance | 0.0 | 0.0 | 0.0 |
| Prior Commitments or Obligated Expenditures | 0.0 | 0.0 | 0.0 |
| Non Appropriated 27th Pay Roll | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Expenditure Total: | 0.0 | 0.0 | 0.0 |
| Non-Appropriated FTE: | 0.0 | 0.0 | 0.0 |

Fund Justification

Justification: The FY 2016 ending cash balance were mostly funds received prior to June 30 that were owed to the General Fund and recognized as General Fund revenue during FY 2017.

Fund Description

| | |
|---------|--|
| Source: | This fund serves as a repository for insurance premium taxes that are ultimately refunded to insurers that overpaid taxes, or that are transferred to other state agencies and to the General Fund, including the fire insurance premium tax prescribed by A.R.S. § 20-224(C) and the "additional premium tax" on vehicle premiums prescribed by A.R.S. § 20-224.01. |
| Use: | The fire insurance premium tax prescribed by A.R.S. § 20-224(C) is disbursed by the State Treasurer in accordance with A.R.S. § 9-951 to municipal fire districts to subsidize fire fighter pension funds. The "additional premium tax" prescribed by A.R.S. § 20-224.01 is transferred to the Department of Public Safety to subsidize the DPS Personnel Retirement System. |
| OSP: | Specified taxes on vehicle insurance premiums are transferred to the Department of Public Safety Personnel Retirement System, and taxes on fire insurance premiums are transferred to municipal fire districts to pay fire fighter pension obligations. |

Funding Issues List

| |
|-------------------------------------|
| Agency: IDA Department of Insurance |
|-------------------------------------|

FY 2018

| Priority | Funding Issue Title | Category | Total FTE | Total Amount | General Fund | Other Funds | Non-App Funds |
|--------------------------------|--|---------------|-----------|--------------|--------------|-------------|---------------|
| 1 | Life and Disability Insurance Guaranty Fund Claims | Decision Pack | 0.0 | 80,000.0 | 0.0 | 0.0 | 80,000.0 |
| 2 | Cessation of ISA | Decision Pack | 0.0 | (4.4) | 0.0 | 0.0 | (4.4) |
| 3 | Adjustment for Federal Grant Expiration | Decision Pack | 0.0 | (669.9) | 0.0 | 0.0 | (669.9) |
| Total: | | | 0.0 | 79,325.7 | 0.0 | 0.0 | 79,325.7 |
| Decision Package Total: | | | 0.0 | 79,325.7 | 0.0 | 0.0 | 79,325.7 |

Funding Issue Detail

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | | | |
|---------------|---|--|------------------------|------------------|
| Issue: | 1 | Life and Disability Insurance Guaranty Fund Claims | Issue Category: | Decision Package |
|---------------|---|--|------------------------|------------------|

Justification: Claims payments and related expenses from the Arizona Life and Disability Insurance Guaranty Fund ("ALDIGF") are expected to increase in FY 2018 due to claims-related payments anticipated to be made in conjunction with two failed long-term care insurance companies. The Fund may pay as much as \$80 million in FY 2018 and \$40 million in FY 2019 for these obligations.

| | | |
|-----------------|--------|---|
| Program: | 2-1 | Solvency Regulation |
| Fund: | 2154-N | Life and Disability Insurance Guaranty (Non-Appropriated) |

| | |
|---------------------------|--------|
| Calculated ERE: | \$0.00 |
| Uniform Allowance: | \$0.00 |

Justification:

| Expenditure Categories | FY 2018 |
|--|----------|
| FTE | 0.0 |
| Personal Services | 0.0 |
| Employee Related Expenses | 0.0 |
| Subtotal Personal Services and ERE: | 0.0 |
| Professional & Outside Services | 0.0 |
| Travel In-State | 0.0 |
| Travel Out-of-State | 0.0 |
| Food (Library for Universities) | 0.0 |
| Aid to Organizations & Individuals | 0.0 |
| Other Operating Expenditures | 80,000.0 |
| Equipment | 0.0 |
| Capital Outlay | 0.0 |
| Debt Services | 0.0 |
| Cost Allocation | 0.0 |
| Transfers | 0.0 |
| Program / Fund Total: | 80,000.0 |

| | | | | |
|---------------|---|------------------|------------------------|------------------|
| Issue: | 2 | Cessation of ISA | Issue Category: | Decision Package |
|---------------|---|------------------|------------------------|------------------|

Justification: In FY 2016, the Department of Insurance received \$5,000 of funding through an interagency services agreement ("ISA") with the Department of Public Safety Arizona Automobile Task Force for anti-fraud and anti-theft public awareness. The Department did not fully expend those funds during FY 2016 and received an extension to expend the residual for ISA purposes. This funding issue is intended to represent the fact that funding has not been provided beyond FY 2017, and therefore, FY 2018 expenditures are expected to be \$0.

| | | |
|-----------------|--------|---|
| Program: | 4-1 | Fraud Investigation and Deterrence |
| Fund: | 2500-N | IGA and ISA Between State Agencies (Non-Appropriated) |

| | |
|---------------------------|--------|
| Calculated ERE: | \$0.00 |
| Uniform Allowance: | \$0.00 |

Justification:

| Expenditure Categories | FY 2018 |
|--|---------|
| FTE | 0.0 |
| Personal Services | 0.0 |
| Employee Related Expenses | 0.0 |
| Subtotal Personal Services and ERE: | 0.0 |
| Professional & Outside Services | 0.0 |
| Travel In-State | 0.0 |
| Travel Out-of-State | 0.0 |
| Food (Library for Universities) | 0.0 |
| Aid to Organizations & Individuals | 0.0 |
| Other Operating Expenditures | (4.4) |
| Equipment | 0.0 |
| Capital Outlay | 0.0 |
| Debt Services | 0.0 |
| Cost Allocation | 0.0 |
| Transfers | 0.0 |
| Program / Fund Total: | (4.4) |

Funding Issue Detail

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | | | |
|---------------|---|---|------------------------|------------------|
| Issue: | 3 | Adjustment for Federal Grant Expiration | Issue Category: | Decision Package |
|---------------|---|---|------------------------|------------------|

Justification: This funding issue reflects changes the Department anticipates to its expenditures of federal grant funds between FY 2017 and FY 2018.

During FY 2017 and into September 2017 (FY 2018), the Department will be using funds from its award from Grants to States for Health Insurance Rate Review and Increased Transparency in Health Care Pricing - Cycle 4) to pay costs associated with reviewing certain proposed health insurance rate increases and for ensuring health insurance rates and forms for qualified health plans to be offered through the Health Insurance Marketplace meet Arizona and federal standards. The grant expires 9/18/2017.

| | | |
|-----------------|--------|----------------------------------|
| Program: | 3-1 | Consumer Support |
| Fund: | 2000-N | Federal Grant (Non-Appropriated) |

Calculated ERE: \$0.00
Uniform Allowance: \$0.00

Justification:

| Expenditure Categories | FY 2018 |
|--|---------|
| FTE | 0.0 |
| Personal Services | (5.5) |
| Employee Related Expenses | (1.7) |
| Subtotal Personal Services and ERE: | (7.2) |
| Professional & Outside Services | (657.9) |
| Travel In-State | 0.0 |
| Travel Out-of-State | (4.2) |
| Food (Library for Universities) | 0.0 |
| Aid to Organizations & Individuals | 0.0 |
| Other Operating Expenditures | (0.6) |
| Equipment | 0.0 |
| Capital Outlay | 0.0 |
| Debt Services | 0.0 |
| Cost Allocation | 0.0 |
| Transfers | 0.0 |
| Program / Fund Total: | (669.9) |

Summary of Expenditure and Budget Request for All Funds

Agency: IDA Department of Insurance

Appropriated

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | | |
| 1 | Policy and Administration | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
| 2 | Solvency Regulation | 241.4 | 160.8 | 0.0 | 160.8 |
| 3 | Consumer Support | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
| 4 | Fraud Investigation and Deterrence | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
| 5 | Licensing | 583.6 | 466.4 | 0.0 | 466.4 |
| 6 | Premium Tax Collections and Analysis | 247.0 | 253.2 | 0.0 | 253.2 |
| | | 5,077.2 | 5,824.3 | 0.0 | 5,824.3 |
| Expenditure Categories | | | | | |
| | FTE | 71.2 | 71.2 | 0.0 | 71.2 |
| | Personal Services | 2,855.0 | 3,475.1 | 0.0 | 3,475.1 |
| | Employee Related Expenses | 1,150.2 | 1,340.2 | 0.0 | 1,340.2 |
| | Professional and Outside Services | 248.9 | 314.0 | 0.0 | 314.0 |
| | Travel In-State | 24.7 | 21.3 | 0.0 | 21.3 |
| | Travel Out of State | 2.6 | 1.1 | 0.0 | 1.1 |
| | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| | Other Operating Expenses | 720.6 | 666.2 | 0.0 | 666.2 |
| | Equipment | 75.2 | 6.4 | 0.0 | 6.4 |
| | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 5,077.2 | 5,824.3 | 0.0 | 5,824.3 |

Summary of Expenditure and Budget Request for All Funds

Agency: IDA Department of Insurance

Non-Appropriated

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | | |
| 1 | Policy and Administration | 80.7 | 91.0 | 0.0 | 91.0 |
| 2 | Solvency Regulation | 17,716.7 | 17,904.9 | 80,000.0 | 97,904.9 |
| 3 | Consumer Support | 1,316.6 | 1,802.1 | (669.9) | 1,132.2 |
| 4 | Fraud Investigation and Deterrence | 0.6 | 4.4 | (4.4) | 0.0 |
| 5 | Licensing | 207.9 | 204.0 | 0.0 | 204.0 |
| 7 | Captive Insurer Program | 210.2 | 398.6 | 0.0 | 398.6 |
| | | 19,532.7 | 20,405.0 | 79,325.7 | 99,730.7 |
| Expenditure Categories | | | | | |
| | FTE | 30.1 | 31.1 | 0.0 | 31.1 |
| | Personal Services | 1,774.2 | 1,899.2 | (5.5) | 1,893.7 |
| | Employee Related Expenses | 680.2 | 731.9 | (1.7) | 730.2 |
| | Professional and Outside Services | 3,488.6 | 4,248.3 | (657.9) | 3,590.4 |
| | Travel In-State | 0.2 | 0.1 | 0.0 | 0.1 |
| | Travel Out of State | 28.6 | 33.8 | (4.2) | 29.6 |
| | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| | Other Operating Expenses | 13,553.4 | 13,491.7 | 79,995.0 | 93,486.7 |
| | Equipment | 7.5 | 0.0 | 0.0 | 0.0 |
| | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 19,532.7 | 20,405.0 | 79,325.7 | 99,730.7 |

Summary of Expenditure and Budget Request
for All Funds

| | | |
|---------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|---------|-----|-------------------------|

| | | | | | | | |
|-----------------------------|----------|----------|----------|-----------|--|--|--|
| Agency Total for All Funds: | 24,609.9 | 26,229.3 | 79,325.7 | 105,555.0 | | | |
|-----------------------------|----------|----------|----------|-----------|--|--|--|

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|-----------------------------|
| Agency: | IDA | Department of Insurance |
| Fund: | 1000 | General Fund (Appropriated) |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | | |
| 1 | Policy and Administration | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
| 2 | Solvency Regulation | 241.4 | 160.8 | 0.0 | 160.8 |
| 3 | Consumer Support | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
| 4 | Fraud Investigation and Deterrence | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
| 5 | Licensing | 583.6 | 466.4 | 0.0 | 466.4 |
| 6 | Premium Tax Collections and Analysis | 247.0 | 253.2 | 0.0 | 253.2 |
| | | 5,077.2 | 5,824.3 | 0.0 | 5,824.3 |
| Expenditure Categories | | | | | |
| | FTE | 71.2 | 71.2 | 0.0 | 71.2 |
| | Personal Services | 2,855.0 | 3,475.1 | 0.0 | 3,475.1 |
| | Employee Related Expenses | 1,150.2 | 1,340.2 | 0.0 | 1,340.2 |
| | Professional and Outside Services | 248.9 | 314.0 | 0.0 | 314.0 |
| | Travel In-State | 24.7 | 21.3 | 0.0 | 21.3 |
| | Travel Out of State | 2.6 | 1.1 | 0.0 | 1.1 |
| | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| | Other Operating Expenses | 720.6 | 666.2 | 0.0 | 666.2 |
| | Equipment | 75.2 | 6.4 | 0.0 | 6.4 |
| | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 5,077.2 | 5,824.3 | 0.0 | 5,824.3 |
| Fund Total: | | 5,077.2 | 5,824.3 | 0.0 | 5,824.3 |

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|----------------------------------|
| Agency: | IDA | Department of Insurance |
| Fund: | 2000 | Federal Grant (Non-Appropriated) |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | | |
| 3 | Consumer Support | 333.8 | 836.0 | (669.9) | 166.1 |
| | | 333.8 | 836.0 | (669.9) | 166.1 |
| Expenditure Categories | | | | | |
| | FTE | 0.0 | 0.0 | 0.0 | 0.0 |
| | Personal Services | 170.8 | 5.5 | (5.5) | 0.0 |
| | Employee Related Expenses | 61.2 | 1.7 | (1.7) | 0.0 |
| | Professional and Outside Services | 89.2 | 822.3 | (657.9) | 164.4 |
| | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| | Travel Out of State | 1.8 | 5.6 | (4.2) | 1.4 |
| | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| | Other Operating Expenses | 10.8 | 0.9 | (0.6) | 0.3 |
| | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 333.8 | 836.0 | (669.9) | 166.1 |
| Fund Total: | | 333.8 | 836.0 | (669.9) | 166.1 |

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|--|
| Agency: | IDA | Department of Insurance |
| Fund: | 2034 | Insurance Examiners Revolving (Non-Appropriated) |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | |
| 1 Policy and Administration | 80.7 | 91.0 | 0.0 | 91.0 |
| 2 Solvency Regulation | 3,411.6 | 3,208.1 | 0.0 | 3,208.1 |
| 3 Consumer Support | 647.6 | 656.8 | 0.0 | 656.8 |
| 5 Licensing | 207.9 | 204.0 | 0.0 | 204.0 |
| | 4,347.8 | 4,159.9 | 0.0 | 4,159.9 |
| Expenditure Categories | | | | |
| FTE | 13.7 | 13.7 | 0.0 | 13.7 |
| Personal Services | 880.5 | 783.5 | 0.0 | 783.5 |
| Employee Related Expenses | 337.8 | 302.1 | 0.0 | 302.1 |
| Professional and Outside Services | 3,004.1 | 2,966.6 | 0.0 | 2,966.6 |
| Travel In-State | 0.2 | 0.1 | 0.0 | 0.1 |
| Travel Out of State | 6.8 | 6.7 | 0.0 | 6.7 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 115.8 | 100.9 | 0.0 | 100.9 |
| Equipment | 2.6 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 4,347.8 | 4,159.9 | 0.0 | 4,159.9 |
| Fund Total: | 4,347.8 | 4,159.9 | 0.0 | 4,159.9 |

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|---|
| Agency: | IDA | Department of Insurance |
| Fund: | 2114 | Arizona Property & Casualty Insurance Guaranty (Non-Appropriated) |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | | |
| 2 | Solvency Regulation | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |
| | | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |
| Expenditure Categories | | | | | |
| | FTE | 3.0 | 3.0 | 0.0 | 3.0 |
| | Personal Services | 172.4 | 197.8 | 0.0 | 197.8 |
| | Employee Related Expenses | 66.8 | 76.3 | 0.0 | 76.3 |
| | Professional and Outside Services | 177.2 | 181.4 | 0.0 | 181.4 |
| | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| | Travel Out of State | 4.4 | 4.4 | 0.0 | 4.4 |
| | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| | Other Operating Expenses | 12,489.8 | 12,484.9 | 0.0 | 12,484.9 |
| | Equipment | 1.0 | 0.0 | 0.0 | 0.0 |
| | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |
| Fund Total: | | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|---|
| Agency: | IDA | Department of Insurance |
| Fund: | 2154 | Life and Disability Insurance Guaranty (Non-Appropriated) |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | | |
| 2 | Solvency Regulation | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |
| | | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |
| Expenditure Categories | | | | | |
| | FTE | 1.8 | 1.8 | 0.0 | 1.8 |
| | Personal Services | 147.6 | 145.0 | 0.0 | 145.0 |
| | Employee Related Expenses | 49.5 | 55.9 | 0.0 | 55.9 |
| | Professional and Outside Services | 26.8 | 31.0 | 0.0 | 31.0 |
| | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| | Travel Out of State | 12.9 | 12.9 | 0.0 | 12.9 |
| | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| | Other Operating Expenses | 868.1 | 838.6 | 80,000.0 | 80,838.6 |
| | Equipment | 0.4 | 0.0 | 0.0 | 0.0 |
| | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |
| Fund Total: | | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|---|
| Agency: | IDA | Department of Insurance |
| Fund: | 2316 | Assessment Fund for Voluntary Plans Fund (Non-Appropriated) |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | |
| 3 Consumer Support | 72.4 | 0.5 | 0.0 | 0.5 |
| | 72.4 | 0.5 | 0.0 | 0.5 |
| Expenditure Categories | | | | |
| Personal Services | 39.2 | 0.0 | 0.0 | 0.0 |
| Employee Related Expenses | 24.1 | 0.0 | 0.0 | 0.0 |
| Professional and Outside Services | 0.4 | 0.0 | 0.0 | 0.0 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 8.7 | 0.5 | 0.0 | 0.5 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 72.4 | 0.5 | 0.0 | 0.5 |
| Fund Total: | 72.4 | 0.5 | 0.0 | 0.5 |

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|---|
| Agency: | IDA | Department of Insurance |
| Fund: | 2377 | Captive Insurance Fund (Non-Appropriated) |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | | |
| 7 | Captive Insurer Program | 210.2 | 398.6 | 0.0 | 398.6 |
| | | 210.2 | 398.6 | 0.0 | 398.6 |
| Expenditure Categories | | | | | |
| | FTE | 2.5 | 3.5 | 0.0 | 3.5 |
| | Personal Services | 122.7 | 251.0 | 0.0 | 251.0 |
| | Employee Related Expenses | 43.6 | 96.8 | 0.0 | 96.8 |
| | Professional and Outside Services | 19.5 | 30.0 | 0.0 | 30.0 |
| | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| | Travel Out of State | 1.8 | 2.7 | 0.0 | 2.7 |
| | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| | Other Operating Expenses | 22.6 | 18.1 | 0.0 | 18.1 |
| | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 210.2 | 398.6 | 0.0 | 398.6 |
| Fund Total: | | 210.2 | 398.6 | 0.0 | 398.6 |

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|---|
| Agency: | IDA | Department of Insurance |
| Fund: | 2467 | Health Care Appeals Fund (Non-Appropriated) |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | | |
| 3 | Consumer Support | 262.8 | 308.8 | 0.0 | 308.8 |
| | | 262.8 | 308.8 | 0.0 | 308.8 |
| Expenditure Categories | | | | | |
| | FTE | 1.0 | 1.0 | 0.0 | 1.0 |
| | Personal Services | 54.5 | 58.0 | 0.0 | 58.0 |
| | Employee Related Expenses | 25.0 | 22.4 | 0.0 | 22.4 |
| | Professional and Outside Services | 167.4 | 209.3 | 0.0 | 209.3 |
| | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| | Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| | Other Operating Expenses | 15.9 | 19.1 | 0.0 | 19.1 |
| | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 262.8 | 308.8 | 0.0 | 308.8 |
| Fund Total: | | 262.8 | 308.8 | 0.0 | 308.8 |

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|--|
| Agency: | IDA | Department of Insurance |
| Fund: | 2473 | Financial Surveillance Fund (Non-Appropriated) |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | | |
| 2 | Solvency Regulation | 263.3 | 586.9 | 0.0 | 586.9 |
| | | 263.3 | 586.9 | 0.0 | 586.9 |
| Expenditure Categories | | | | | |
| | FTE | 7.3 | 7.3 | 0.0 | 7.3 |
| | Personal Services | 171.2 | 404.0 | 0.0 | 404.0 |
| | Employee Related Expenses | 67.7 | 155.8 | 0.0 | 155.8 |
| | Professional and Outside Services | 3.9 | 7.5 | 0.0 | 7.5 |
| | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| | Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| | Other Operating Expenses | 17.0 | 19.6 | 0.0 | 19.6 |
| | Equipment | 3.5 | 0.0 | 0.0 | 0.0 |
| | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 263.3 | 586.9 | 0.0 | 586.9 |
| Fund Total: | | 263.3 | 586.9 | 0.0 | 586.9 |

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|---|
| Agency: | IDA | Department of Insurance |
| Fund: | 2500 | IGA and ISA Between State Agencies (Non-Appropriated) |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|---|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | |
| 4 Fraud Investigation and Deterrence | 0.6 | 4.4 | (4.4) | 0.0 |
| | 0.6 | 4.4 | (4.4) | 0.0 |
| Expenditure Categories | | | | |
| FTE | 0.0 | 0.0 | 0.0 | 0.0 |
| Personal Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Employee Related Expenses | 0.0 | 0.0 | 0.0 | 0.0 |
| Professional and Outside Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 0.6 | 4.4 | (4.4) | 0.0 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | 0.6 | 4.4 | (4.4) | 0.0 |
| Fund Total: | 0.6 | 4.4 | (4.4) | 0.0 |

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|---|
| Agency: | IDA | Department of Insurance |
| Fund: | 3104 | Receivership Liquidation (Non-Appropriated) |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|-------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Cost Center/Program: | | | | | |
| 2 | Solvency Regulation | 24.9 | 81.7 | 0.0 | 81.7 |
| | | 24.9 | 81.7 | 0.0 | 81.7 |
| Expenditure Categories | | | | | |
| | FTE | 0.8 | 0.8 | 0.0 | 0.8 |
| | Personal Services | 15.3 | 54.4 | 0.0 | 54.4 |
| | Employee Related Expenses | 4.5 | 20.9 | 0.0 | 20.9 |
| | Professional and Outside Services | 0.1 | 0.2 | 0.0 | 0.2 |
| | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| | Travel Out of State | 0.9 | 1.5 | 0.0 | 1.5 |
| | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| | Other Operating Expenses | 4.1 | 4.7 | 0.0 | 4.7 |
| | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| | Expenditure Categories Total: | 24.9 | 81.7 | 0.0 | 81.7 |
| | | 24.9 | 81.7 | 0.0 | 81.7 |
| Fund Total: | | 24.9 | 81.7 | 0.0 | 81.7 |

Summary of Expenditure and Budget Request for Selected Funds

| | | |
|----------------|------|---|
| Agency: | IDA | Department of Insurance |
| Fund: | 3104 | Receivership Liquidation (Non-Appropriated) |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total | | | |
|--|-------------------|-----------------------|------------------------|------------------|--|--|--|
| Agency Total for Selected Funds | 24,609.9 | 26,229.3 | 79,325.7 | 105,555.0 | | | |

Program Summary of Expenditures and Budget Request

| | | |
|-----------------|-----|---------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 1 | Policy and Administration |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--|-------------------|-----------------------|------------------------|------------------|
| Program Summary | | | | | |
| 1-1 | Policy and Administration | 1,293.4 | 1,540.5 | 0.0 | 1,540.5 |
| Program Summary Total: | | 1,293.4 | 1,540.5 | 0.0 | 1,540.5 |
| Expenditure Categories | | | | | |
| 0000 | FTE Positions | 12.5 | 12.5 | 0.0 | 12.5 |
| 6000 | Personal Services | 739.3 | 903.5 | 0.0 | 903.5 |
| 6100 | Employee Related Expenses | 255.2 | 348.4 | 0.0 | 348.4 |
| 6200 | Professional and Outside Services | 40.0 | 79.2 | 0.0 | 79.2 |
| 6500 | Travel In-State | 2.9 | 1.2 | 0.0 | 1.2 |
| 6600 | Travel Out of State | 0.7 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 215.1 | 201.8 | 0.0 | 201.8 |
| 8000 | Equipment | 40.2 | 6.4 | 0.0 | 6.4 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 1,293.4 | 1,540.5 | 0.0 | 1,540.5 |
| Fund Source | | | | | |
| Appropriated Funds | | | | | |
| 1000-A | General Fund (Appropriated) | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
| | | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
| Non-Appropriated Funds | | | | | |
| 2034-N | Insurance Examiners Revolving (Non-Appropriated) | 80.7 | 91.0 | 0.0 | 91.0 |
| | | 80.7 | 91.0 | 0.0 | 91.0 |
| Fund Source Total: | | 1,293.4 | 1,540.5 | 0.0 | 1,540.5 |

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|---------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 1 | Policy and Administration |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--|-------------------|-----------------------|------------------------|------------------|
|--|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|-----------------------------|
| Fund: | 1000-A | General Fund (Appropriated) |
|--------------|--------|-----------------------------|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|---------------------------|---------|---------|-----|---------|
| 1-1 | Policy and Administration | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
| | Total | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |

Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| FTE Positions | 11.5 | 11.5 | 0.0 | 11.5 |
| Personal Services | 693.6 | 851.0 | 0.0 | 851.0 |
| Employee Related Expenses | 238.5 | 328.1 | 0.0 | 328.1 |
| Professional and Outside Services | 32.5 | 72.2 | 0.0 | 72.2 |
| Travel In-State | 2.9 | 1.2 | 0.0 | 1.2 |
| Travel Out of State | 0.7 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 204.3 | 190.6 | 0.0 | 190.6 |
| Equipment | 40.2 | 6.4 | 0.0 | 6.4 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|---------|---------|-----|---------|
| Expenditure Categories Total: | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
|--------------------------------------|---------|---------|-----|---------|

| | | | | |
|---------------------------|---------|---------|-----|---------|
| Fund 1000-A Total: | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
|---------------------------|---------|---------|-----|---------|

| | | | | |
|-------------------------|---------|---------|-----|---------|
| Program 1 Total: | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
|-------------------------|---------|---------|-----|---------|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|---------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 1 | Policy and Administration |

| FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|-------------------|-----------------------|------------------------|------------------|
|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|--|
| Fund: | 2034-N | Insurance Examiners Revolving (Non-Appropriated) |
|--------------|--------|--|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|---------------------------|------|------|-----|------|
| 1-1 | Policy and Administration | 80.7 | 91.0 | 0.0 | 91.0 |
| | Total | 80.7 | 91.0 | 0.0 | 91.0 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|------|------|-----|------|
| FTE Positions | 1.0 | 1.0 | 0.0 | 1.0 |
| Personal Services | 45.7 | 52.5 | 0.0 | 52.5 |
| Employee Related Expenses | 16.7 | 20.3 | 0.0 | 20.3 |
| Professional and Outside Services | 7.5 | 7.0 | 0.0 | 7.0 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 10.8 | 11.2 | 0.0 | 11.2 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|------|------|-----|------|
| Expenditure Categories Total: | 80.7 | 91.0 | 0.0 | 91.0 |
|--------------------------------------|------|------|-----|------|

| | | | | |
|---------------------------|------|------|-----|------|
| Fund 2034-N Total: | 80.7 | 91.0 | 0.0 | 91.0 |
|---------------------------|------|------|-----|------|

| | | | | |
|-------------------------|------|------|-----|------|
| Program 1 Total: | 80.7 | 91.0 | 0.0 | 91.0 |
|-------------------------|------|------|-----|------|

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

| | | |
|-----------------|-----|---------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 1-1 | Policy and Administration |

| Expenditure Categories | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
|---|--------------------------------------|---------|------------|-------------|---------|
| | | Actual | Expd. Plan | Fund. Issue | Total |
| 0000 | FTE | 12.5 | 12.5 | 0.0 | 12.5 |
| 6000 | Personal Services | 739.3 | 903.5 | 0.0 | 903.5 |
| 6100 | Employee Related Expenses | 255.2 | 348.4 | 0.0 | 348.4 |
| 6200 | Professional and Outside Services | 40.0 | 79.2 | 0.0 | 79.2 |
| 6500 | Travel In-State | 2.9 | 1.2 | 0.0 | 1.2 |
| 6600 | Travel Out of State | 0.7 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 215.1 | 201.8 | 0.0 | 201.8 |
| 8000 | Equipment | 40.2 | 6.4 | 0.0 | 6.4 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 1,293.4 | 1,540.5 | 0.0 | 1,540.5 |
| Fund Source | | | | | |
| Appropriated Funds | | | | | |
| 1000-A General Fund (Appropriated) | | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
| | | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
| Non-Appropriated Funds | | | | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | | 80.7 | 91.0 | 0.0 | 91.0 |
| | | 80.7 | 91.0 | 0.0 | 91.0 |
| Fund Source Total: | | 1,293.4 | 1,540.5 | 0.0 | 1,540.5 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|---|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 1-1 Policy and Administration | | | | | |
| Fund: 1000-A General Fund | | | | | |
| Appropriated | | | | | |
| 0000 | FTE | 11.5 | 11.5 | 0.0 | 11.5 |
| 6000 | Personal Services | 693.6 | 851.0 | 0.0 | 851.0 |
| 6100 | Employee Related Expenses | 238.5 | 328.1 | 0.0 | 328.1 |
| 6200 | Professional and Outside Services | 32.5 | 72.2 | 0.0 | 72.2 |
| 6500 | Travel In-State | 2.9 | 1.2 | 0.0 | 1.2 |
| 6600 | Travel Out of State | 0.7 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 204.3 | 190.6 | 0.0 | 190.6 |
| 8000 | Equipment | 40.2 | 6.4 | 0.0 | 6.4 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Appropriated Total: | | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
| Fund Total: | | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |
| Program Total For Selected Funds: | | 1,212.7 | 1,449.5 | 0.0 | 1,449.5 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 1-1 Policy and Administration | | | | | |
| Fund: 2034-N Insurance Examiners Revolving Fund | | | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 1.0 | 1.0 | 0.0 | 1.0 |
| 6000 | Personal Services | 45.7 | 52.5 | 0.0 | 52.5 |
| 6100 | Employee Related Expenses | 16.7 | 20.3 | 0.0 | 20.3 |
| 6200 | Professional and Outside Services | 7.5 | 7.0 | 0.0 | 7.0 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 10.8 | 11.2 | 0.0 | 11.2 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 80.7 | 91.0 | 0.0 | 91.0 |
| Fund Total: | | 80.7 | 91.0 | 0.0 | 91.0 |
| Program Total For Selected Funds: | | 80.7 | 91.0 | 0.0 | 91.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|---------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 1-1 | Policy and Administration |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| FTE Positions | | |
| FTE | 12.5 | 12.5 |
| Expenditure Category Total | 12.5 | 12.5 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 11.5 | 11.5 |
| | 11.5 | 11.5 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 1.0 | 1.0 |
| | 1.0 | 1.0 |
| Fund Source Total | 12.5 | 12.5 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Personal Services | | |
| Personal Services | 739.3 | 903.5 |
| Boards and Commissions | 0.0 | 0.0 |
| Expenditure Category Total | 739.3 | 903.5 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 693.6 | 851.0 |
| | 693.6 | 851.0 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 45.7 | 52.5 |
| | 45.7 | 52.5 |
| Fund Source Total | 739.3 | 903.5 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Employee Related Expenses | | |
| Employee Related Expenses | 255.2 | 348.4 |
| Expenditure Category Total | 255.2 | 348.4 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 238.5 | 328.1 |
| | 238.5 | 328.1 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 16.7 | 20.3 |
| | 16.7 | 20.3 |
| Fund Source Total | 255.2 | 348.4 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| External Prof/Outside Serv Budg And Appn | 0.0 | 0.0 |
| External Investment Services | 0.0 | 0.0 |
| Other External Financial Services | 0.0 | 0.0 |
| Attorney General Legal Services | 30.7 | 75.1 |
| External Legal Services | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|---------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 1-1 | Policy and Administration |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| External Engineer/Architect Cost - Exp | 0.0 | 0.0 |
| External Engineer/Architect Cost- Cap | 0.0 | 0.0 |
| Other Design | 0.0 | 0.0 |
| Temporary Agency Services | 0.0 | 0.0 |
| Hospital Services | 0.0 | 0.0 |
| Other Medical Services | 0.0 | 0.0 |
| Institutional Care | 0.0 | 0.0 |
| Education And Training | 0.0 | 0.0 |
| Vendor Travel | 0.0 | 0.0 |
| Professional & Outside Services Excluded from Cost Alloca | 0.0 | 0.0 |
| Vendor Travel - Non Reportable | 0.0 | 0.0 |
| External Telecom Consulting Services | 0.0 | 0.0 |
| Non - Confidential Specialist Fees | 0.0 | 0.0 |
| Confidential Specialist Fees | 0.0 | 0.0 |
| Outside Actuarial Costs | 0.0 | 0.0 |
| Other Professional And Outside Services | 9.3 | 4.1 |
| Expenditure Category Total | 40.0 | 79.2 |

| | | |
|---|-------------|-------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 32.5 | 72.2 |
| | 32.5 | 72.2 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 7.5 | 7.0 |
| | 7.5 | 7.0 |
| Fund Source Total | 40.0 | 79.2 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Travel In-State | | |
| Travel In-State | 2.9 | 1.2 |
| Expenditure Category Total | 2.9 | 1.2 |

| | | |
|------------------------------------|------------|------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 2.9 | 1.2 |
| | 2.9 | 1.2 |
| Fund Source Total | 2.9 | 1.2 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Travel Out-of-State | | |
| Travel Out of State | 0.7 | 0.0 |
| Expenditure Category Total | 0.7 | 0.0 |

| | | |
|------------------------------------|------------|------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 0.7 | 0.0 |
| | 0.7 | 0.0 |
| Fund Source Total | 0.7 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|---------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 1-1 | Policy and Administration |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Food (Library for Universities) | | |
| Food (Library for Universities) | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Aid to Organizations & Individuals | | |
| Aid to Organizations and Individuals | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Other Operating Expenditures | | |
| Other Operating Expenditures | 0.0 | 0.0 |
| Insurance & Related Charges | 4.6 | 4.1 |
| Information Technology Services | 71.5 | 71.0 |
| Utilities | 0.0 | 0.0 |
| Non-Building or Land Rent | 0.0 | 0.0 |
| Building Rent Charges to State Agencies | 60.4 | 54.6 |
| COP Building Rent Charges to State Agencies | 0.0 | 0.0 |
| Rental of Land & Buildings | 0.0 | 0.0 |
| Interest Payments | 0.0 | 0.0 |
| Internal Acct, Budgeting and Financial Svcs. | 0.0 | 0.0 |
| Payments for Internal Services | 0.0 | 0.0 |
| Repair & Maintenance | 10.3 | 9.2 |
| Software Support and Maintenance | 4.2 | 4.2 |
| Operating Supplies | 5.2 | 4.0 |
| Resale Supplies | 0.0 | 0.0 |
| Sales of Assets | 0.0 | 0.0 |
| Conference, Education & Training | 0.0 | 0.0 |
| Advertising | 0.0 | 0.0 |
| Printing & Photography | 0.5 | 0.5 |
| Postage & Delivery | 20.2 | 16.2 |
| Miscellaneous Operating | 38.2 | 38.0 |
| Depreciation Expense | 0.0 | 0.0 |
| Expenditure Category Total | 215.1 | 201.8 |

| Fund Source | | |
|---|--------------|--------------|
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 204.3 | 190.6 |
| | 204.3 | 190.6 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 10.8 | 11.2 |
| | 10.8 | 11.2 |
| Fund Source Total | 215.1 | 201.8 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------|---------------------------|-------------------------------|
| Equipment | | |
| Vehicles - Capital Leases | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|---------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 1-1 | Policy and Administration |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Equipment | | |
| Furniture - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Midrange - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - PCs/LAN - Capital Leases | 0.0 | 0.0 |
| Telecommunication Equipment - Capital Leases | 0.0 | 0.0 |
| Other Equipment - Capital Leases | 0.0 | 0.0 |
| Capital Equipment Purchases | 0.0 | 0.0 |
| Vehicles - Non-Capital | 0.0 | 0.0 |
| Furniture - Non-Capital | 5.1 | 0.0 |
| EDP Equipment - Mainframe - Non-Capital | 30.8 | 0.0 |
| Telecommunication Equipment - Non Capital | 0.1 | 0.0 |
| Other Equipment - Non-Capital | 0.8 | 6.4 |
| Purchased Or Licensed Software/Website | 3.4 | 0.0 |
| Internally Generated Software/Website | 0.0 | 0.0 |
| Expenditure Category Total | 40.2 | 6.4 |

| | | |
|------------------------------------|-------------|------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 40.2 | 6.4 |
| | 40.2 | 6.4 |
| Fund Source Total | 40.2 | 6.4 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Capital Outlay | | |
| Capital Outlay | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Debt Services | | |
| Debt Service | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Cost Allocation | | |
| Cost Allocation | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Transfers | | |
| Transfers | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

Classification Listing

Program Expenditure Schedule

| | | |
|-----------------|------------|----------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 1-1 | Policy and Administration |

| Class Code | Title | Grade | Total FTE |
|-------------------|-------------------------|--------------|------------------|
| AUN06 | ADMV ASST 2 | 15 | 0.7 |
| AUN01 | ADMV ASST 3 | 17 | 1.0 |
| AUN09 | ADMV SVCS OFFCR 2 | 21 | 0.5 |
| AUN09 | ADMV SVCS OFFCR 2 | 21 | 1.5 |
| AUN07 | ASST DIR | E2 | 0.8 |
| AUN03 | FISC SVCS SPCT 3 | 17 | 0.5 |
| AUN03 | FISC SVCS SPCT 3 | 17 | 0.5 |
| AUN05 | ID DIR | E4 | 1.0 |
| AUN05 | ID DPTY DIR | E3 | 1.0 |
| AUN02 | ID EXEC ASST TO THE DIR | 25 | 2.0 |
| S1000 | SR/LEAD APPS DEVELOPER | 27 | 1.0 |
| S1002 | SYSTEMS/LAN ADMR | 23 | 1.0 |
| S1002 | SYSTEMS/NETWORK SR MGR | 30 | 1.0 |

Employee Retirement Coverage

| Retirement System | FTE | Personal Services | Fund# |
|--------------------------|------------|--------------------------|--------------|
| State Retirement System | 11.5 | 851.0 | 1000-A |

Combined Regular & Elected Positions At/Above FICA Maximum of \$118,500

| Total FTE | Personal Services | FTE's not eligible for Health, Dental & Life |
|------------------|--------------------------|---|
| 1.0 | 120.0 | 0.0 |

Program Summary of Expenditures and Budget Request

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2 | Solvency Regulation |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|---|-------------------|-----------------------|------------------------|------------------|
| Program Summary | | | | | |
| 2-1 | Solvency Regulation | 17,958.1 | 18,065.7 | 80,000.0 | 98,065.7 |
| Program Summary Total: | | 17,958.1 | 18,065.7 | 80,000.0 | 98,065.7 |
| Expenditure Categories | | | | | |
| 0000 | FTE Positions | 23.3 | 23.3 | 0.0 | 23.3 |
| 6000 | Personal Services | 1,222.8 | 1,371.2 | 0.0 | 1,371.2 |
| 6100 | Employee Related Expenses | 481.7 | 528.7 | 0.0 | 528.7 |
| 6200 | Professional and Outside Services | 2,745.8 | 2,720.9 | 0.0 | 2,720.9 |
| 6500 | Travel In-State | 0.1 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 23.3 | 23.8 | 0.0 | 23.8 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 13,467.5 | 13,421.1 | 80,000.0 | 93,421.1 |
| 8000 | Equipment | 16.9 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 17,958.1 | 18,065.7 | 80,000.0 | 98,065.7 |
| Fund Source | | | | | |
| Appropriated Funds | | | | | |
| 1000-A | General Fund (Appropriated) | 241.4 | 160.8 | 0.0 | 160.8 |
| | | 241.4 | 160.8 | 0.0 | 160.8 |
| Non-Appropriated Funds | | | | | |
| 2034-N | Insurance Examiners Revolving (Non-Appropriated) | 3,411.6 | 3,208.1 | 0.0 | 3,208.1 |
| 2114-N | Arizona Property & Casualty Insurance Guaranty (| 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |
| 2154-N | Life and Disability Insurance Guaranty (Non-Appro | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |
| 2473-N | Financial Surveillance Fund (Non-Appropriated) | 263.3 | 586.9 | 0.0 | 586.9 |
| 3104-N | Receivership Liquidation (Non-Appropriated) | 24.9 | 81.7 | 0.0 | 81.7 |
| | | 17,716.7 | 17,904.9 | 80,000.0 | 97,904.9 |
| Fund Source Total: | | 17,958.1 | 18,065.7 | 80,000.0 | 98,065.7 |

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2 | Solvency Regulation |

| FY 2016 | FY 2017 | FY 2018 | FY 2018 |
|---------|------------|-------------|---------|
| Actual | Expd. Plan | Fund. Issue | Total |

| | | |
|--------------|--------|-----------------------------|
| Fund: | 1000-A | General Fund (Appropriated) |
|--------------|--------|-----------------------------|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|---------------------|-------|-------|-----|-------|
| 2-1 | Solvency Regulation | 241.4 | 160.8 | 0.0 | 160.8 |
| | Total | 241.4 | 160.8 | 0.0 | 160.8 |

Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-------|------|-----|------|
| FTE Positions | 3.1 | 3.1 | 0.0 | 3.1 |
| Personal Services | 122.3 | 93.2 | 0.0 | 93.2 |
| Employee Related Expenses | 76.6 | 36.0 | 0.0 | 36.0 |
| Professional and Outside Services | 0.8 | 0.8 | 0.0 | 0.8 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 31.4 | 30.8 | 0.0 | 30.8 |
| Equipment | 10.3 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| Expenditure Categories Total: | 241.4 | 160.8 | 0.0 | 160.8 |
|--------------------------------------|-------|-------|-----|-------|

| | | | | |
|---------------------------|-------|-------|-----|-------|
| Fund 1000-A Total: | 241.4 | 160.8 | 0.0 | 160.8 |
|---------------------------|-------|-------|-----|-------|

| | | | | |
|-------------------------|-------|-------|-----|-------|
| Program 2 Total: | 241.4 | 160.8 | 0.0 | 160.8 |
|-------------------------|-------|-------|-----|-------|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2 | Solvency Regulation |

| | | | |
|----------------|-------------------|--------------------|----------------|
| FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| Actual | Expd. Plan | Fund. Issue | Total |

| | | |
|--------------|--------|--|
| Fund: | 2034-N | Insurance Examiners Revolving (Non-Appropriated) |
|--------------|--------|--|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|---------------------|---------|---------|-----|---------|
| 2-1 | Solvency Regulation | 3,411.6 | 3,208.1 | 0.0 | 3,208.1 |
| | Total | 3,411.6 | 3,208.1 | 0.0 | 3,208.1 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|---------|---------|-----|---------|
| FTE Positions | 7.3 | 7.3 | 0.0 | 7.3 |
| Personal Services | 594.0 | 476.8 | 0.0 | 476.8 |
| Employee Related Expenses | 216.6 | 183.8 | 0.0 | 183.8 |
| Professional and Outside Services | 2,537.0 | 2,500.0 | 0.0 | 2,500.0 |
| Travel In-State | 0.1 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 5.1 | 5.0 | 0.0 | 5.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 57.1 | 42.5 | 0.0 | 42.5 |
| Equipment | 1.7 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|---------|---------|-----|---------|
| Expenditure Categories Total: | 3,411.6 | 3,208.1 | 0.0 | 3,208.1 |
|--------------------------------------|---------|---------|-----|---------|

| | | | | |
|---------------------------|---------|---------|-----|---------|
| Fund 2034-N Total: | 3,411.6 | 3,208.1 | 0.0 | 3,208.1 |
|---------------------------|---------|---------|-----|---------|

| | | | | |
|-------------------------|---------|---------|-----|---------|
| Program 2 Total: | 3,411.6 | 3,208.1 | 0.0 | 3,208.1 |
|-------------------------|---------|---------|-----|---------|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2 | Solvency Regulation |

| FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|-------------------|-----------------------|------------------------|------------------|
|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|---|
| Fund: | 2114-N | Arizona Property & Casualty Insurance Guaranty (Non-Appropriated) |
|--------------|--------|---|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|---------------------|----------|----------|-----|----------|
| 2-1 | Solvency Regulation | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |
| | Total | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|----------|----------|-----|----------|
| FTE Positions | 3.0 | 3.0 | 0.0 | 3.0 |
| Personal Services | 172.4 | 197.8 | 0.0 | 197.8 |
| Employee Related Expenses | 66.8 | 76.3 | 0.0 | 76.3 |
| Professional and Outside Services | 177.2 | 181.4 | 0.0 | 181.4 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 4.4 | 4.4 | 0.0 | 4.4 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 12,489.8 | 12,484.9 | 0.0 | 12,484.9 |
| Equipment | 1.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|----------|----------|-----|----------|
| Expenditure Categories Total: | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |
|--------------------------------------|----------|----------|-----|----------|

| | | | | |
|---------------------------|----------|----------|-----|----------|
| Fund 2114-N Total: | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |
|---------------------------|----------|----------|-----|----------|

| | | | | |
|-------------------------|----------|----------|-----|----------|
| Program 2 Total: | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |
|-------------------------|----------|----------|-----|----------|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2 | Solvency Regulation |

| FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|-------------------|-----------------------|------------------------|------------------|
|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|---|
| Fund: | 2154-N | Life and Disability Insurance Guaranty (Non-Appropriated) |
|--------------|--------|---|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|---------------------|---------|---------|----------|----------|
| 2-1 | Solvency Regulation | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |
| | Total | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-------|-------|----------|----------|
| FTE Positions | 1.8 | 1.8 | 0.0 | 1.8 |
| Personal Services | 147.6 | 145.0 | 0.0 | 145.0 |
| Employee Related Expenses | 49.5 | 55.9 | 0.0 | 55.9 |
| Professional and Outside Services | 26.8 | 31.0 | 0.0 | 31.0 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 12.9 | 12.9 | 0.0 | 12.9 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 868.1 | 838.6 | 80,000.0 | 80,838.6 |
| Equipment | 0.4 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|---------|---------|----------|----------|
| Expenditure Categories Total: | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |
|--------------------------------------|---------|---------|----------|----------|

| | | | | |
|---------------------------|---------|---------|----------|----------|
| Fund 2154-N Total: | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |
|---------------------------|---------|---------|----------|----------|

| | | | | |
|-------------------------|---------|---------|----------|----------|
| Program 2 Total: | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |
|-------------------------|---------|---------|----------|----------|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2 | Solvency Regulation |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--|-------------------|-----------------------|------------------------|------------------|
|--|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|--|
| Fund: | 2473-N | Financial Surveillance Fund (Non-Appropriated) |
|--------------|--------|--|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|---------------------|-------|-------|-----|-------|
| 2-1 | Solvency Regulation | 263.3 | 586.9 | 0.0 | 586.9 |
| | Total | 263.3 | 586.9 | 0.0 | 586.9 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| FTE Positions | 7.3 | 7.3 | 0.0 | 7.3 |
| Personal Services | 171.2 | 404.0 | 0.0 | 404.0 |
| Employee Related Expenses | 67.7 | 155.8 | 0.0 | 155.8 |
| Professional and Outside Services | 3.9 | 7.5 | 0.0 | 7.5 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 17.0 | 19.6 | 0.0 | 19.6 |
| Equipment | 3.5 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| Expenditure Categories Total: | 263.3 | 586.9 | 0.0 | 586.9 |
|--------------------------------------|-------|-------|-----|-------|

| | | | | |
|---------------------------|-------|-------|-----|-------|
| Fund 2473-N Total: | 263.3 | 586.9 | 0.0 | 586.9 |
|---------------------------|-------|-------|-----|-------|

| | | | | |
|-------------------------|-------|-------|-----|-------|
| Program 2 Total: | 263.3 | 586.9 | 0.0 | 586.9 |
|-------------------------|-------|-------|-----|-------|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2 | Solvency Regulation |

| FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|-------------------|-----------------------|------------------------|------------------|
|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|---|
| Fund: | 3104-N | Receivership Liquidation (Non-Appropriated) |
|--------------|--------|---|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|---------------------|------|------|-----|------|
| 2-1 | Solvency Regulation | 24.9 | 81.7 | 0.0 | 81.7 |
| | Total | 24.9 | 81.7 | 0.0 | 81.7 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|------|------|-----|------|
| FTE Positions | 0.8 | 0.8 | 0.0 | 0.8 |
| Personal Services | 15.3 | 54.4 | 0.0 | 54.4 |
| Employee Related Expenses | 4.5 | 20.9 | 0.0 | 20.9 |
| Professional and Outside Services | 0.1 | 0.2 | 0.0 | 0.2 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 0.9 | 1.5 | 0.0 | 1.5 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 4.1 | 4.7 | 0.0 | 4.7 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|------|------|-----|------|
| Expenditure Categories Total: | 24.9 | 81.7 | 0.0 | 81.7 |
|--------------------------------------|------|------|-----|------|

| | | | | |
|---------------------------|------|------|-----|------|
| Fund 3104-N Total: | 24.9 | 81.7 | 0.0 | 81.7 |
|---------------------------|------|------|-----|------|

| | | | | |
|-------------------------|------|------|-----|------|
| Program 2 Total: | 24.9 | 81.7 | 0.0 | 81.7 |
|-------------------------|------|------|-----|------|

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2-1 | Solvency Regulation |

| Expenditure Categories | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
|--------------------------------------|---|----------|------------|-------------|----------|
| | | Actual | Expd. Plan | Fund. Issue | Total |
| 0000 | FTE | 23.3 | 23.3 | 0.0 | 23.3 |
| 6000 | Personal Services | 1,222.8 | 1,371.2 | 0.0 | 1,371.2 |
| 6100 | Employee Related Expenses | 481.7 | 528.7 | 0.0 | 528.7 |
| 6200 | Professional and Outside Services | 2,745.8 | 2,720.9 | 0.0 | 2,720.9 |
| 6500 | Travel In-State | 0.1 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 23.3 | 23.8 | 0.0 | 23.8 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 13,467.5 | 13,421.1 | 80,000.0 | 93,421.1 |
| 8000 | Equipment | 16.9 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 17,958.1 | 18,065.7 | 80,000.0 | 98,065.7 |
| Fund Source | | | | | |
| Appropriated Funds | | | | | |
| 1000-A | General Fund (Appropriated) | 241.4 | 160.8 | 0.0 | 160.8 |
| | | 241.4 | 160.8 | 0.0 | 160.8 |
| Non-Appropriated Funds | | | | | |
| 2034-N | Insurance Examiners Revolving (Non-Appropriated) | 3,411.6 | 3,208.1 | 0.0 | 3,208.1 |
| 2114-N | Arizona Property & Casualty Insurance Guaranty (| 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |
| 2154-N | Life and Disability Insurance Guaranty (Non-Appro | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |
| 2473-N | Financial Surveillance Fund (Non-Appropriated) | 263.3 | 586.9 | 0.0 | 586.9 |
| 3104-N | Receivership Liquidation (Non-Appropriated) | 24.9 | 81.7 | 0.0 | 81.7 |
| | | 17,716.7 | 17,904.9 | 80,000.0 | 97,904.9 |
| Fund Source Total: | | 17,958.1 | 18,065.7 | 80,000.0 | 98,065.7 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 2-1 Solvency Regulation | | | | | |
| Fund: 1000-A General Fund | | | | | |
| Appropriated | | | | | |
| 0000 | FTE | 3.1 | 3.1 | 0.0 | 3.1 |
| 6000 | Personal Services | 122.3 | 93.2 | 0.0 | 93.2 |
| 6100 | Employee Related Expenses | 76.6 | 36.0 | 0.0 | 36.0 |
| 6200 | Professional and Outside Services | 0.8 | 0.8 | 0.0 | 0.8 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 31.4 | 30.8 | 0.0 | 30.8 |
| 8000 | Equipment | 10.3 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Appropriated Total: | | 241.4 | 160.8 | 0.0 | 160.8 |
| Fund Total: | | 241.4 | 160.8 | 0.0 | 160.8 |
| Program Total For Selected Funds: | | 241.4 | 160.8 | 0.0 | 160.8 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 2-1 Solvency Regulation | | | | | |
| Fund: 2034-N Insurance Examiners Revolving Fund | | | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 7.3 | 7.3 | 0.0 | 7.3 |
| 6000 | Personal Services | 594.0 | 476.8 | 0.0 | 476.8 |
| 6100 | Employee Related Expenses | 216.6 | 183.8 | 0.0 | 183.8 |
| 6200 | Professional and Outside Services | 2,537.0 | 2,500.0 | 0.0 | 2,500.0 |
| 6500 | Travel In-State | 0.1 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 5.1 | 5.0 | 0.0 | 5.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 57.1 | 42.5 | 0.0 | 42.5 |
| 8000 | Equipment | 1.7 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 3,411.6 | 3,208.1 | 0.0 | 3,208.1 |
| Fund Total: | | 3,411.6 | 3,208.1 | 0.0 | 3,208.1 |
| Program Total For Selected Funds: | | 3,411.6 | 3,208.1 | 0.0 | 3,208.1 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|-----------------------------------|--------------------------------------|--|------------|-------------|----------|
| Agency: IDA | | Department of Insurance | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 2-1 | | Solvency Regulation | | | |
| Fund: 2114-N | | Arizona Property and Casualty Insurance Guarantee Fund | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 3.0 | 3.0 | 0.0 | 3.0 |
| 6000 | Personal Services | 172.4 | 197.8 | 0.0 | 197.8 |
| 6100 | Employee Related Expenses | 66.8 | 76.3 | 0.0 | 76.3 |
| 6200 | Professional and Outside Services | 177.2 | 181.4 | 0.0 | 181.4 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 4.4 | 4.4 | 0.0 | 4.4 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 12,489.8 | 12,484.9 | 0.0 | 12,484.9 |
| 8000 | Equipment | 1.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |
| Fund Total: | | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |
| Program Total For Selected Funds: | | 12,911.6 | 12,944.8 | 0.0 | 12,944.8 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|--|-------------------|--------------------|----------------|
| Agency: IDA | | Department of Insurance | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 2-1 | | Solvency Regulation | | | |
| Fund: 2154-N | | Life and Disability Insurance Guaranty Fund | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 1.8 | 1.8 | 0.0 | 1.8 |
| 6000 | Personal Services | 147.6 | 145.0 | 0.0 | 145.0 |
| 6100 | Employee Related Expenses | 49.5 | 55.9 | 0.0 | 55.9 |
| 6200 | Professional and Outside Services | 26.8 | 31.0 | 0.0 | 31.0 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 12.9 | 12.9 | 0.0 | 12.9 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 868.1 | 838.6 | 80,000.0 | 80,838.6 |
| 8000 | Equipment | 0.4 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |
| Fund Total: | | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |
| Program Total For Selected Funds: | | 1,105.3 | 1,083.4 | 80,000.0 | 81,083.4 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|---|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 2-1 Solvency Regulation | | | | | |
| Fund: 2473-N Financial Surveillance Fund | | | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 7.3 | 7.3 | 0.0 | 7.3 |
| 6000 | Personal Services | 171.2 | 404.0 | 0.0 | 404.0 |
| 6100 | Employee Related Expenses | 67.7 | 155.8 | 0.0 | 155.8 |
| 6200 | Professional and Outside Services | 3.9 | 7.5 | 0.0 | 7.5 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 17.0 | 19.6 | 0.0 | 19.6 |
| 8000 | Equipment | 3.5 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 263.3 | 586.9 | 0.0 | 586.9 |
| Fund Total: | | 263.3 | 586.9 | 0.0 | 586.9 |
| Program Total For Selected Funds: | | 263.3 | 586.9 | 0.0 | 586.9 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|---|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 2-1 Solvency Regulation | | | | | |
| Fund: 3104-N Receivership Liquidation Fund | | | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 0.8 | 0.8 | 0.0 | 0.8 |
| 6000 | Personal Services | 15.3 | 54.4 | 0.0 | 54.4 |
| 6100 | Employee Related Expenses | 4.5 | 20.9 | 0.0 | 20.9 |
| 6200 | Professional and Outside Services | 0.1 | 0.2 | 0.0 | 0.2 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 0.9 | 1.5 | 0.0 | 1.5 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 4.1 | 4.7 | 0.0 | 4.7 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 24.9 | 81.7 | 0.0 | 81.7 |
| Fund Total: | | 24.9 | 81.7 | 0.0 | 81.7 |
| Program Total For Selected Funds: | | 24.9 | 81.7 | 0.0 | 81.7 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2-1 | Solvency Regulation |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| FTE Positions | | |
| FTE | 23.3 | 23.3 |
| Expenditure Category Total | 23.3 | 23.3 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 3.1 | 3.1 |
| | 3.1 | 3.1 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 7.3 | 7.3 |
| 2114-N Arizona Property & Casualty Insurance Guaranty (Non-Appro | 3.0 | 3.0 |
| 2154-N Life and Disability Insurance Guaranty (Non-Appropriated) | 1.8 | 1.8 |
| 2473-N Financial Surveillance Fund (Non-Appropriated) | 7.3 | 7.3 |
| 3104-N Receivership Liquidation (Non-Appropriated) | 0.8 | 0.8 |
| | 20.2 | 20.2 |
| Fund Source Total | 23.3 | 23.3 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Personal Services | | |
| Personal Services | 1,222.8 | 1,371.2 |
| Boards and Commissions | 0.0 | 0.0 |
| Expenditure Category Total | 1,222.8 | 1,371.2 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 122.3 | 93.2 |
| | 122.3 | 93.2 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 594.0 | 476.8 |
| 2114-N Arizona Property & Casualty Insurance Guaranty (Non-Appro | 172.4 | 197.8 |
| 2154-N Life and Disability Insurance Guaranty (Non-Appropriated) | 147.6 | 145.0 |
| 2473-N Financial Surveillance Fund (Non-Appropriated) | 171.2 | 404.0 |
| 3104-N Receivership Liquidation (Non-Appropriated) | 15.3 | 54.4 |
| | 1,100.5 | 1,278.0 |
| Fund Source Total | 1,222.8 | 1,371.2 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|----------------------------------|---------------------------|-------------------------------|
| Employee Related Expenses | | |
| Employee Related Expenses | 481.7 | 528.7 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2-1 | Solvency Regulation |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Employee Related Expenses | | |
| Expenditure Category Total | 481.7 | 528.7 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 76.6 | 36.0 |
| | 76.6 | 36.0 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 216.6 | 183.8 |
| 2114-N Arizona Property & Casualty Insurance Guaranty (Non-Appro | 66.8 | 76.3 |
| 2154-N Life and Disability Insurance Guaranty (Non-Appropriated) | 49.5 | 55.9 |
| 2473-N Financial Surveillance Fund (Non-Appropriated) | 67.7 | 155.8 |
| 3104-N Receivership Liquidation (Non-Appropriated) | 4.5 | 20.9 |
| | 405.1 | 492.7 |
| Fund Source Total | 481.7 | 528.7 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| External Prof/Outside Serv Budg And Appn | 0.0 | 0.0 |
| External Investment Services | 149.9 | 149.9 |
| Other External Financial Services | 28.3 | 28.3 |
| Attorney General Legal Services | 12.9 | 21.7 |
| External Legal Services | 13.8 | 13.8 |
| External Engineer/Architect Cost - Exp | 0.0 | 0.0 |
| External Engineer/Architect Cost- Cap | 0.0 | 0.0 |
| Other Design | 0.0 | 0.0 |
| Temporary Agency Services | 0.0 | 0.0 |
| Hospital Services | 0.0 | 0.0 |
| Other Medical Services | 0.0 | 0.0 |
| Institutional Care | 0.0 | 0.0 |
| Education And Training | 0.0 | 0.0 |
| Vendor Travel | 0.0 | 0.0 |
| Professional & Outside Services Excluded from Cost Alloca | 0.0 | 0.0 |
| Vendor Travel - Non Reportable | 0.0 | 0.0 |
| External Telecom Consulting Services | 0.0 | 0.0 |
| Non - Confidential Specialist Fees | 0.0 | 0.0 |
| Confidential Specialist Fees | 0.0 | 0.0 |
| Outside Actuarial Costs | 0.0 | 0.0 |
| Other Professional And Outside Services | 2,540.9 | 2,507.2 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2-1 | Solvency Regulation |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| Expenditure Category Total | 2,745.8 | 2,720.9 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 0.8 | 0.8 |
| | 0.8 | 0.8 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 2,537.0 | 2,500.0 |
| 2114-N Arizona Property & Casualty Insurance Guaranty (Non-Appro | 177.2 | 181.4 |
| 2154-N Life and Disability Insurance Guaranty (Non-Appropriated) | 26.8 | 31.0 |
| 2473-N Financial Surveillance Fund (Non-Appropriated) | 3.9 | 7.5 |
| 3104-N Receivership Liquidation (Non-Appropriated) | 0.1 | 0.2 |
| | 2,745.0 | 2,720.1 |
| Fund Source Total | 2,745.8 | 2,720.9 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Travel In-State | | |
| Travel In-State | 0.1 | 0.0 |
| Expenditure Category Total | 0.1 | 0.0 |
| Fund Source | | |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 0.1 | 0.0 |
| | 0.1 | 0.0 |
| Fund Source Total | 0.1 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Travel Out-of-State | | |
| Travel Out of State | 23.3 | 23.8 |
| Expenditure Category Total | 23.3 | 23.8 |
| Fund Source | | |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 5.1 | 5.0 |
| 2114-N Arizona Property & Casualty Insurance Guaranty (Non-Appro | 4.4 | 4.4 |
| 2154-N Life and Disability Insurance Guaranty (Non-Appropriated) | 12.9 | 12.9 |
| 3104-N Receivership Liquidation (Non-Appropriated) | 0.9 | 1.5 |
| | 23.3 | 23.8 |
| Fund Source Total | 23.3 | 23.8 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Food (Library for Universities) | | |
| Food (Library for Universities) | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2-1 | Solvency Regulation |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Aid to Organizations & Individuals | | |
| Aid to Organizations and Individuals | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Other Operating Expenditures | | |
| Other Operating Expenditures | 0.0 | 0.0 |
| Insurance & Related Charges | 13,158.1 | 13,243.5 |
| Information Technology Services | 30.0 | 31.9 |
| Utilities | 0.0 | 0.0 |
| Non-Building or Land Rent | 0.0 | 0.0 |
| Building Rent Charges to State Agencies | 75.0 | 84.5 |
| COP Building Rent Charges to State Agencies | 57.7 | 0.0 |
| Rental of Land & Buildings | 0.0 | 0.0 |
| Interest Payments | 0.0 | 0.0 |
| Internal Acct, Budgeting and Financial Svcs. | 0.0 | 0.0 |
| Payments for Internal Services | 0.0 | 0.0 |
| Repair & Maintenance | 2.2 | 2.3 |
| Software Support and Maintenance | 42.0 | 42.3 |
| Operating Supplies | 3.3 | 3.9 |
| Resale Supplies | 0.0 | 0.0 |
| Sales of Assets | 0.0 | 0.0 |
| Conference, Education & Training | 1.4 | 1.5 |
| Advertising | 0.0 | 0.0 |
| Printing & Photography | 0.8 | 0.8 |
| Postage & Delivery | 1.4 | 1.3 |
| Miscellaneous Operating | 95.6 | 9.1 |
| Depreciation Expense | 0.0 | 0.0 |
| Expenditure Category Total | 13,467.5 | 13,421.1 |

| Fund Source | | |
|--|-----------------|-----------------|
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 31.4 | 30.8 |
| | 31.4 | 30.8 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 57.1 | 42.5 |
| 2114-N Arizona Property & Casualty Insurance Guaranty (Non-Appro | 12,489.8 | 12,484.9 |
| 2154-N Life and Disability Insurance Guaranty (Non-Appropriated) | 868.1 | 838.6 |
| 2473-N Financial Surveillance Fund (Non-Appropriated) | 17.0 | 19.6 |
| 3104-N Receivership Liquidation (Non-Appropriated) | 4.1 | 4.7 |
| | 13,436.1 | 13,390.3 |
| Fund Source Total | 13,467.5 | 13,421.1 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Equipment | | |
| Vehicles - Capital Leases | 0.0 | 0.0 |
| Furniture - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Capital Leases | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2-1 | Solvency Regulation |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Equipment | | |
| EDP Equipment - Midrange - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - PCs/LAN - Capital Leases | 0.0 | 0.0 |
| Telecommunication Equipment - Capital Leases | 0.0 | 0.0 |
| Other Equipment - Capital Leases | 0.0 | 0.0 |
| Capital Equipment Purchases | 10.3 | 0.0 |
| Vehicles - Non-Capital | 0.0 | 0.0 |
| Furniture - Non-Capital | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Non-Capital | 3.5 | 0.0 |
| Telecommunication Equipment - Non Capital | 0.0 | 0.0 |
| Other Equipment - Non-Capital | 0.0 | 0.0 |
| Purchased Or Licensed Software/Website | 3.1 | 0.0 |
| Internally Generated Software/Website | 0.0 | 0.0 |
| Expenditure Category Total | 16.9 | 0.0 |

| | | |
|--|-------------|------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 10.3 | 0.0 |
| | 10.3 | 0.0 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 1.7 | 0.0 |
| 2114-N Arizona Property & Casualty Insurance Guaranty (Non-Appro | 1.0 | 0.0 |
| 2154-N Life and Disability Insurance Guaranty (Non-Appropriated) | 0.4 | 0.0 |
| 2473-N Financial Surveillance Fund (Non-Appropriated) | 3.5 | 0.0 |
| | 6.6 | 0.0 |
| Fund Source Total | 16.9 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Capital Outlay | | |
| Capital Outlay | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Debt Services | | |
| Debt Service | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Cost Allocation | | |
| Cost Allocation | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------|---------------------------|-------------------------------|
| Transfers | | |
| Transfers | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2-1 | Solvency Regulation |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Transfers | | |
| Expenditure Category Total | 0.0 | 0.0 |

Classification Listing

| Class Code | Title | Grade | Total FTE |
|-----------------------|-------------------------------|--------------|------------------|
| AUN04 | ACTUARY | 27 | 1.2 |
| ACV73 | ADMV ASST 1 | 13 | 1.0 |
| AUN06 | ADMV ASST 2 | 15 | 0.3 |
| AUN01 | ADMV ASST 3 | 17 | 1.0 |
| ACV73 | ADMV ASST 3 | 17 | 1.0 |
| AUN09 | ADMV SVCS OFFCR 4 | 23 | 0.4 |
| AUN03 | CHF FINL ANALYST | 25 | 0.8 |
| AUN03 | CHF FINL EXMNR | 27 | 1.0 |
| AUN05 | DPTY RECEIVER | 25 | 1.0 |
| AUN04 | EXEC CONSULT 1 | 20 | 1.0 |
| AUN04 | FINL AFFAIRS COORD | 24 | 2.0 |
| AUN04 | FINL ANALYST 1 | 19 | 3.0 |
| AUN04 | FINL ANALYST 2 | 21 | 1.0 |
| AUN04 | FINL ANALYST 3 | 23 | 3.0 |
| AUN06 | GURNTY FUNDS ASST DIR | E2 | 1.0 |
| AUN06 | GURNTY FUNDS CLMS MGR | 23 | 1.0 |
| AUN02 | GURNTY FUNDS CONTROLLER | 20 | 1.0 |
| AUN02 | GURNTY FUNDS SR CLMS ADJSTR | 22 | 1.0 |
| AUN03 | ID ASST DIR CORP FINL AFFAIRS | E2 | 1.0 |
| AUN06 | PROG ADMR 1 | 22 | 0.5 |

Employee Retirement Coverage

| Retirement System | FTE | Personal Services | Fund# |
|--------------------------|------------|------------------------------|--------------|
| State Retirement System | 0.8 | 54.4 | 3104-N |
| State Retirement System | 7.3 | 404.0 | 2473-N |
| State Retirement System | 1.7 | 145.0 | 2154-N |
| State Retirement System | 3.0 | 197.8 | 2114-N |
| State Retirement System | 7.3 | 476.8 | 2034-N |
| State Retirement System | 3.1 | 93.2 | 1000-A |

Combined Regular & Elected Positions At/Above FICA Maximum of \$418,500

Date Printed: 9/7/2016 12:10:37 PM

All dollars are presented in thousands (not FTE).

Program Expenditure Schedule

| | | |
|----------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 2-1 | Solvency Regulation |

FICA maximum of \$110,500

| Total FTE | Personal Services | FTE's not eligible for Health, Dental & Life |
|-----------|-------------------|--|
| 0.0 | 0.0 | 0.0 |

Program Summary of Expenditures and Budget Request

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3 | Consumer Support |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--|-------------------|-----------------------|------------------------|------------------|
| Program Summary | | | | | |
| 3-1 | Consumer Support | 3,201.5 | 4,116.9 | (669.9) | 3,447.0 |
| Program Summary Total: | | 3,201.5 | 4,116.9 | (669.9) | 3,447.0 |
| Expenditure Categories | | | | | |
| 0000 | FTE Positions | 40.0 | 40.0 | 0.0 | 40.0 |
| 6000 | Personal Services | 1,635.3 | 1,770.7 | (5.5) | 1,765.2 |
| 6100 | Employee Related Expenses | 678.0 | 682.5 | (1.7) | 680.8 |
| 6200 | Professional and Outside Services | 620.7 | 1,395.3 | (657.9) | 737.4 |
| 6500 | Travel In-State | 2.1 | 2.0 | 0.0 | 2.0 |
| 6600 | Travel Out of State | 4.1 | 7.3 | (4.2) | 3.1 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 257.8 | 259.1 | (0.6) | 258.5 |
| 8000 | Equipment | 3.5 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 3,201.5 | 4,116.9 | (669.9) | 3,447.0 |
| Fund Source | | | | | |
| Appropriated Funds | | | | | |
| 1000-A | General Fund (Appropriated) | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
| | | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
| Non-Appropriated Funds | | | | | |
| 2000-N | Federal Grant (Non-Appropriated) | 333.8 | 836.0 | (669.9) | 166.1 |
| 2034-N | Insurance Examiners Revolving (Non-Appropriated) | 647.6 | 656.8 | 0.0 | 656.8 |
| 2316-N | Assessment Fund for Voluntary Plans Fund (Non-A | 72.4 | 0.5 | 0.0 | 0.5 |
| 2467-N | Health Care Appeals Fund (Non-Appropriated) | 262.8 | 308.8 | 0.0 | 308.8 |
| | | 1,316.6 | 1,802.1 | (669.9) | 1,132.2 |
| Fund Source Total: | | 3,201.5 | 4,116.9 | (669.9) | 3,447.0 |

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3 | Consumer Support |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--|-------------------|-----------------------|------------------------|------------------|
|--|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|-----------------------------|
| Fund: | 1000-A | General Fund (Appropriated) |
|--------------|--------|-----------------------------|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|------------------|---------|---------|-----|---------|
| 3-1 | Consumer Support | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
| | Total | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |

Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|---------|---------|-----|---------|
| FTE Positions | 35.0 | 35.0 | 0.0 | 35.0 |
| Personal Services | 1,200.6 | 1,521.0 | 0.0 | 1,521.0 |
| Employee Related Expenses | 491.1 | 586.6 | 0.0 | 586.6 |
| Professional and Outside Services | 1.3 | 1.3 | 0.0 | 1.3 |
| Travel In-State | 2.1 | 2.0 | 0.0 | 2.0 |
| Travel Out of State | 0.6 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 186.6 | 203.9 | 0.0 | 203.9 |
| Equipment | 2.6 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|---------|---------|-----|---------|
| Expenditure Categories Total: | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
|--------------------------------------|---------|---------|-----|---------|

| | | | | |
|---------------------------|---------|---------|-----|---------|
| Fund 1000-A Total: | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
|---------------------------|---------|---------|-----|---------|

| | | | | |
|-------------------------|---------|---------|-----|---------|
| Program 3 Total: | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
|-------------------------|---------|---------|-----|---------|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3 | Consumer Support |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--|-------------------|-----------------------|------------------------|------------------|
|--|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|----------------------------------|
| Fund: | 2000-N | Federal Grant (Non-Appropriated) |
|--------------|--------|----------------------------------|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|------------------|-------|-------|---------|-------|
| 3-1 | Consumer Support | 333.8 | 836.0 | (669.9) | 166.1 |
| | Total | 333.8 | 836.0 | (669.9) | 166.1 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-------|-------|---------|-------|
| FTE Positions | 0.0 | 0.0 | 0.0 | 0.0 |
| Personal Services | 170.8 | 5.5 | (5.5) | 0.0 |
| Employee Related Expenses | 61.2 | 1.7 | (1.7) | 0.0 |
| Professional and Outside Services | 89.2 | 822.3 | (657.9) | 164.4 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 1.8 | 5.6 | (4.2) | 1.4 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 10.8 | 0.9 | (0.6) | 0.3 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|-------|-------|---------|-------|
| Expenditure Categories Total: | 333.8 | 836.0 | (669.9) | 166.1 |
|--------------------------------------|-------|-------|---------|-------|

| | | | | |
|---------------------------|-------|-------|---------|-------|
| Fund 2000-N Total: | 333.8 | 836.0 | (669.9) | 166.1 |
|---------------------------|-------|-------|---------|-------|

| | | | | |
|-------------------------|-------|-------|---------|-------|
| Program 3 Total: | 333.8 | 836.0 | (669.9) | 166.1 |
|-------------------------|-------|-------|---------|-------|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3 | Consumer Support |

| FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|-------------------|-----------------------|------------------------|------------------|
|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|--|
| Fund: | 2034-N | Insurance Examiners Revolving (Non-Appropriated) |
|--------------|--------|--|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|------------------|-------|-------|-----|-------|
| 3-1 | Consumer Support | 647.6 | 656.8 | 0.0 | 656.8 |
| | Total | 647.6 | 656.8 | 0.0 | 656.8 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| FTE Positions | 4.0 | 4.0 | 0.0 | 4.0 |
| Personal Services | 170.2 | 186.2 | 0.0 | 186.2 |
| Employee Related Expenses | 76.6 | 71.8 | 0.0 | 71.8 |
| Professional and Outside Services | 362.4 | 362.4 | 0.0 | 362.4 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 1.7 | 1.7 | 0.0 | 1.7 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 35.8 | 34.7 | 0.0 | 34.7 |
| Equipment | 0.9 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| Expenditure Categories Total: | 647.6 | 656.8 | 0.0 | 656.8 |
|--------------------------------------|-------|-------|-----|-------|

| | | | | |
|---------------------------|-------|-------|-----|-------|
| Fund 2034-N Total: | 647.6 | 656.8 | 0.0 | 656.8 |
|---------------------------|-------|-------|-----|-------|

| | | | | |
|-------------------------|-------|-------|-----|-------|
| Program 3 Total: | 647.6 | 656.8 | 0.0 | 656.8 |
|-------------------------|-------|-------|-----|-------|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3 | Consumer Support |

| | | | |
|---------|------------|-------------|---------|
| FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| Actual | Expd. Plan | Fund. Issue | Total |

| | | |
|--------------|--------|---|
| Fund: | 2316-N | Assessment Fund for Voluntary Plans Fund (Non-Appropriated) |
|--------------|--------|---|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|------------------|------|-----|-----|-----|
| 3-1 | Consumer Support | 72.4 | 0.5 | 0.0 | 0.5 |
| | Total | 72.4 | 0.5 | 0.0 | 0.5 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|------|-----|-----|-----|
| Personal Services | 39.2 | 0.0 | 0.0 | 0.0 |
| Employee Related Expenses | 24.1 | 0.0 | 0.0 | 0.0 |
| Professional and Outside Services | 0.4 | 0.0 | 0.0 | 0.0 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 8.7 | 0.5 | 0.0 | 0.5 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|------|-----|-----|-----|
| Expenditure Categories Total: | 72.4 | 0.5 | 0.0 | 0.5 |
|--------------------------------------|------|-----|-----|-----|

| | | | | |
|---------------------------|------|-----|-----|-----|
| Fund 2316-N Total: | 72.4 | 0.5 | 0.0 | 0.5 |
|---------------------------|------|-----|-----|-----|

| | | | | |
|-------------------------|------|-----|-----|-----|
| Program 3 Total: | 72.4 | 0.5 | 0.0 | 0.5 |
|-------------------------|------|-----|-----|-----|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3 | Consumer Support |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--|-------------------|-----------------------|------------------------|------------------|
|--|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|---|
| Fund: | 2467-N | Health Care Appeals Fund (Non-Appropriated) |
|--------------|--------|---|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|------------------|-------|-------|-----|-------|
| 3-1 | Consumer Support | 262.8 | 308.8 | 0.0 | 308.8 |
| | Total | 262.8 | 308.8 | 0.0 | 308.8 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| FTE Positions | 1.0 | 1.0 | 0.0 | 1.0 |
| Personal Services | 54.5 | 58.0 | 0.0 | 58.0 |
| Employee Related Expenses | 25.0 | 22.4 | 0.0 | 22.4 |
| Professional and Outside Services | 167.4 | 209.3 | 0.0 | 209.3 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 15.9 | 19.1 | 0.0 | 19.1 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| Expenditure Categories Total: | 262.8 | 308.8 | 0.0 | 308.8 |
|--------------------------------------|-------|-------|-----|-------|

| | | | | |
|---------------------------|-------|-------|-----|-------|
| Fund 2467-N Total: | 262.8 | 308.8 | 0.0 | 308.8 |
|---------------------------|-------|-------|-----|-------|

| | | | | |
|-------------------------|-------|-------|-----|-------|
| Program 3 Total: | 262.8 | 308.8 | 0.0 | 308.8 |
|-------------------------|-------|-------|-----|-------|

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3-1 | Consumer Support |

| Expenditure Categories | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--|-------------------|-----------------------|------------------------|------------------|
| | | | | | |
| 0000 | FTE | 40.0 | 40.0 | 0.0 | 40.0 |
| 6000 | Personal Services | 1,635.3 | 1,770.7 | (5.5) | 1,765.2 |
| 6100 | Employee Related Expenses | 678.0 | 682.5 | (1.7) | 680.8 |
| 6200 | Professional and Outside Services | 620.7 | 1,395.3 | (657.9) | 737.4 |
| 6500 | Travel In-State | 2.1 | 2.0 | 0.0 | 2.0 |
| 6600 | Travel Out of State | 4.1 | 7.3 | (4.2) | 3.1 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 257.8 | 259.1 | (0.6) | 258.5 |
| 8000 | Equipment | 3.5 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 3,201.5 | 4,116.9 | (669.9) | 3,447.0 |
| | | | | | |
| Fund Source | | | | | |
| | | | | | |
| Appropriated Funds | | | | | |
| 1000-A | General Fund (Appropriated) | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
| | | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
| Non-Appropriated Funds | | | | | |
| 2000-N | Federal Grant (Non-Appropriated) | 333.8 | 836.0 | (669.9) | 166.1 |
| 2034-N | Insurance Examiners Revolving (Non-Appropriated) | 647.6 | 656.8 | 0.0 | 656.8 |
| 2316-N | Assessment Fund for Voluntary Plans Fund (Non-A | 72.4 | 0.5 | 0.0 | 0.5 |
| 2467-N | Health Care Appeals Fund (Non-Appropriated) | 262.8 | 308.8 | 0.0 | 308.8 |
| | | 1,316.6 | 1,802.1 | (669.9) | 1,132.2 |
| Fund Source Total: | | 3,201.5 | 4,116.9 | (669.9) | 3,447.0 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 3-1 Consumer Support | | | | | |
| Fund: 1000-A General Fund | | | | | |
| Appropriated | | | | | |
| 0000 | FTE | 35.0 | 35.0 | 0.0 | 35.0 |
| 6000 | Personal Services | 1,200.6 | 1,521.0 | 0.0 | 1,521.0 |
| 6100 | Employee Related Expenses | 491.1 | 586.6 | 0.0 | 586.6 |
| 6200 | Professional and Outside Services | 1.3 | 1.3 | 0.0 | 1.3 |
| 6500 | Travel In-State | 2.1 | 2.0 | 0.0 | 2.0 |
| 6600 | Travel Out of State | 0.6 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 186.6 | 203.9 | 0.0 | 203.9 |
| 8000 | Equipment | 2.6 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Appropriated Total: | | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
| Fund Total: | | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |
| Program Total For Selected Funds: | | 1,884.9 | 2,314.8 | 0.0 | 2,314.8 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 3-1 Consumer Support | | | | | |
| Fund: 2000-N Federal Grant Fund | | | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 0.0 | 0.0 | 0.0 | 0.0 |
| 6000 | Personal Services | 170.8 | 5.5 | (5.5) | 0.0 |
| 6100 | Employee Related Expenses | 61.2 | 1.7 | (1.7) | 0.0 |
| 6200 | Professional and Outside Services | 89.2 | 822.3 | (657.9) | 164.4 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 1.8 | 5.6 | (4.2) | 1.4 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 10.8 | 0.9 | (0.6) | 0.3 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 333.8 | 836.0 | (669.9) | 166.1 |
| Fund Total: | | 333.8 | 836.0 | (669.9) | 166.1 |
| Program Total For Selected Funds: | | 333.8 | 836.0 | (669.9) | 166.1 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 3-1 Consumer Support | | | | | |
| Fund: 2034-N Insurance Examiners Revolving Fund | | | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 4.0 | 4.0 | 0.0 | 4.0 |
| 6000 | Personal Services | 170.2 | 186.2 | 0.0 | 186.2 |
| 6100 | Employee Related Expenses | 76.6 | 71.8 | 0.0 | 71.8 |
| 6200 | Professional and Outside Services | 362.4 | 362.4 | 0.0 | 362.4 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 1.7 | 1.7 | 0.0 | 1.7 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 35.8 | 34.7 | 0.0 | 34.7 |
| 8000 | Equipment | 0.9 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 647.6 | 656.8 | 0.0 | 656.8 |
| Fund Total: | | 647.6 | 656.8 | 0.0 | 656.8 |
| Program Total For Selected Funds: | | 647.6 | 656.8 | 0.0 | 656.8 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: IDA Department of Insurance

| FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|-------------------|-----------------------|------------------------|------------------|
|-------------------|-----------------------|------------------------|------------------|

Program: 3-1 Consumer Support

Fund: 2316-N Assessment Fund for Voluntary Plans Fund

Non-Appropriated

| | | | | |
|---|------|-----|-----|-----|
| 6000 Personal Services | 39.2 | 0.0 | 0.0 | 0.0 |
| 6100 Employee Related Expenses | 24.1 | 0.0 | 0.0 | 0.0 |
| 6200 Professional and Outside Services | 0.4 | 0.0 | 0.0 | 0.0 |
| 6500 Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6700 Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 Other Operating Expenses | 8.7 | 0.5 | 0.0 | 0.5 |
| 8000 Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | 72.4 | 0.5 | 0.0 | 0.5 |
| Fund Total: | 72.4 | 0.5 | 0.0 | 0.5 |
| Program Total For Selected Funds: | 72.4 | 0.5 | 0.0 | 0.5 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 3-1 Consumer Support | | | | | |
| Fund: 2467-N Health Care Appeals Fund | | | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 1.0 | 1.0 | 0.0 | 1.0 |
| 6000 | Personal Services | 54.5 | 58.0 | 0.0 | 58.0 |
| 6100 | Employee Related Expenses | 25.0 | 22.4 | 0.0 | 22.4 |
| 6200 | Professional and Outside Services | 167.4 | 209.3 | 0.0 | 209.3 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 15.9 | 19.1 | 0.0 | 19.1 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 262.8 | 308.8 | 0.0 | 308.8 |
| Fund Total: | | 262.8 | 308.8 | 0.0 | 308.8 |
| Program Total For Selected Funds: | | 262.8 | 308.8 | 0.0 | 308.8 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3-1 | Consumer Support |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| FTE Positions | | |
| FTE | 40.0 | 40.0 |
| Expenditure Category Total | 40.0 | 40.0 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 35.0 | 35.0 |
| | 35.0 | 35.0 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 4.0 | 4.0 |
| 2467-N Health Care Appeals Fund (Non-Appropriated) | 1.0 | 1.0 |
| | 5.0 | 5.0 |
| Fund Source Total | 40.0 | 40.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Personal Services | | |
| Personal Services | 1,635.3 | 1,770.7 |
| Boards and Commissions | 0.0 | 0.0 |
| Expenditure Category Total | 1,635.3 | 1,770.7 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 1,200.6 | 1,521.0 |
| | 1,200.6 | 1,521.0 |
| Non-Appropriated | | |
| 2000-N Federal Grant (Non-Appropriated) | 170.8 | 5.5 |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 170.2 | 186.2 |
| 2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriate) | 39.2 | 0.0 |
| 2467-N Health Care Appeals Fund (Non-Appropriated) | 54.5 | 58.0 |
| | 434.7 | 249.7 |
| Fund Source Total | 1,635.3 | 1,770.7 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Employee Related Expenses | | |
| Employee Related Expenses | 678.0 | 682.5 |
| Expenditure Category Total | 678.0 | 682.5 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 491.1 | 586.6 |
| | 491.1 | 586.6 |
| Non-Appropriated | | |
| 2000-N Federal Grant (Non-Appropriated) | 61.2 | 1.7 |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 76.6 | 71.8 |
| 2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriate) | 24.1 | 0.0 |
| 2467-N Health Care Appeals Fund (Non-Appropriated) | 25.0 | 22.4 |
| | 186.9 | 95.9 |
| Fund Source Total | 678.0 | 682.5 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3-1 | Consumer Support |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| External Prof/Outside Serv Budg And Appn | 0.0 | 0.0 |
| External Investment Services | 0.0 | 0.0 |
| Other External Financial Services | 0.0 | 0.0 |
| Attorney General Legal Services | 0.9 | 0.6 |
| External Legal Services | 0.0 | 0.0 |
| External Engineer/Architect Cost - Exp | 0.0 | 0.0 |
| External Engineer/Architect Cost- Cap | 0.0 | 0.0 |
| Other Design | 0.0 | 0.0 |
| Temporary Agency Services | 0.0 | 0.0 |
| Hospital Services | 0.0 | 0.0 |
| Other Medical Services | 0.0 | 0.0 |
| Institutional Care | 0.0 | 0.0 |
| Education And Training | 0.0 | 0.0 |
| Vendor Travel | 0.0 | 0.0 |
| Professional & Outside Services Excluded from Cost Alloca | 0.0 | 0.0 |
| Vendor Travel - Non Reportable | 0.0 | 0.0 |
| External Telecom Consulting Services | 0.0 | 0.0 |
| Non - Confidential Specialist Fees | 0.0 | 0.0 |
| Confidential Specialist Fees | 0.0 | 0.0 |
| Outside Actuarial Costs | 22.4 | 100.0 |
| Other Professional And Outside Services | 597.4 | 1,294.7 |
| Expenditure Category Total | 620.7 | 1,395.3 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 1.3 | 1.3 |
| | 1.3 | 1.3 |
| Non-Appropriated | | |
| 2000-N Federal Grant (Non-Appropriated) | 89.2 | 822.3 |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 362.4 | 362.4 |
| 2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriate | 0.4 | 0.0 |
| 2467-N Health Care Appeals Fund (Non-Appropriated) | 167.4 | 209.3 |
| | 619.4 | 1,394.0 |
| Fund Source Total | 620.7 | 1,395.3 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|------------------------------------|---------------------------|-------------------------------|
| Travel In-State | | |
| Travel In-State | 2.1 | 2.0 |
| Expenditure Category Total | 2.1 | 2.0 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 2.1 | 2.0 |
| | 2.1 | 2.0 |
| Fund Source Total | 2.1 | 2.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3-1 | Consumer Support |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Travel Out-of-State | | |
| Travel Out of State | 4.1 | 7.3 |
| Expenditure Category Total | 4.1 | 7.3 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 0.6 | 0.0 |
| | 0.6 | 0.0 |
| Non-Appropriated | | |
| 2000-N Federal Grant (Non-Appropriated) | 1.8 | 5.6 |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 1.7 | 1.7 |
| | 3.5 | 7.3 |
| Fund Source Total | 4.1 | 7.3 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Food (Library for Universities) | | |
| Food (Library for Universities) | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Aid to Organizations & Individuals | | |
| Aid to Organizations and Individuals | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Other Operating Expenditures | | |
| Other Operating Expenditures | 0.0 | 0.0 |
| Insurance & Related Charges | 10.2 | 11.7 |
| Information Technology Services | 47.8 | 39.2 |
| Utilities | 0.0 | 0.0 |
| Non-Building or Land Rent | 0.0 | 0.0 |
| Building Rent Charges to State Agencies | 154.9 | 167.2 |
| COP Building Rent Charges to State Agencies | 0.0 | 0.0 |
| Rental of Land & Buildings | 0.0 | 0.0 |
| Interest Payments | 0.0 | 0.0 |
| Internal Acct, Budgeting and Financial Svcs. | 0.0 | 0.0 |
| Payments for Internal Services | 0.0 | 0.0 |
| Repair & Maintenance | 4.3 | 4.3 |
| Software Support and Maintenance | 3.3 | 3.3 |
| Operating Supplies | 6.4 | 7.1 |
| Resale Supplies | 0.0 | 0.0 |
| Sales of Assets | 0.0 | 0.0 |
| Conference, Education & Training | 3.6 | 0.7 |
| Advertising | 0.0 | 0.0 |
| Printing & Photography | 0.2 | 0.2 |
| Postage & Delivery | 6.8 | 6.8 |
| Miscellaneous Operating | 20.3 | 18.6 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3-1 | Consumer Support |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Other Operating Expenditures | | |
| Depreciation Expense | 0.0 | 0.0 |
| Expenditure Category Total | 257.8 | 259.1 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 186.6 | 203.9 |
| | 186.6 | 203.9 |
| Non-Appropriated | | |
| 2000-N Federal Grant (Non-Appropriated) | 10.8 | 0.9 |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 35.8 | 34.7 |
| 2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriate | 8.7 | 0.5 |
| 2467-N Health Care Appeals Fund (Non-Appropriated) | 15.9 | 19.1 |
| | 71.2 | 55.2 |
| Fund Source Total | 257.8 | 259.1 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Equipment | | |
| Vehicles - Capital Leases | 0.0 | 0.0 |
| Furniture - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Midrange - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - PCs/LAN - Capital Leases | 0.0 | 0.0 |
| Telecommunication Equipment - Capital Leases | 0.0 | 0.0 |
| Other Equipment - Capital Leases | 0.0 | 0.0 |
| Capital Equipment Purchases | 0.0 | 0.0 |
| Vehicles - Non-Capital | 0.0 | 0.0 |
| Furniture - Non-Capital | 2.5 | 0.0 |
| EDP Equipment - Mainframe - Non-Capital | 1.0 | 0.0 |
| Telecommunication Equipment - Non Capital | 0.0 | 0.0 |
| Other Equipment - Non-Capital | 0.0 | 0.0 |
| Purchased Or Licensed Software/Website | 0.0 | 0.0 |
| Internally Generated Software/Website | 0.0 | 0.0 |
| Expenditure Category Total | 3.5 | 0.0 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 2.6 | 0.0 |
| | 2.6 | 0.0 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 0.9 | 0.0 |
| | 0.9 | 0.0 |
| Fund Source Total | 3.5 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Capital Outlay | | |
| Capital Outlay | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3-1 | Consumer Support |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Debt Services | | |
| Debt Service | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Cost Allocation | | |
| Cost Allocation | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Transfers | | |
| Transfers | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Classification Listing | | | |
|-------------------------------|--------------------------------|--------------|------------------|
| Class Code | Title | Grade | Total FTE |
| AUN06 | ADMV ASST 2 | 15 | 2.0 |
| AUN01 | ADMV ASST 3 | 17 | 1.0 |
| AUN09 | ADMV SVCS OFFCR 2 | 21 | 1.0 |
| ACV35 | CNSMR SVC SPCT 1 | 17 | 2.0 |
| AUN08 | CNSMR SVC SPCT 1 | 17 | 1.0 |
| ACV35 | CNSMR SVC SPCT 2 | 18 | 2.0 |
| AUN08 | CNSMR SVC SPCT 2 | 18 | 5.0 |
| AUN06 | EXEC CONSULT RULES/LGL AFFAIRS | 24 | 1.0 |
| ACV31 | EXMNR TECH 1 | 12 | 0.7 |
| AUN03 | HCSO CMLPNC ADMR | 23 | 1.0 |
| AUN03 | HLTH CARE APPEALS SPV | 21 | 1.0 |
| AUN03 | ID ASST DIR CNSMR SVCS INVGNS | E2 | 0.8 |
| AUN06 | ID ASST DIR HLTH DIV | E2 | 1.0 |
| AUN08 | INSRNCE ANALYST | 20 | 2.0 |
| AUN07 | INSRNCE ANALYST SPV | 24 | 3.0 |
| AUN03 | INSRNCE ANALYST SR | 22 | 8.0 |
| ACV76 | INSRNCE ANALYST SR | 22 | 1.0 |
| AUN08 | INSRNCE CNSMR AFFAIRS ADMR | 23 | 1.0 |

Program Expenditure Schedule

| | | |
|-----------------|------------|--------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 3-1 | Consumer Support |

| | | | |
|-------|---------------------------|----|-----|
| AUN09 | INVGTR 3 | 18 | 3.0 |
| AUN07 | LIFE HLTH ADMV SVCS OFFCR | 19 | 1.0 |
| AUN04 | PREPAID DENTAL PLAN MGR | 25 | 1.0 |
| AUN06 | PROG ADMR 1 | 22 | 0.5 |

Employee Retirement Coverage

| Retirement System | FTE | Personal Services | Fund# |
|--------------------------|------------|--------------------------|--------------|
| State Retirement System | 0.0 | 5.5 | 2000-N |
| State Retirement System | 1.0 | 58.0 | 2467-N |
| State Retirement System | 4.0 | 186.2 | 2034-N |
| State Retirement System | 35.0 | 1,521.0 | 1000-A |

Combined Regular & Elected Positions At/Above FICA Maximum of \$118,500

| Total FTE | Personal Services | FTE's not eligible for Health, Dental & Life |
|------------------|--------------------------|---|
| 0.0 | 0.0 | 0.0 |

Program Summary of Expenditures and Budget Request

| | | |
|-----------------|-----|------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 4 | Fraud Investigation and Deterrence |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--|-------------------|-----------------------|------------------------|------------------|
| Program Summary | | | | | |
| 4-1 | Fraud Investigation and Deterrence | 908.2 | 1,184.0 | (4.4) | 1,179.6 |
| Program Summary Total: | | 908.2 | 1,184.0 | (4.4) | 1,179.6 |
| Expenditure Categories | | | | | |
| 0000 | FTE Positions | 11.0 | 11.0 | 0.0 | 11.0 |
| 6000 | Personal Services | 432.9 | 615.0 | 0.0 | 615.0 |
| 6100 | Employee Related Expenses | 140.7 | 237.2 | 0.0 | 237.2 |
| 6200 | Professional and Outside Services | 200.1 | 225.6 | 0.0 | 225.6 |
| 6500 | Travel In-State | 19.7 | 18.1 | 0.0 | 18.1 |
| 6600 | Travel Out of State | 1.1 | 1.1 | 0.0 | 1.1 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 91.6 | 87.0 | (4.4) | 82.6 |
| 8000 | Equipment | 22.1 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 908.2 | 1,184.0 | (4.4) | 1,179.6 |
| Fund Source | | | | | |
| Appropriated Funds | | | | | |
| 1000-A | General Fund (Appropriated) | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
| | | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
| Non-Appropriated Funds | | | | | |
| 2500-N | IGA and ISA Between State Agencies (Non-Approp | 0.6 | 4.4 | (4.4) | 0.0 |
| | | 0.6 | 4.4 | (4.4) | 0.0 |
| Fund Source Total: | | 908.2 | 1,184.0 | (4.4) | 1,179.6 |

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 4 | Fraud Investigation and Deterrence |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--|-------------------|-----------------------|------------------------|------------------|
|--|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|-----------------------------|
| Fund: | 1000-A | General Fund (Appropriated) |
|--------------|--------|-----------------------------|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|------------------------------------|-------|---------|-----|---------|
| 4-1 | Fraud Investigation and Deterrence | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
| | Total | 907.6 | 1,179.6 | 0.0 | 1,179.6 |

Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| FTE Positions | 11.0 | 11.0 | 0.0 | 11.0 |
| Personal Services | 432.9 | 615.0 | 0.0 | 615.0 |
| Employee Related Expenses | 140.7 | 237.2 | 0.0 | 237.2 |
| Professional and Outside Services | 200.1 | 225.6 | 0.0 | 225.6 |
| Travel In-State | 19.7 | 18.1 | 0.0 | 18.1 |
| Travel Out of State | 1.1 | 1.1 | 0.0 | 1.1 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 91.0 | 82.6 | 0.0 | 82.6 |
| Equipment | 22.1 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|-------|---------|-----|---------|
| Expenditure Categories Total: | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
|--------------------------------------|-------|---------|-----|---------|

| | | | | |
|---------------------------|-------|---------|-----|---------|
| Fund 1000-A Total: | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
|---------------------------|-------|---------|-----|---------|

| | | | | |
|-------------------------|-------|---------|-----|---------|
| Program 4 Total: | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
|-------------------------|-------|---------|-----|---------|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 4 | Fraud Investigation and Deterrence |

| FY 2016 | FY 2017 | FY 2018 | FY 2018 |
|---------|------------|-------------|---------|
| Actual | Expd. Plan | Fund. Issue | Total |

| | | |
|--------------|--------|---|
| Fund: | 2500-N | IGA and ISA Between State Agencies (Non-Appropriated) |
|--------------|--------|---|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|------------------------------------|-----|-----|-------|-----|
| 4-1 | Fraud Investigation and Deterrence | 0.6 | 4.4 | (4.4) | 0.0 |
| | Total | 0.6 | 4.4 | (4.4) | 0.0 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-----|-----|-------|-----|
| FTE Positions | 0.0 | 0.0 | 0.0 | 0.0 |
| Personal Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Employee Related Expenses | 0.0 | 0.0 | 0.0 | 0.0 |
| Professional and Outside Services | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 0.6 | 4.4 | (4.4) | 0.0 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|-----|-----|-------|-----|
| Expenditure Categories Total: | 0.6 | 4.4 | (4.4) | 0.0 |
|--------------------------------------|-----|-----|-------|-----|

| | | | | |
|---------------------------|-----|-----|-------|-----|
| Fund 2500-N Total: | 0.6 | 4.4 | (4.4) | 0.0 |
|---------------------------|-----|-----|-------|-----|

| | | | | |
|-------------------------|-----|-----|-------|-----|
| Program 4 Total: | 0.6 | 4.4 | (4.4) | 0.0 |
|-------------------------|-----|-----|-------|-----|

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

| | | |
|-----------------|-----|------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 4-1 | Fraud Investigation and Deterrence |

| Expenditure Categories | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|-------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| | | | | | |
| 0000 | FTE | 11.0 | 11.0 | 0.0 | 11.0 |
| 6000 | Personal Services | 432.9 | 615.0 | 0.0 | 615.0 |
| 6100 | Employee Related Expenses | 140.7 | 237.2 | 0.0 | 237.2 |
| 6200 | Professional and Outside Services | 200.1 | 225.6 | 0.0 | 225.6 |
| 6500 | Travel In-State | 19.7 | 18.1 | 0.0 | 18.1 |
| 6600 | Travel Out of State | 1.1 | 1.1 | 0.0 | 1.1 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 91.6 | 87.0 | (4.4) | 82.6 |
| 8000 | Equipment | 22.1 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 908.2 | 1,184.0 | (4.4) | 1,179.6 |

| Fund Source | | | | | |
|---|--|-------|---------|-------|---------|
| Appropriated Funds | | | | | |
| 1000-A General Fund (Appropriated) | | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
| | | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
| Non-Appropriated Funds | | | | | |
| 2500-N IGA and ISA Between State Agencies (Non-Approp | | 0.6 | 4.4 | (4.4) | 0.0 |
| | | 0.6 | 4.4 | (4.4) | 0.0 |
| Fund Source Total: | | 908.2 | 1,184.0 | (4.4) | 1,179.6 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 4-1 Fraud Investigation and Deterrence | | | | | |
| Fund: 1000-A General Fund | | | | | |
| Appropriated | | | | | |
| 0000 | FTE | 11.0 | 11.0 | 0.0 | 11.0 |
| 6000 | Personal Services | 432.9 | 615.0 | 0.0 | 615.0 |
| 6100 | Employee Related Expenses | 140.7 | 237.2 | 0.0 | 237.2 |
| 6200 | Professional and Outside Services | 200.1 | 225.6 | 0.0 | 225.6 |
| 6500 | Travel In-State | 19.7 | 18.1 | 0.0 | 18.1 |
| 6600 | Travel Out of State | 1.1 | 1.1 | 0.0 | 1.1 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 91.0 | 82.6 | 0.0 | 82.6 |
| 8000 | Equipment | 22.1 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Appropriated Total: | | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
| Fund Total: | | 907.6 | 1,179.6 | 0.0 | 1,179.6 |
| Program Total For Selected Funds: | | 907.6 | 1,179.6 | 0.0 | 1,179.6 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 4-1 Fraud Investigation and Deterrence | | | | | |
| Fund: 2500-N IGA and ISA Fund | | | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 0.0 | 0.0 | 0.0 | 0.0 |
| 6000 | Personal Services | 0.0 | 0.0 | 0.0 | 0.0 |
| 6100 | Employee Related Expenses | 0.0 | 0.0 | 0.0 | 0.0 |
| 6200 | Professional and Outside Services | 0.0 | 0.0 | 0.0 | 0.0 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 0.6 | 4.4 | (4.4) | 0.0 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 0.6 | 4.4 | (4.4) | 0.0 |
| Fund Total: | | 0.6 | 4.4 | (4.4) | 0.0 |
| Program Total For Selected Funds: | | 0.6 | 4.4 | (4.4) | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 4-1 | Fraud Investigation and Deterrence |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|------------------------------------|---------------------------|-------------------------------|
| FTE Positions | | |
| FTE | 11.0 | 11.0 |
| Expenditure Category Total | 11.0 | 11.0 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 11.0 | 11.0 |
| Fund Source Total | 11.0 | 11.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|------------------------------------|---------------------------|-------------------------------|
| Personal Services | | |
| Personal Services | 432.9 | 615.0 |
| Boards and Commissions | 0.0 | 0.0 |
| Expenditure Category Total | 432.9 | 615.0 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 432.9 | 615.0 |
| Fund Source Total | 432.9 | 615.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|------------------------------------|---------------------------|-------------------------------|
| Employee Related Expenses | | |
| Employee Related Expenses | 140.7 | 237.2 |
| Expenditure Category Total | 140.7 | 237.2 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 140.7 | 237.2 |
| Fund Source Total | 140.7 | 237.2 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| External Prof/Outside Serv Budg And Appn | 0.0 | 0.0 |
| External Investment Services | 0.0 | 0.0 |
| Other External Financial Services | 0.0 | 0.0 |
| Attorney General Legal Services | 197.4 | 222.6 |
| External Legal Services | 0.0 | 0.0 |
| External Engineer/Architect Cost - Exp | 0.0 | 0.0 |
| External Engineer/Architect Cost- Cap | 0.0 | 0.0 |
| Other Design | 0.0 | 0.0 |
| Temporary Agency Services | 0.0 | 0.0 |
| Hospital Services | 0.0 | 0.0 |
| Other Medical Services | 0.9 | 1.0 |
| Institutional Care | 0.0 | 0.0 |
| Education And Training | 0.0 | 0.0 |
| Vendor Travel | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 4-1 | Fraud Investigation and Deterrence |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| Professional & Outside Services Excluded from Cost Alloca | 0.0 | 0.0 |
| Vendor Travel - Non Reportable | 0.0 | 0.0 |
| External Telecom Consulting Services | 0.0 | 0.0 |
| Non - Confidential Specialist Fees | 0.0 | 2.0 |
| Confidential Specialist Fees | 0.0 | 0.0 |
| Outside Actuarial Costs | 0.0 | 0.0 |
| Other Professional And Outside Services | 1.8 | 0.0 |
| Expenditure Category Total | 200.1 | 225.6 |

| | | |
|------------------------------------|--------------|--------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 200.1 | 225.6 |
| | 200.1 | 225.6 |
| Fund Source Total | 200.1 | 225.6 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Travel In-State | | |
| Travel In-State | 19.7 | 18.1 |
| Expenditure Category Total | 19.7 | 18.1 |

| | | |
|------------------------------------|-------------|-------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 19.7 | 18.1 |
| | 19.7 | 18.1 |
| Fund Source Total | 19.7 | 18.1 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Travel Out-of-State | | |
| Travel Out of State | 1.1 | 1.1 |
| Expenditure Category Total | 1.1 | 1.1 |

| | | |
|------------------------------------|------------|------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 1.1 | 1.1 |
| | 1.1 | 1.1 |
| Fund Source Total | 1.1 | 1.1 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Food (Library for Universities) | | |
| Food (Library for Universities) | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Aid to Organizations & Individuals | | |
| Aid to Organizations and Individuals | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 4-1 | Fraud Investigation and Deterrence |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|------------------------------------|---------------------------|-------------------------------|
| Aid to Organizations & Individuals | | |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Other Operating Expenditures | | |
| Other Operating Expenditures | 0.0 | 0.0 |
| Insurance & Related Charges | 5.2 | 4.7 |
| Information Technology Services | 9.5 | 9.5 |
| Utilities | 0.0 | 0.0 |
| Non-Building or Land Rent | 0.0 | 0.0 |
| Building Rent Charges to State Agencies | 62.6 | 55.9 |
| COP Building Rent Charges to State Agencies | 0.0 | 0.0 |
| Rental of Land & Buildings | 0.0 | 0.0 |
| Interest Payments | 0.0 | 0.0 |
| Internal Acct, Budgeting and Financial Svcs. | 0.0 | 0.0 |
| Payments for Internal Services | 0.0 | 0.0 |
| Repair & Maintenance | 2.0 | 2.0 |
| Software Support and Maintenance | 0.0 | 0.0 |
| Operating Supplies | 5.5 | 4.3 |
| Resale Supplies | 0.0 | 0.0 |
| Sales of Assets | 0.0 | 0.0 |
| Conference, Education & Training | 0.7 | 0.7 |
| Advertising | 0.2 | 0.2 |
| Printing & Photography | 0.8 | 0.7 |
| Postage & Delivery | 0.9 | 0.9 |
| Miscellaneous Operating | 4.2 | 8.1 |
| Depreciation Expense | 0.0 | 0.0 |
| Expenditure Category Total | 91.6 | 87.0 |

| Fund Source | | |
|--|-------------|-------------|
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 91.0 | 82.6 |
| | 91.0 | 82.6 |
| Non-Appropriated | | |
| 2500-N IGA and ISA Between State Agencies (Non-Appropriated) | 0.6 | 4.4 |
| | 0.6 | 4.4 |
| Fund Source Total | 91.6 | 87.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Equipment | | |
| Vehicles - Capital Leases | 0.0 | 0.0 |
| Furniture - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Midrange - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - PCs/LAN - Capital Leases | 0.0 | 0.0 |
| Telecommunication Equipment - Capital Leases | 0.0 | 0.0 |
| Other Equipment - Capital Leases | 0.0 | 0.0 |
| Capital Equipment Purchases | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 4-1 | Fraud Investigation and Deterrence |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Equipment | | |
| Vehicles - Non-Capital | 0.0 | 0.0 |
| Furniture - Non-Capital | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Non-Capital | 8.1 | 0.0 |
| Telecommunication Equipment - Non Capital | 4.0 | 0.0 |
| Other Equipment - Non-Capital | 9.9 | 0.0 |
| Purchased Or Licensed Software/Website | 0.1 | 0.0 |
| Internally Generated Software/Website | 0.0 | 0.0 |
| Expenditure Category Total | 22.1 | 0.0 |

| | | |
|------------------------------------|-------------|------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 22.1 | 0.0 |
| | 22.1 | 0.0 |
| Fund Source Total | 22.1 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Capital Outlay | | |
| Capital Outlay | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Debt Services | | |
| Debt Service | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Cost Allocation | | |
| Cost Allocation | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Transfers | | |
| Transfers | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| | | | |
|-------------------------------|-------------------|--------------|------------------|
| Classification Listing | | | |
| Class | | | |
| Code | Title | Grade | Total FTE |
| AUN06 | ADMV SVCS OFFCR 1 | 19 | 1.0 |
| AUN07 | ID ASST DIR | E2 | 1.0 |

Program Expenditure Schedule

| | | |
|-----------------|------------|---|
| Agency: | IDA | Department of Insurance |
| Program: | 4-1 | Fraud Investigation and Deterrence |

| | | | |
|-------|------------|----|-----|
| ACV24 | SPCL AGENT | 21 | 7.0 |
| AUN02 | SPCL AGENT | 21 | 2.0 |

Employee Retirement Coverage

| Retirement System | FTE | Personal Services | Fund# |
|--------------------------|------------|--------------------------|--------------|
| State Retirement System | 11.0 | 615.0 | 1000-A |

Combined Regular & Elected Positions At/Above FICA Maximum of \$118,500

| Total FTE | Personal Services | FTE's not eligible for Health, Dental & Life |
|------------------|--------------------------|---|
| 0.0 | 0.0 | 0.0 |

Program Summary of Expenditures and Budget Request

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 5 | Licensing |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--|-------------------|-----------------------|------------------------|------------------|
| Program Summary | | | | | |
| 5-1 | Licensing | 791.5 | 670.4 | 0.0 | 670.4 |
| Program Summary Total: | | 791.5 | 670.4 | 0.0 | 670.4 |
| Expenditure Categories | | | | | |
| 0000 | FTE Positions | 8.8 | 8.8 | 0.0 | 8.8 |
| 6000 | Personal Services | 339.5 | 310.6 | 0.0 | 310.6 |
| 6100 | Employee Related Expenses | 161.1 | 119.8 | 0.0 | 119.8 |
| 6200 | Professional and Outside Services | 97.6 | 97.6 | 0.0 | 97.6 |
| 6500 | Travel In-State | 0.1 | 0.1 | 0.0 | 0.1 |
| 6600 | Travel Out of State | 0.2 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 193.0 | 142.3 | 0.0 | 142.3 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 791.5 | 670.4 | 0.0 | 670.4 |
| Fund Source | | | | | |
| Appropriated Funds | | | | | |
| 1000-A | General Fund (Appropriated) | 583.6 | 466.4 | 0.0 | 466.4 |
| | | 583.6 | 466.4 | 0.0 | 466.4 |
| Non-Appropriated Funds | | | | | |
| 2034-N | Insurance Examiners Revolving (Non-Appropriated) | 207.9 | 204.0 | 0.0 | 204.0 |
| | | 207.9 | 204.0 | 0.0 | 204.0 |
| Fund Source Total: | | 791.5 | 670.4 | 0.0 | 670.4 |

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 5 | Licensing |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--|-------------------|-----------------------|------------------------|------------------|
|--|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|-----------------------------|
| Fund: | 1000-A | General Fund (Appropriated) |
|--------------|--------|-----------------------------|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|-----------|-------|-------|-----|-------|
| 5-1 | Licensing | 583.6 | 466.4 | 0.0 | 466.4 |
| | Total | 583.6 | 466.4 | 0.0 | 466.4 |

Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| FTE Positions | 7.4 | 7.4 | 0.0 | 7.4 |
| Personal Services | 268.9 | 242.6 | 0.0 | 242.6 |
| Employee Related Expenses | 133.2 | 93.6 | 0.0 | 93.6 |
| Professional and Outside Services | 0.4 | 0.4 | 0.0 | 0.4 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 0.2 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 180.9 | 129.8 | 0.0 | 129.8 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| Expenditure Categories Total: | 583.6 | 466.4 | 0.0 | 466.4 |
|--------------------------------------|-------|-------|-----|-------|

| | | | | |
|---------------------------|-------|-------|-----|-------|
| Fund 1000-A Total: | 583.6 | 466.4 | 0.0 | 466.4 |
|---------------------------|-------|-------|-----|-------|

| | | | | |
|-------------------------|-------|-------|-----|-------|
| Program 5 Total: | 583.6 | 466.4 | 0.0 | 466.4 |
|-------------------------|-------|-------|-----|-------|

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 5 | Licensing |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--|-------------------|-----------------------|------------------------|------------------|
|--|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|--|
| Fund: | 2034-N | Insurance Examiners Revolving (Non-Appropriated) |
|--------------|--------|--|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|-----------|-------|-------|-----|-------|
| 5-1 | Licensing | 207.9 | 204.0 | 0.0 | 204.0 |
| | Total | 207.9 | 204.0 | 0.0 | 204.0 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|------|------|-----|------|
| FTE Positions | 1.4 | 1.4 | 0.0 | 1.4 |
| Personal Services | 70.6 | 68.0 | 0.0 | 68.0 |
| Employee Related Expenses | 27.9 | 26.2 | 0.0 | 26.2 |
| Professional and Outside Services | 97.2 | 97.2 | 0.0 | 97.2 |
| Travel In-State | 0.1 | 0.1 | 0.0 | 0.1 |
| Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 12.1 | 12.5 | 0.0 | 12.5 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| Expenditure Categories Total: | 207.9 | 204.0 | 0.0 | 204.0 |
|--------------------------------------|-------|-------|-----|-------|

| | | | | |
|---------------------------|-------|-------|-----|-------|
| Fund 2034-N Total: | 207.9 | 204.0 | 0.0 | 204.0 |
|---------------------------|-------|-------|-----|-------|

| | | | | |
|-------------------------|-------|-------|-----|-------|
| Program 5 Total: | 207.9 | 204.0 | 0.0 | 204.0 |
|-------------------------|-------|-------|-----|-------|

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 5-1 | Licensing |

| Expenditure Categories | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
|---|--------------------------------------|---------|------------|-------------|---------|
| | | Actual | Expd. Plan | Fund. Issue | Total |
| 0000 | FTE | 8.8 | 8.8 | 0.0 | 8.8 |
| 6000 | Personal Services | 339.5 | 310.6 | 0.0 | 310.6 |
| 6100 | Employee Related Expenses | 161.1 | 119.8 | 0.0 | 119.8 |
| 6200 | Professional and Outside Services | 97.6 | 97.6 | 0.0 | 97.6 |
| 6500 | Travel In-State | 0.1 | 0.1 | 0.0 | 0.1 |
| 6600 | Travel Out of State | 0.2 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 193.0 | 142.3 | 0.0 | 142.3 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 791.5 | 670.4 | 0.0 | 670.4 |
| Fund Source | | | | | |
| Appropriated Funds | | | | | |
| 1000-A General Fund (Appropriated) | | 583.6 | 466.4 | 0.0 | 466.4 |
| | | 583.6 | 466.4 | 0.0 | 466.4 |
| Non-Appropriated Funds | | | | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | | 207.9 | 204.0 | 0.0 | 204.0 |
| | | 207.9 | 204.0 | 0.0 | 204.0 |
| Fund Source Total: | | 791.5 | 670.4 | 0.0 | 670.4 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|-----------------------------------|--------------------------------------|-------------------------|------------|-------------|---------|
| Agency: IDA | | Department of Insurance | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 5-1 | | Licensing | | | |
| Fund: 1000-A | | General Fund | | | |
| Appropriated | | | | | |
| 0000 | FTE | 7.4 | 7.4 | 0.0 | 7.4 |
| 6000 | Personal Services | 268.9 | 242.6 | 0.0 | 242.6 |
| 6100 | Employee Related Expenses | 133.2 | 93.6 | 0.0 | 93.6 |
| 6200 | Professional and Outside Services | 0.4 | 0.4 | 0.0 | 0.4 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 0.2 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 180.9 | 129.8 | 0.0 | 129.8 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Appropriated Total: | | 583.6 | 466.4 | 0.0 | 466.4 |
| Fund Total: | | 583.6 | 466.4 | 0.0 | 466.4 |
| Program Total For Selected Funds: | | 583.6 | 466.4 | 0.0 | 466.4 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 5-1 Licensing | | | | | |
| Fund: 2034-N Insurance Examiners Revolving Fund | | | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 1.4 | 1.4 | 0.0 | 1.4 |
| 6000 | Personal Services | 70.6 | 68.0 | 0.0 | 68.0 |
| 6100 | Employee Related Expenses | 27.9 | 26.2 | 0.0 | 26.2 |
| 6200 | Professional and Outside Services | 97.2 | 97.2 | 0.0 | 97.2 |
| 6500 | Travel In-State | 0.1 | 0.1 | 0.0 | 0.1 |
| 6600 | Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 12.1 | 12.5 | 0.0 | 12.5 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 207.9 | 204.0 | 0.0 | 204.0 |
| Fund Total: | | 207.9 | 204.0 | 0.0 | 204.0 |
| Program Total For Selected Funds: | | 207.9 | 204.0 | 0.0 | 204.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 5-1 | Licensing |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| FTE Positions | | |
| FTE | 8.8 | 8.8 |
| Expenditure Category Total | 8.8 | 8.8 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 7.4 | 7.4 |
| | 7.4 | 7.4 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 1.4 | 1.4 |
| | 1.4 | 1.4 |
| Fund Source Total | 8.8 | 8.8 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Personal Services | | |
| Personal Services | 339.5 | 310.6 |
| Boards and Commissions | 0.0 | 0.0 |
| Expenditure Category Total | 339.5 | 310.6 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 268.9 | 242.6 |
| | 268.9 | 242.6 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 70.6 | 68.0 |
| | 70.6 | 68.0 |
| Fund Source Total | 339.5 | 310.6 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Employee Related Expenses | | |
| Employee Related Expenses | 161.1 | 119.8 |
| Expenditure Category Total | 161.1 | 119.8 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 133.2 | 93.6 |
| | 133.2 | 93.6 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 27.9 | 26.2 |
| | 27.9 | 26.2 |
| Fund Source Total | 161.1 | 119.8 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| External Prof/Outside Serv Budg And Appn | 0.0 | 0.0 |
| External Investment Services | 0.0 | 0.0 |
| Other External Financial Services | 0.0 | 0.0 |
| Attorney General Legal Services | 0.0 | 0.0 |
| External Legal Services | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 5-1 | Licensing |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| External Engineer/Architect Cost - Exp | 0.0 | 0.0 |
| External Engineer/Architect Cost- Cap | 0.0 | 0.0 |
| Other Design | 0.0 | 0.0 |
| Temporary Agency Services | 0.0 | 0.0 |
| Hospital Services | 0.0 | 0.0 |
| Other Medical Services | 0.0 | 0.0 |
| Institutional Care | 0.0 | 0.0 |
| Education And Training | 0.0 | 0.0 |
| Vendor Travel | 0.0 | 0.0 |
| Professional & Outside Services Excluded from Cost Alloca | 0.0 | 0.0 |
| Vendor Travel - Non Reportable | 0.0 | 0.0 |
| External Telecom Consulting Services | 0.0 | 0.0 |
| Non - Confidential Specialist Fees | 0.0 | 0.0 |
| Confidential Specialist Fees | 0.0 | 0.0 |
| Outside Actuarial Costs | 0.0 | 0.0 |
| Other Professional And Outside Services | 97.6 | 97.6 |
| Expenditure Category Total | 97.6 | 97.6 |

| | | |
|---|-------------|-------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 0.4 | 0.4 |
| | 0.4 | 0.4 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 97.2 | 97.2 |
| | 97.2 | 97.2 |
| Fund Source Total | 97.6 | 97.6 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Travel In-State | | |
| Travel In-State | 0.1 | 0.1 |
| Expenditure Category Total | 0.1 | 0.1 |

| | | |
|---|------------|------------|
| Fund Source | | |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 0.1 | 0.1 |
| | 0.1 | 0.1 |
| Fund Source Total | 0.1 | 0.1 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Travel Out-of-State | | |
| Travel Out of State | 0.2 | 0.0 |
| Expenditure Category Total | 0.2 | 0.0 |

| | | |
|------------------------------------|------------|------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 0.2 | 0.0 |
| | 0.2 | 0.0 |
| Fund Source Total | 0.2 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 5-1 | Licensing |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Food (Library for Universities) | | |
| Food (Library for Universities) | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Aid to Organizations & Individuals | | |
| Aid to Organizations and Individuals | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Other Operating Expenditures | | |
| Other Operating Expenditures | 0.0 | 0.0 |
| Insurance & Related Charges | 3.6 | 3.2 |
| Information Technology Services | 13.8 | 13.8 |
| Utilities | 0.0 | 0.0 |
| Non-Building or Land Rent | 0.0 | 0.0 |
| Building Rent Charges to State Agencies | 49.5 | 45.4 |
| COP Building Rent Charges to State Agencies | 0.0 | 0.0 |
| Rental of Land & Buildings | 0.0 | 0.0 |
| Interest Payments | 0.0 | 0.0 |
| Internal Acct, Budgeting and Financial Svcs. | 0.0 | 0.0 |
| Payments for Internal Services | 0.0 | 0.0 |
| Repair & Maintenance | 1.6 | 1.4 |
| Software Support and Maintenance | 0.0 | 0.0 |
| Operating Supplies | 4.4 | 3.8 |
| Resale Supplies | 0.0 | 0.0 |
| Sales of Assets | 0.0 | 0.0 |
| Conference, Education & Training | 0.0 | 0.0 |
| Advertising | 0.0 | 0.0 |
| Printing & Photography | 0.5 | 0.5 |
| Postage & Delivery | 24.0 | 24.0 |
| Miscellaneous Operating | 95.6 | 50.2 |
| Depreciation Expense | 0.0 | 0.0 |
| Expenditure Category Total | 193.0 | 142.3 |

| Fund Source | | |
|---|--------------|--------------|
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 180.9 | 129.8 |
| | 180.9 | 129.8 |
| Non-Appropriated | | |
| 2034-N Insurance Examiners Revolving (Non-Appropriated) | 12.1 | 12.5 |
| | 12.1 | 12.5 |
| Fund Source Total | 193.0 | 142.3 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------|---------------------------|-------------------------------|
| Equipment | | |
| Vehicles - Capital Leases | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 5-1 | Licensing |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Equipment | | |
| Furniture - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Midrange - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - PCs/LAN - Capital Leases | 0.0 | 0.0 |
| Telecommunication Equipment - Capital Leases | 0.0 | 0.0 |
| Other Equipment - Capital Leases | 0.0 | 0.0 |
| Capital Equipment Purchases | 0.0 | 0.0 |
| Vehicles - Non-Capital | 0.0 | 0.0 |
| Furniture - Non-Capital | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Non-Capital | 0.0 | 0.0 |
| Telecommunication Equipment - Non Capital | 0.0 | 0.0 |
| Other Equipment - Non-Capital | 0.0 | 0.0 |
| Purchased Or Licensed Software/Website | 0.0 | 0.0 |
| Internally Generated Software/Website | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Capital Outlay | | |
| Capital Outlay | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Debt Services | | |
| Debt Service | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Cost Allocation | | |
| Cost Allocation | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Transfers | | |
| Transfers | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Classification Listing | | | |
|-------------------------------|--------------|--------------|------------------|
| Class Code | Title | Grade | Total FTE |
| AUN03 | ADMV ASST 1 | 13 | 2.0 |

Program Expenditure Schedule

| | | |
|-----------------|------------|--------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 5-1 | Licensing |

| | | | |
|-------|-------------------------------|----|-----|
| AUN06 | ADMV ASST 2 | 15 | 3.0 |
| AUN06 | ADMV SVCS OFFCR 1 | 19 | 2.0 |
| AUN09 | ADMV SVCS OFFCR 4 | 23 | 0.6 |
| AUN03 | ID ASST DIR CNSMR SVCS INVGNS | E2 | 0.2 |
| AUN08 | INSRNCE ANALYST | 20 | 1.0 |

Employee Retirement Coverage

| Retirement System | FTE | Personal Services | Fund# |
|--------------------------|------------|--------------------------|--------------|
| State Retirement System | 1.4 | 68.0 | 2034-N |
| State Retirement System | 7.4 | 242.6 | 1000-A |

Combined Regular & Elected Positions At/Above FICA Maximum of \$118,500

| Total FTE | Personal Services | FTE's not eligible for Health, Dental & Life |
|------------------|--------------------------|---|
| 0.0 | 0.0 | 0.0 |

Program Summary of Expenditures and Budget Request

| | | |
|-----------------|-----|--------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 6 | Premium Tax Collections and Analysis |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|--------------------------------------|-------------------|-----------------------|------------------------|------------------|
| Program Summary | | | | | |
| 6-1 | Premium Tax Collections and Analysis | 247.0 | 253.2 | 0.0 | 253.2 |
| Program Summary Total: | | 247.0 | 253.2 | 0.0 | 253.2 |
| Expenditure Categories | | | | | |
| 0000 | FTE Positions | 3.2 | 3.2 | 0.0 | 3.2 |
| 6000 | Personal Services | 136.7 | 152.3 | 0.0 | 152.3 |
| 6100 | Employee Related Expenses | 70.1 | 58.7 | 0.0 | 58.7 |
| 6200 | Professional and Outside Services | 13.8 | 13.7 | 0.0 | 13.7 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 26.4 | 28.5 | 0.0 | 28.5 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 247.0 | 253.2 | 0.0 | 253.2 |
| Fund Source | | | | | |
| Appropriated Funds | | | | | |
| 1000-A | General Fund (Appropriated) | 247.0 | 253.2 | 0.0 | 253.2 |
| Fund Source Total: | | 247.0 | 253.2 | 0.0 | 253.2 |

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|--------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 6 | Premium Tax Collections and Analysis |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--|-------------------|-----------------------|------------------------|------------------|
|--|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|-----------------------------|
| Fund: | 1000-A | General Fund (Appropriated) |
|--------------|--------|-----------------------------|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|--------------------------------------|-------|-------|-----|-------|
| 6-1 | Premium Tax Collections and Analysis | 247.0 | 253.2 | 0.0 | 253.2 |
| | Total | 247.0 | 253.2 | 0.0 | 253.2 |

Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| FTE Positions | 3.2 | 3.2 | 0.0 | 3.2 |
| Personal Services | 136.7 | 152.3 | 0.0 | 152.3 |
| Employee Related Expenses | 70.1 | 58.7 | 0.0 | 58.7 |
| Professional and Outside Services | 13.8 | 13.7 | 0.0 | 13.7 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 26.4 | 28.5 | 0.0 | 28.5 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| Expenditure Categories Total: | 247.0 | 253.2 | 0.0 | 253.2 |
|--------------------------------------|-------|-------|-----|-------|

| | | | | |
|---------------------------|-------|-------|-----|-------|
| Fund 1000-A Total: | 247.0 | 253.2 | 0.0 | 253.2 |
|---------------------------|-------|-------|-----|-------|

| | | | | |
|-------------------------|-------|-------|-----|-------|
| Program 6 Total: | 247.0 | 253.2 | 0.0 | 253.2 |
|-------------------------|-------|-------|-----|-------|

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

| | | |
|-----------------|-----|--------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 6-1 | Premium Tax Collections and Analysis |

| Expenditure Categories | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
|--------------------------------------|--------------------------------------|---------|------------|-------------|---------|
| | | Actual | Expd. Plan | Fund. Issue | Total |
| 0000 | FTE | 3.2 | 3.2 | 0.0 | 3.2 |
| 6000 | Personal Services | 136.7 | 152.3 | 0.0 | 152.3 |
| 6100 | Employee Related Expenses | 70.1 | 58.7 | 0.0 | 58.7 |
| 6200 | Professional and Outside Services | 13.8 | 13.7 | 0.0 | 13.7 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 26.4 | 28.5 | 0.0 | 28.5 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 247.0 | 253.2 | 0.0 | 253.2 |
| Fund Source | | | | | |
| Appropriated Funds | | | | | |
| 1000-A General Fund (Appropriated) | | 247.0 | 253.2 | 0.0 | 253.2 |
| | | 247.0 | 253.2 | 0.0 | 253.2 |
| Fund Source Total: | | 247.0 | 253.2 | 0.0 | 253.2 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|--|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 6-1 Premium Tax Collections and Analysis | | | | | |
| Fund: 1000-A General Fund | | | | | |
| Appropriated | | | | | |
| 0000 | FTE | 3.2 | 3.2 | 0.0 | 3.2 |
| 6000 | Personal Services | 136.7 | 152.3 | 0.0 | 152.3 |
| 6100 | Employee Related Expenses | 70.1 | 58.7 | 0.0 | 58.7 |
| 6200 | Professional and Outside Services | 13.8 | 13.7 | 0.0 | 13.7 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 26.4 | 28.5 | 0.0 | 28.5 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Appropriated Total: | | 247.0 | 253.2 | 0.0 | 253.2 |
| Fund Total: | | 247.0 | 253.2 | 0.0 | 253.2 |
| Program Total For Selected Funds: | | 247.0 | 253.2 | 0.0 | 253.2 |

Program Expenditure Schedule

| | | |
|-----------------|-----|--------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 6-1 | Premium Tax Collections and Analysis |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|------------------------------------|---------------------------|-------------------------------|
| FTE Positions | | |
| FTE | 3.2 | 3.2 |
| Expenditure Category Total | 3.2 | 3.2 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 3.2 | 3.2 |
| Fund Source Total | 3.2 | 3.2 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|------------------------------------|---------------------------|-------------------------------|
| Personal Services | | |
| Personal Services | 136.7 | 152.3 |
| Boards and Commissions | 0.0 | 0.0 |
| Expenditure Category Total | 136.7 | 152.3 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 136.7 | 152.3 |
| Fund Source Total | 136.7 | 152.3 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|------------------------------------|---------------------------|-------------------------------|
| Employee Related Expenses | | |
| Employee Related Expenses | 70.1 | 58.7 |
| Expenditure Category Total | 70.1 | 58.7 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 70.1 | 58.7 |
| Fund Source Total | 70.1 | 58.7 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| External Prof/Outside Serv Budg And Appn | 0.0 | 0.0 |
| External Investment Services | 0.0 | 0.0 |
| Other External Financial Services | 0.0 | 0.0 |
| Attorney General Legal Services | 0.0 | 0.0 |
| External Legal Services | 0.0 | 0.0 |
| External Engineer/Architect Cost - Exp | 0.0 | 0.0 |
| External Engineer/Architect Cost- Cap | 0.0 | 0.0 |
| Other Design | 0.0 | 0.0 |
| Temporary Agency Services | 13.7 | 13.7 |
| Hospital Services | 0.0 | 0.0 |
| Other Medical Services | 0.0 | 0.0 |
| Institutional Care | 0.0 | 0.0 |
| Education And Training | 0.0 | 0.0 |
| Vendor Travel | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|--------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 6-1 | Premium Tax Collections and Analysis |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| Professional & Outside Services Excluded from Cost Alloca | 0.0 | 0.0 |
| Vendor Travel - Non Reportable | 0.0 | 0.0 |
| External Telecom Consulting Services | 0.0 | 0.0 |
| Non - Confidential Specialist Fees | 0.0 | 0.0 |
| Confidential Specialist Fees | 0.0 | 0.0 |
| Outside Actuarial Costs | 0.0 | 0.0 |
| Other Professional And Outside Services | 0.1 | 0.0 |
| Expenditure Category Total | 13.8 | 13.7 |

| | | |
|------------------------------------|-------------|-------------|
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 13.8 | 13.7 |
| | 13.8 | 13.7 |
| Fund Source Total | 13.8 | 13.7 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Travel In-State | | |
| Travel In-State | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Travel Out-of-State | | |
| Travel Out of State | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Food (Library for Universities) | | |
| Food (Library for Universities) | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Aid to Organizations & Individuals | | |
| Aid to Organizations and Individuals | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-------------------------------------|---------------------------|-------------------------------|
| Other Operating Expenditures | | |
| Other Operating Expenditures | 0.0 | 0.0 |
| Insurance & Related Charges | 1.6 | 1.6 |
| Information Technology Services | 2.7 | 2.7 |
| Utilities | 0.0 | 0.0 |
| Non-Building or Land Rent | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|--------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 6-1 | Premium Tax Collections and Analysis |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Other Operating Expenditures | | |
| Building Rent Charges to State Agencies | 19.4 | 18.6 |
| COP Building Rent Charges to State Agencies | 0.0 | 0.0 |
| Rental of Land & Buildings | 0.0 | 0.0 |
| Interest Payments | 0.0 | 0.0 |
| Internal Acct, Budgeting and Financial Svcs. | 0.0 | 0.0 |
| Payments for Internal Services | 0.0 | 0.0 |
| Repair & Maintenance | 0.5 | 0.5 |
| Software Support and Maintenance | 0.0 | 0.0 |
| Operating Supplies | 1.8 | 1.7 |
| Resale Supplies | 0.0 | 0.0 |
| Sales of Assets | 0.0 | 0.0 |
| Conference, Education & Training | 0.0 | 0.0 |
| Advertising | 0.0 | 0.0 |
| Printing & Photography | 0.0 | 0.0 |
| Postage & Delivery | 0.1 | 0.1 |
| Miscellaneous Operating | 0.3 | 3.3 |
| Depreciation Expense | 0.0 | 0.0 |
| Expenditure Category Total | 26.4 | 28.5 |
| Fund Source | | |
| Appropriated | | |
| 1000-A General Fund (Appropriated) | 26.4 | 28.5 |
| | 26.4 | 28.5 |
| Fund Source Total | 26.4 | 28.5 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Equipment | | |
| Vehicles - Capital Leases | 0.0 | 0.0 |
| Furniture - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Midrange - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - PCs/LAN - Capital Leases | 0.0 | 0.0 |
| Telecommunication Equipment - Capital Leases | 0.0 | 0.0 |
| Other Equipment - Capital Leases | 0.0 | 0.0 |
| Capital Equipment Purchases | 0.0 | 0.0 |
| Vehicles - Non-Capital | 0.0 | 0.0 |
| Furniture - Non-Capital | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Non-Capital | 0.0 | 0.0 |
| Telecommunication Equipment - Non Capital | 0.0 | 0.0 |
| Other Equipment - Non-Capital | 0.0 | 0.0 |
| Purchased Or Licensed Software/Website | 0.0 | 0.0 |
| Internally Generated Software/Website | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------|---------------------------|-------------------------------|
| Capital Outlay | | |
| Capital Outlay | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|--------------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 6-1 | Premium Tax Collections and Analysis |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Capital Outlay | | |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Debt Services | | |
| Debt Service | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Cost Allocation | | |
| Cost Allocation | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Transfers | | |
| Transfers | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

Classification Listing

| Class Code | Title | Grade | Total FTE |
|-----------------------|-------------------|--------------|------------------|
| AUN09 | ADMV SVCS OFFCR 2 | 21 | 1.0 |
| AUN07 | ASST DIR | E2 | 0.2 |
| AUN08 | REVENUE AUDITOR 3 | 19 | 2.0 |

Employee Retirement Coverage

| Retirement System | FTE | Personal Services | Fund# |
|--------------------------|------------|------------------------------|--------------|
| State Retirement System | 3.2 | 152.3 | 1000-A |

Combined Regular & Elected Positions At/Above FICA Maximum of \$118,500

| Total FTE | Personal Services | FTE's not eligible for Health, Dental & Life |
|----------------------|------------------------------|---|
| 0.0 | 0.0 | 0.0 |

Program Summary of Expenditures and Budget Request

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 7 | Captive Insurer Program |

| | | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--------------------------------------|---|-------------------|-----------------------|------------------------|------------------|
| Program Summary | | | | | |
| 7-1 | Captive Insurer Program | 210.2 | 398.6 | 0.0 | 398.6 |
| Program Summary Total: | | 210.2 | 398.6 | 0.0 | 398.6 |
| Expenditure Categories | | | | | |
| 0000 | FTE Positions | 2.5 | 3.5 | 0.0 | 3.5 |
| 6000 | Personal Services | 122.7 | 251.0 | 0.0 | 251.0 |
| 6100 | Employee Related Expenses | 43.6 | 96.8 | 0.0 | 96.8 |
| 6200 | Professional and Outside Services | 19.5 | 30.0 | 0.0 | 30.0 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 1.8 | 2.7 | 0.0 | 2.7 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 22.6 | 18.1 | 0.0 | 18.1 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 210.2 | 398.6 | 0.0 | 398.6 |
| Fund Source | | | | | |
| Non-Appropriated Funds | | | | | |
| 2377-N | Captive Insurance Fund (Non-Appropriated) | 210.2 | 398.6 | 0.0 | 398.6 |
| Fund Source Total: | | 210.2 | 398.6 | 0.0 | 398.6 |

Program Group Summary of Expenditures and Budget Request for Selected Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 7 | Captive Insurer Program |

| | FY 2016 Actual | FY 2017 Expd. Plan | FY 2018 Fund. Issue | FY 2018 Total |
|--|-------------------|-----------------------|------------------------|------------------|
|--|-------------------|-----------------------|------------------------|------------------|

| | | |
|--------------|--------|---|
| Fund: | 2377-N | Captive Insurance Fund (Non-Appropriated) |
|--------------|--------|---|

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

| | | | | | |
|-----|-------------------------|-------|-------|-----|-------|
| 7-1 | Captive Insurer Program | 210.2 | 398.6 | 0.0 | 398.6 |
| | Total | 210.2 | 398.6 | 0.0 | 398.6 |

Non-Appropriated Funding

Expenditure Categories

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| FTE Positions | 2.5 | 3.5 | 0.0 | 3.5 |
| Personal Services | 122.7 | 251.0 | 0.0 | 251.0 |
| Employee Related Expenses | 43.6 | 96.8 | 0.0 | 96.8 |
| Professional and Outside Services | 19.5 | 30.0 | 0.0 | 30.0 |
| Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| Travel Out of State | 1.8 | 2.7 | 0.0 | 2.7 |
| Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| Other Operating Expenses | 22.6 | 18.1 | 0.0 | 18.1 |
| Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| Transfers | 0.0 | 0.0 | 0.0 | 0.0 |

| | | | | |
|--------------------------------------|-------|-------|-----|-------|
| Expenditure Categories Total: | 210.2 | 398.6 | 0.0 | 398.6 |
|--------------------------------------|-------|-------|-----|-------|

| | | | | |
|---------------------------|-------|-------|-----|-------|
| Fund 2377-N Total: | 210.2 | 398.6 | 0.0 | 398.6 |
|---------------------------|-------|-------|-----|-------|

| | | | | |
|-------------------------|-------|-------|-----|-------|
| Program 7 Total: | 210.2 | 398.6 | 0.0 | 398.6 |
|-------------------------|-------|-------|-----|-------|

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 7-1 | Captive Insurer Program |

| Expenditure Categories | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
|--|--------------------------------------|---------|------------|-------------|---------|
| | | Actual | Expd. Plan | Fund. Issue | Total |
| 0000 | FTE | 2.5 | 3.5 | 0.0 | 3.5 |
| 6000 | Personal Services | 122.7 | 251.0 | 0.0 | 251.0 |
| 6100 | Employee Related Expenses | 43.6 | 96.8 | 0.0 | 96.8 |
| 6200 | Professional and Outside Services | 19.5 | 30.0 | 0.0 | 30.0 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 1.8 | 2.7 | 0.0 | 2.7 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 22.6 | 18.1 | 0.0 | 18.1 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Expenditure Categories Total: | | 210.2 | 398.6 | 0.0 | 398.6 |
| Fund Source | | | | | |
| | | | | | |
| Non-Appropriated Funds | | | | | |
| 2377-N Captive Insurance Fund (Non-Appropriated) | | 210.2 | 398.6 | 0.0 | 398.6 |
| | | 210.2 | 398.6 | 0.0 | 398.6 |
| Fund Source Total: | | 210.2 | 398.6 | 0.0 | 398.6 |

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

| | | | | | |
|---|--------------------------------------|----------------|-------------------|--------------------|----------------|
| Agency: IDA Department of Insurance | | | | | |
| | | FY 2016 | FY 2017 | FY 2018 | FY 2018 |
| | | Actual | Expd. Plan | Fund. Issue | Total |
| Program: 7-1 Captive Insurer Program | | | | | |
| Fund: 2377-N Captive Insurance Regulatory/supervision Fund | | | | | |
| Non-Appropriated | | | | | |
| 0000 | FTE | 2.5 | 3.5 | 0.0 | 3.5 |
| 6000 | Personal Services | 122.7 | 251.0 | 0.0 | 251.0 |
| 6100 | Employee Related Expenses | 43.6 | 96.8 | 0.0 | 96.8 |
| 6200 | Professional and Outside Services | 19.5 | 30.0 | 0.0 | 30.0 |
| 6500 | Travel In-State | 0.0 | 0.0 | 0.0 | 0.0 |
| 6600 | Travel Out of State | 1.8 | 2.7 | 0.0 | 2.7 |
| 6700 | Food (Library for Universities) | 0.0 | 0.0 | 0.0 | 0.0 |
| 6800 | Aid to Organizations and Individuals | 0.0 | 0.0 | 0.0 | 0.0 |
| 7000 | Other Operating Expenses | 22.6 | 18.1 | 0.0 | 18.1 |
| 8000 | Equipment | 0.0 | 0.0 | 0.0 | 0.0 |
| 8100 | Capital Outlay | 0.0 | 0.0 | 0.0 | 0.0 |
| 8600 | Debt Service | 0.0 | 0.0 | 0.0 | 0.0 |
| 9000 | Cost Allocation | 0.0 | 0.0 | 0.0 | 0.0 |
| 9100 | Transfers | 0.0 | 0.0 | 0.0 | 0.0 |
| Non-Appropriated Total: | | 210.2 | 398.6 | 0.0 | 398.6 |
| Fund Total: | | 210.2 | 398.6 | 0.0 | 398.6 |
| Program Total For Selected Funds: | | 210.2 | 398.6 | 0.0 | 398.6 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 7-1 | Captive Insurer Program |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| FTE Positions | | |
| FTE | 2.5 | 3.5 |
| Expenditure Category Total | 2.5 | 3.5 |
| Fund Source | | |
| Non-Appropriated | | |
| 2377-N Captive Insurance Fund (Non-Appropriated) | 2.5 | 3.5 |
| | 2.5 | 3.5 |
| Fund Source Total | 2.5 | 3.5 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Personal Services | | |
| Personal Services | 122.7 | 251.0 |
| Boards and Commissions | 0.0 | 0.0 |
| Expenditure Category Total | 122.7 | 251.0 |
| Fund Source | | |
| Non-Appropriated | | |
| 2377-N Captive Insurance Fund (Non-Appropriated) | 122.7 | 251.0 |
| | 122.7 | 251.0 |
| Fund Source Total | 122.7 | 251.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Employee Related Expenses | | |
| Employee Related Expenses | 43.6 | 96.8 |
| Expenditure Category Total | 43.6 | 96.8 |
| Fund Source | | |
| Non-Appropriated | | |
| 2377-N Captive Insurance Fund (Non-Appropriated) | 43.6 | 96.8 |
| | 43.6 | 96.8 |
| Fund Source Total | 43.6 | 96.8 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| External Prof/Outside Serv Budg And Appn | 0.0 | 0.0 |
| External Investment Services | 0.0 | 0.0 |
| Other External Financial Services | 0.0 | 0.0 |
| Attorney General Legal Services | 4.8 | 7.9 |
| External Legal Services | 0.0 | 0.0 |
| External Engineer/Architect Cost - Exp | 0.0 | 0.0 |
| External Engineer/Architect Cost- Cap | 0.0 | 0.0 |
| Other Design | 0.0 | 0.0 |
| Temporary Agency Services | 0.0 | 0.0 |
| Hospital Services | 0.0 | 0.0 |
| Other Medical Services | 0.0 | 0.0 |
| Institutional Care | 0.0 | 0.0 |
| Education And Training | 0.0 | 0.0 |
| Vendor Travel | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 7-1 | Captive Insurer Program |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Professional & Outside Services | | |
| Professional & Outside Services Excluded from Cost Alloca | 0.0 | 0.0 |
| Vendor Travel - Non Reportable | 0.0 | 0.0 |
| External Telecom Consulting Services | 0.0 | 0.0 |
| Non - Confidential Specialist Fees | 0.0 | 0.0 |
| Confidential Specialist Fees | 0.0 | 0.0 |
| Outside Actuarial Costs | 0.0 | 0.0 |
| Other Professional And Outside Services | 14.7 | 22.1 |
| Expenditure Category Total | 19.5 | 30.0 |

| | | |
|--|-------------|-------------|
| Fund Source | | |
| Non-Appropriated | | |
| 2377-N Captive Insurance Fund (Non-Appropriated) | 19.5 | 30.0 |
| Fund Source Total | 19.5 | 30.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Travel In-State | | |
| Travel In-State | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Travel Out-of-State | | |
| Travel Out of State | 1.8 | 2.7 |
| Expenditure Category Total | 1.8 | 2.7 |

| | | |
|--|------------|------------|
| Fund Source | | |
| Non-Appropriated | | |
| 2377-N Captive Insurance Fund (Non-Appropriated) | 1.8 | 2.7 |
| Fund Source Total | 1.8 | 2.7 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Food (Library for Universities) | | |
| Food (Library for Universities) | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|---|---------------------------|-------------------------------|
| Aid to Organizations & Individuals | | |
| Aid to Organizations and Individuals | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|------------|--------------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 7-1 | Captive Insurer Program |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Other Operating Expenditures | | |
| Other Operating Expenditures | 0.0 | 0.0 |
| Insurance & Related Charges | 0.0 | 0.0 |
| Information Technology Services | 4.1 | 4.1 |
| Utilities | 0.0 | 0.0 |
| Non-Building or Land Rent | 0.0 | 0.0 |
| Building Rent Charges to State Agencies | 9.3 | 9.8 |
| COP Building Rent Charges to State Agencies | 0.0 | 0.0 |
| Rental of Land & Buildings | 0.0 | 0.0 |
| Interest Payments | 0.0 | 0.0 |
| Internal Acct, Budgeting and Financial Svcs. | 0.0 | 0.0 |
| Payments for Internal Services | 0.0 | 0.0 |
| Repair & Maintenance | 0.2 | 0.2 |
| Software Support and Maintenance | 0.0 | 0.0 |
| Operating Supplies | 0.4 | 0.5 |
| Resale Supplies | 0.0 | 0.0 |
| Sales of Assets | 0.0 | 0.0 |
| Conference, Education & Training | 0.6 | 0.6 |
| Advertising | 0.0 | 0.0 |
| Printing & Photography | 0.0 | 0.0 |
| Postage & Delivery | 0.0 | 0.0 |
| Miscellaneous Operating | 8.0 | 2.9 |
| Depreciation Expense | 0.0 | 0.0 |
| Expenditure Category Total | 22.6 | 18.1 |
| Fund Source | | |
| Non-Appropriated | | |
| 2377-N Captive Insurance Fund (Non-Appropriated) | 22.6 | 18.1 |
| | 22.6 | 18.1 |
| Fund Source Total | 22.6 | 18.1 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|--|---------------------------|-------------------------------|
| Equipment | | |
| Vehicles - Capital Leases | 0.0 | 0.0 |
| Furniture - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - Midrange - Capital Leases | 0.0 | 0.0 |
| EDP Equipment - PCs/LAN - Capital Leases | 0.0 | 0.0 |
| Telecommunication Equipment - Capital Leases | 0.0 | 0.0 |
| Other Equipment - Capital Leases | 0.0 | 0.0 |
| Capital Equipment Purchases | 0.0 | 0.0 |
| Vehicles - Non-Capital | 0.0 | 0.0 |
| Furniture - Non-Capital | 0.0 | 0.0 |
| EDP Equipment - Mainframe - Non-Capital | 0.0 | 0.0 |
| Telecommunication Equipment - Non Capital | 0.0 | 0.0 |
| Other Equipment - Non-Capital | 0.0 | 0.0 |
| Purchased Or Licensed Software/Website | 0.0 | 0.0 |
| Internally Generated Software/Website | 0.0 | 0.0 |

Program Expenditure Schedule

| | | |
|-----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 7-1 | Captive Insurer Program |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Equipment | | |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Capital Outlay | | |
| Capital Outlay | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Debt Services | | |
| Debt Service | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Cost Allocation | | |
| Cost Allocation | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

| Expenditure Category | FY 2016 Actual | FY 2017 Expd. Plan |
|-----------------------------------|---------------------------|-------------------------------|
| Transfers | | |
| Transfers | 0.0 | 0.0 |
| Expenditure Category Total | 0.0 | 0.0 |

Classification Listing

| Class Code | Title | Grade | Total FTE |
|-----------------------|-------------------------|--------------|------------------|
| AUN04 | ACTUARY | 27 | 0.3 |
| AUN04 | CAPTIVE INSRNCE ADMR | 25 | 1.0 |
| AUN03 | CHF FINL ANALYST | 25 | 0.2 |
| AUN04 | FINL ANALYST 1 | 19 | 1.0 |
| AUN07 | SPCT INSURER EXMNRS MGR | 25 | 1.0 |

Employee Retirement Coverage

| Retirement System | FTE | Personal Services | Fund# |
|--------------------------|------------|------------------------------|--------------|
| State Retirement System | 3.5 | 251.0 | 2377-N |

Combined Regular & Elected Positions At/Above FICA Maximum of \$118,500

Total Personal FTE's not eligible for

Date Printed: 9/7/2016 12:11:29 PM

All dollars are presented in thousands (not FTE).

Program Expenditure Schedule

| | | |
|----------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
| Program: | 7-1 | Captive Insurer Program |

| | | |
|-----|----------|-----------------------|
| FTE | Services | Health, Dental & Life |
| 0.0 | 0.0 | 0.0 |

Administrative Costs

Agency: IDA Department of Insurance

Administrative Costs Summary

| Common Administrative Area | FY 2018 |
|------------------------------------|----------------|
| Other Central Administration | 0.0 |
| Business and Finance | 249.0 |
| Information Technology | 311.6 |
| Human Resources | 105.3 |
| Director's Office | 812.6 |
| Administrative Costs Total: | 1,478.5 |

Administrative Cost / Total Expenditure Ratio

| | Request | Admin % |
|----------------|-----------|---------|
| FY 2018 | 105,555.0 | 1.4% |

Administrative Costs Detail

| Common Administrative Area Administrative Activity | Admin Costs % | Program Costs % | Discussion |
|---|------------------|--------------------|------------|
| Director's Office | | | |
| Policy Development | 100.0 | 0.0 | |
| Legislative and Interstate Liaison | 100.0 | 0.0 | |
| Public Information Officer | 100.0 | 0.0 | |
| Administrative and Clerical Support | 100.0 | 0.0 | |
| Operations Oversight and Improvement | 100.0 | 0.0 | |
| Human Resources | | | |
| Human Resources | 100.0 | 0.0 | |
| Information Technology | | | |
| Information Technology | 100.0 | 0.0 | |
| Business and Finance | | | |
| Business Services Oversight | 100.0 | 0.0 | |
| Purchasing | 100.0 | 0.0 | |
| Mail Processing and Distribution | 100.0 | 0.0 | |
| Accounting and Payroll | 100.0 | 0.0 | |
| Agency Reception | 100.0 | 0.0 | |
| Facilities and Personnel Safety | 100.0 | 0.0 | |

Administrative Costs Allocation Report

| PGM | Fund | Index | Position | Grade | Class Code | Class Title | Salary | FTE's | Annual Cost | Allocated P/S Cost | ERE | OOE | TOTAL | Category |
|----------------------------------|------|-------|----------|-------|------------|----------------------------------|-----------|---------------|---------------------|---------------------|---------------------|---------------------|-----------------------|----------|
| PROGRAM 10000, FUND 1000: | | | | | | | | | | | | | | |
| 10000 | 1000 | 11010 | 1 | E4 | AUN05039 | DIRECTOR | \$115,500 | 1.000 | \$145,000.00 | \$145,000.00 | \$55,909.92 | \$18,060.87 | \$218,970.79 | 1 |
| 10000 | 1000 | 11010 | 2 | E3 | AUN05390 | DEPUTY DIRECTOR | \$99,000 | 1.000 | \$120,000.00 | \$120,000.00 | \$46,270.28 | \$18,060.87 | \$184,331.15 | 1 |
| 10000 | 1000 | 11010 | 79 | 26 | AUN09038 | ASSISTANT DIRECTOR, ADMIN/OPRTNS | \$97,510 | 0.800 | \$78,008.00 | \$78,008.00 | \$30,078.77 | \$14,448.70 | \$122,535.46 | 1 |
| 10000 | 1000 | 11010 | 70 | 25 | AUN02769 | EXEC ASST TO DIRECTOR | \$60,000 | 1.000 | \$60,000.00 | \$60,000.00 | \$23,135.14 | \$18,060.87 | \$101,196.01 | 1 |
| 10000 | 1000 | 11010 | 71 | 25 | AUN02769 | EXEC ASST TO DIRECTOR | \$89,900 | 1.000 | \$89,900.00 | \$89,900.00 | \$34,664.15 | \$18,060.87 | \$142,625.02 | 1 |
| 10000 | 1000 | 11010 | 25 | 15 | AUN04127 | ADMV ASST 2 | \$31,200 | 0.700 | \$21,840.00 | \$21,840.00 | \$8,421.19 | \$12,642.61 | \$42,903.80 | 1 |
| | | | | | | | | 5.500 | | | | | \$812,562.22 | |
| 10000 | 1000 | 12010 | 40 | 21 | AUN03585 | ADMV SVCS OFCR 2 | \$63,000 | 1.000 | \$63,000.00 | \$63,000.00 | \$24,291.90 | \$18,060.87 | \$105,352.77 | 2 |
| | | | | | | | | 1.000 | | | | | \$105,352.77 | |
| 10000 | 1000 | 18010 | 138 | C5 | AUN03586 | INFO TECHNOLOGY SPCLST 5 | \$80,976 | 1.000 | \$80,976.00 | \$80,976.00 | \$31,223.18 | \$18,060.87 | \$130,260.05 | 3 |
| 10000 | 1000 | 18010 | 109 | C4 | ACV76333 | INFO TECHNOLOGY SPCLST 4 | \$55,966 | 1.000 | \$55,966.00 | \$55,966.00 | \$21,579.69 | \$18,060.87 | \$95,606.56 | 3 |
| 10000 | 1000 | 18010 | 75 | C4 | ACV31125 | NETWORK SPECIALIST 2 | \$48,809 | 1.000 | \$48,809.00 | \$48,809.00 | \$18,820.05 | \$18,060.87 | \$85,689.92 | 3 |
| | | | | | | | | 3.000 | | | | | \$311,556.53 | |
| 10000 | 1000 | 12010 | 110 | 21 | AUN03585 | ADMV SVCS OFCR 2 | \$70,000 | 0.500 | \$35,000.00 | \$35,000.00 | \$13,495.50 | \$9,030.43 | \$57,525.93 | 4 |
| 10000 | 1000 | 12010 | 176 | 17 | AUN01152 | ADMV ASST 3 | \$35,000 | 1.000 | \$35,000.00 | \$35,000.00 | \$13,495.50 | \$18,060.87 | \$66,556.37 | 4 |
| 10000 | 1000 | 12010 | 44 | 15 | ACV76201 | FISCAL SVCS SPCT 3 | \$35,000 | 0.500 | \$17,500.00 | \$17,500.00 | \$6,747.75 | \$9,030.43 | \$33,278.18 | 4 |
| | | | | | | | | 2.000 | | | | | \$157,360.48 | |
| Subtotal: | | | | | | | | 11.500 | \$850,999.00 | \$850,999.00 | \$328,133.00 | \$207,700.00 | \$1,386,832.00 | |
| Less estimated vacancy savings: | | | | | | | | | \$0.00 | | | \$207,700.00 | | |
| TOTAL: Program 10000, Fund 1000 | | | | | | | | 11.500 | \$850,999.00 | | | | | |
| PROGRAM 10000, FUND 2034: | | | | | | | | | | | | | | |
| 10000 | 2034 | 20340 | 110 | 21 | AUN03585 | ADMV SVCS OFCR 2 | \$70,000 | 0.500 | \$35,000.00 | \$35,000.00 | \$13,498.00 | \$9,450.00 | \$57,948.00 | 4 |
| 10000 | 2034 | 20340 | 44 | 15 | ACV76201 | FISCAL SVCS SPCT 3 | \$35,000 | 0.500 | \$17,500.00 | \$17,500.00 | \$6,749.00 | \$9,450.00 | \$33,699.00 | 4 |
| | | | | | | | | 1.000 | | | | | \$91,647.00 | |
| Subtotal: | | | | | | | | 1.000 | \$52,500.00 | \$52,500.00 | \$20,247.00 | \$18,900.00 | \$45,823.50 | |
| TOTAL: Program 10000, Fund 2034 | | | | | | | | 1.000 | \$52,500.00 | | | \$18,900.00 | | |

| Category Description | Cat # | FTEs | Cost |
|------------------------------|-------|---------------|---------------------|
| Director's Office | 1 | 5.500 | \$812,562.22 |
| Human Resources | 2 | 1.000 | 105,352.77 |
| Information Technology | 3 | 3.000 | 311,556.53 |
| Business/Finance | 4 | 3.000 | 249,007.48 |
| Other Central Administration | 5 | - | - |
| TOTAL | | 12.500 | 1,478,479.00 |

Administrative Costs Methodology

We consider administrative costs to be the costs of the ADOI's Policy and Administration Program. The ADOI allocates all its costs to programs (so the ADOI has no unallocated costs). We believe it is reasonable to interpret the activities and funding of the Policy and Administration Program as administrative in nature.

METHODOLOGY

The ADOI employed the following steps to allocate the costs of Policy and Administration to the administrative functions and subordinate activities identified in the report.

1. We listed all employees positions paid from the Policy and Administration Program with associated personal services and with ERE costs calculated to preserve the ratio of FY 2017 appropriated ERE (\$1,370.2 thousand) to FY 2017 appropriated personal services (\$3,475.0 thousand). Some positions were involved in activities in other programs and were paid from funding associated with the other programs. ***Only the funding of employee activities related to policy and administration was included as administrative costs.***
2. We assigned each position within the Policy and Administration program to the appropriate functional area (Director's Office, Human Resources, etc.) and grouped positions within each funding source. *In prior reports, the fractional portion of one FTE's time spent on mail distribution, agency reception and other miscellaneous activities was allocated to "Other Central Administration." We are now reporting these tasks as part of the Business/Finance area.*
3. We allocated Policy and Administration Program non-payroll-related costs to each function within a funding source on the basis of the proportionate number of Policy and Administration Program FTE's in each functional area within the funding source. Certain employees constituted less than a full FTE for the Policy and Administration Program because those employees engage in activities in other programs and are paid from funding associated with those other programs. Only the portion of policy and administration activities/funding was used for the allocation of other operating expenses.
4. We summed and recorded in BUDDIES the administrative cost (personal services, ERE and other operating expenses) associated with each functional area.
5. All "Administrative Costs (%)" are 100% and all "Program Costs (%)" are 0% because we only include costs associated with the Policy and Administration program – all costs associated with other programs are allocated to the other programs.

INTERPRETATION OF ADMINISTRATIVE COST INFORMATION

The following factors should be considered when reviewing ADOI administrative cost information:

1. Claims payments and related expenses of the Arizona Insurance Guaranty Funds are included in the expenditure portion (denominator) of the "Admin / Expenditure Ratio." Because claims payments and related expense figures vary widely, the administrative costs percentage is not comparable from year to year, nor is it comparable from agency to agency.
2. As the ADOI improves the efficiency of its programs, thereby reducing program costs, the administrative percentage may increase because unavoidable fixed costs (rent, risk management, etc.) comprise an increasing portion of the agency's budget.

Professional and Outside Services Justification

| | | |
|----------------|------------|--------------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|------------|--------------------------------|

| | | |
|-----------------|------------|----------------------------------|
| Program: | 1-1 | Policy and Administration |
|-----------------|------------|----------------------------------|

Justification: The ADOI requires **\$79.2 thousand** in professional and outside services funding for the Policy and Administration Program.

Request

| | |
|--|-------------|
| Attorney General Legal Services | 75.1 |
|--|-------------|

The ADOI pays the AG's Office to prosecute other violations of insurance laws and to provide legal counsel to the agency.

| | |
|--------------|------------|
| Other | 4.1 |
|--------------|------------|

The ADOI pays a state-contracted vendor for to store backup data off site. This is an essential element to the ADOI's Continuity of Operations Plan, allowing the ADOI to restore data in the event the ADOI's computer center is ruined or rendered inaccessible.

Equipment Justification

| | | |
|----------------|------------|--------------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|------------|--------------------------------|

| | | |
|-----------------|------------|----------------------------------|
| Program: | 1-1 | Policy and Administration |
|-----------------|------------|----------------------------------|

Justification: The ADOI requires **\$6.4 thousand** in equipment funding for the Policy and Administration Program.

| | | Request |
|--------------------------------------|--|------------|
| Computer Workstation Upgrades | | 6.4 |

The ADOI requires continuation of equipment funding to pay for replacement computer network (client and server) equipment.

Professional and Outside Services Justification

Agency: IDA Department of Insurance

Program: 2-1 Solvency Regulation

Justification: The ADOI requires **\$2,720.9 thousand** in professional and outside services funding for the Solvency Regulation Program.

| | Request |
|--|----------------|
| External Investment Services | 149.9 |
| Other External Financial Services | 28.3 |
| External Legal Services | 13.8 |
| Other | 0.4 |

The foregoing are anticipated expenditures by the Arizona Property and Casualty Insurance Guaranty Fund and the Life and Disability Insurance Guaranty Fund. The Superior Court of Arizona opined in case CV2009-091989 (Arizona Property and Casualty Insurance Guaranty Fund ("APCIGF") and Arizona Life and Disability Insurance Guaranty Fund ("ALDIGF") v. State of Arizona, et al, that the monies in this fund are not public state funds subject to legislative appropriation, but are instead, private funds held in a custodial capacity by the Guaranty Funds. The foregoing is presented for reporting purposes.

| | |
|--|-------------|
| Attorney General Legal Services | 21.7 |
|--|-------------|

The ADOI pays the AG's Office to prosecute violations of insurance laws and to provide legal counsel to the agency.

| | |
|--------------|----------------|
| Other | 2,506.8 |
|--------------|----------------|

\$2,500.0: The Department uses competitively procured independent contractors to examine the affairs, transactions, accounts, records and assets of insurers and insurer applicants, pursuant to ARS § 20-156 and other statutes within Title 20. The timing and magnitude of examinations are impossible to predict. Expenditures from the Fund recouped from the examined parties pursuant to ARS §20-159(C).

\$6.0 The Department uses competitively procured independent contractors on a limited basis to perform financial analysis (paid from the Financial Surveillance Fund) during peak periods.

\$0.8 The Department uses a state-contracted vendor to provide secure document destruction services.

Professional and Outside Services Justification

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|-----------------|-----|------------------|
| Program: | 3-1 | Consumer Support |
|-----------------|-----|------------------|

Justification: The Department requires **\$2,440.3 thousand** in professional and outside services funding for the Consumer Support Program in FY 2016.

| | Request |
|--|------------|
| Attorney General Legal Services | 0.6 |

The ADOI pays the AG's Office to prosecute violations of insurance laws and to provide legal counsel to the agency.

| | |
|---------------------------|--------------|
| Actuarial Services | 100.0 |
|---------------------------|--------------|

The ADOI uses federal grant funds to pay actuarial services associated with reviewing health insurance rates under certain circumstances.

| | |
|--------------|----------------|
| Other | 2,439.4 |
|--------------|----------------|

Insurer examinations - \$362.4 thousand. The Department uses competitively procured independent contractors to examine the affairs, transactions, accounts, records and assets of insurers and insurer applicants, pursuant to ARS § 20-156 and other statutes within Title 20. The timing and magnitude of examinations are impossible to predict. Examination expenditures are recovered from the examined parties pursuant to ARS §20-159(C).

Health care appeal external review - \$208.6 thousand. The Department uses competitively procured independent contractors to make medical necessity determinations for health care appeals made to the Department pursuant to Title 20, Ch. 15, Art. 2. The costs are recouped from the appellant's insurer pursuant to ARS § 20-2540(B).

Grant-related services - \$500.0 thousand. The Department uses an independent contractor to conduct complex, technical analysis and to make recommendations relative to improving the transparency and effectiveness of rate review; toward developing the technical infrastructure to comply with health insurance rate data collection, review and reporting requirements; and, toward planning for the establishment and implementation of a health insurance exchange in Arizona. *The funding for this portion of the professional and outside services budget is scheduled to expire in September 2017.*

Other - \$1.3 thousand. The Department needs to pay court reporting and transcription services for hearings.

Professional and Outside Services Justification

| | | |
|----------------|------------|--------------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|------------|--------------------------------|

| | | |
|-----------------|------------|---|
| Program: | 4-1 | Fraud Investigation and Deterrence |
|-----------------|------------|---|

Justification: The Department requires **\$225.6 thousand** in professional and outside services funding for the Fraud Investigation and Deterrence Program.

Request

| | |
|--|--------------|
| Attorney General Legal Services | 222.6 |
|--|--------------|

The Department has an interagency service agreement with the Attorney General's Office for insurance fraud prosecution services.

| | |
|-------------------------------|------------|
| Other Medical Services | 1.0 |
|-------------------------------|------------|

The Department utilizes a state-contractor vendor to perform medical physical examinations of its special agents in order for them to retain AZPOST certification.

| | |
|---|------------|
| Outside Specialist Fees for Investigations | 2.0 |
|---|------------|

The Department utilizes a state-contractor vendor to provide access to background investigation data concerning insurance fraud suspects.

Professional and Outside Services Justification

| | | |
|---------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|---------|-----|-------------------------|

| | | |
|----------|-----|-----------|
| Program: | 5-1 | Licensing |
|----------|-----|-----------|

Justification: The Department requires **\$97.7 thousand** in professional and outside services funding for the Licensing Program.

| Request | |
|---------|------|
| Other | 97.3 |

Insurer Examination – \$97.2 thousand. The Department uses competitively procured independent contractors to examine the affairs, transactions, accounts, records and assets of insurer applicants, pursuant to ARS § 20-156 and other statutes within Title 20. The timing and magnitude of examinations are impossible to predict. Examination expenditures are recovered from the examined parties pursuant to ARS §20-159(C).

Other - \$0.5 thousand. The Department pays a state-contracted vendor to shred confidential documents after the approved records retention period; pays for access to “SurveyMonkey,” an online survey administration tool; and pays for access to “MailChimp,” an electronic mail subscription service.

Professional and Outside Services Justification

| | | |
|----------------|------------|--------------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|------------|--------------------------------|

| | | |
|-----------------|------------|--------------------------------------|
| Program: | 6-1 | Insurance Premium Tax Program |
|-----------------|------------|--------------------------------------|

Justification: The Department requires **\$13.7 thousand** in professional and outside services funding for the Insurance Premium Tax Program.

| | Request |
|----------------------------------|----------------|
| Temporary Agency Services | 13.7 |

The Department pays for seasonal assistance from the end of February through the end of April to process tax reports.

Professional and Outside Services Justification

| | | |
|----------------|-----|-------------------------|
| Agency: | IDA | Department of Insurance |
|----------------|-----|-------------------------|

| | | |
|-----------------|-----|-------------------------|
| Program: | 7-1 | Captive Insurer Program |
|-----------------|-----|-------------------------|

Justification: The Department requires **\$30.0 thousand** in professional and outside services funding for the Captive Insurer Program.

| | | Request |
|---|--|------------|
| Attorney General Legal Services | | 7.9 |
| The Department pays the AG's Office to prosecute violations of insurance laws and to provide legal counsel to the agency. | | |

| | | Request |
|---|--|-------------|
| Other | | 22.1 |
| Insurer examinations - \$22.1 thousand. The Department uses competitively procured independent contractors to analyze captive insurer annual financial statements. | | |

Arizona Department of Insurance
ORGANIZATION CHART

Leslie R. Hess
Interim Director

Vacant
Deputy Director

OFFICE OF THE DIRECTOR

Vacant, Deputy Director

- Mary Kosinski, Exec Assistant
- Catherine O'Neil, Cnsmr Legal Affairs Ofcr
- Vacant, Deputy Receiver
- Susana Lesmeister, Healthcr Appeals Supvsr
 - Elizabeth Sickinger, Admv Asst 2
- *Stephen Briggs, PIO and Legislative Affairs Officer (DFI employee, shared)*
- *Sarah Dobbins, Human Resources Officer*

ADMINISTRATIVE SERVICES DIVISION

Scott Greenberg, Assistant Director

- Susan Yepez, Tax Section Supvsr
 - Diemmy Luu, Revenue Auditor 3
 - Jerri Carriveau, Revenue Auditor 3
- Mary Jordan, Business Services Supvsr
 - Yuliana Salinas, Fiscal Svcs Specialist
 - Vacant, Admv Asst 3
- Eugene Glover, Systems/Network Sr. Mgr
 - Clarence Leiner, Sr/Lead Apps Dvlpr
 - John Kittelsrud, Systems/LAN Admr

INSURANCE FRAUD DIVISION

Charles Gregory, Assistant Director

- Vacant, Special Agent Supvsr
 - Damas Salas, Special Agent
 - Floyd Robinson, Special Agent
 - Paul Hill, Special Agent
 - John Wagner, Special Agent
 - Jonathan Calderon, Special Agent
 - Fabiola Garcia, Special Agent
 - Samantha Keilich, Special Agent
 - Michael Veal, Special Agent
 - Vacant, Special Agent
- Jordanne Mosely, Admv Svcs Ofcr 1

CONSUMER AFFAIRS DIVISION

Stephen Fromholtz, Assistant Director

- Gloria Barnes-Jackson, Consumer Assistance Section Supervisor
 - John McCormick, Cnsmr Svcs Spclst 2
 - Donald Shipp, Cnsmr Svcs Spclst 2
 - Dawn Lucero, Cnsmr Svcs Spclst 2
 - Deborah Claw, Cnsmr Svcs Spclst 2
 - Tami Mills, Cnsmr Svcs Spclst 2
 - Jeanette Villines, Cnsmr Svcs Spclst 2
 - Sterling Gavette, Cnsmr Svcs Spclst 2
 - Vacant, , Cnsmr Svcs Spclst 1
 - Vacant, , Cnsmr Svcs Spclst 1
 - Daniel Ray, Investigator 3
 - Wendy Greenwood, Investigator 3
- Aqueelah Currie, Admv Svcs Ofcr 1
 - Ruth Ojeda, Admv Asst 2
 - Evangelina Urias, Admv Asst 2
 - Rosalie Osorio, Admv Asst 1
- Shirley Wamsley, Admv Asst 1

Arizona Department of Insurance
ORGANIZATION CHART

Vacant
Deputy Director

FINANCIAL AFFAIRS DIVISION

Kurt Regner, Assistant Director

- Karen Adams, Actuary
- Robert Kell, Actuary
- Richard Johnson, Exec Consultant 1
- Cary Cook, Admv Svcs Ofcr 4
 - Erica Bowsher, Insurance Analyst
 - Linda Hunt, Admv Svcs Ofcr 1
 - Anthony McCormack, Admv Asst 3
 - Christopher Ochoa, Admv Asst 1
- David Lee, Chief Financial Examiner
 - Kathy Schmidt, Program Admr (50%)
- Scott Persten, Chief Financial Analyst
 - Alan Walters, Financial Analyst 3
 - David Lathrop, Financial Analyst 3
 - Vacant, Financial Analyst 3
 - Vacant, Financial Analyst 2
 - Vacant, Financial Analyst 2
 - L. Tami Posey, Financial Analyst 1
 - Stephen Brent, Financial Analyst 1
 - Maidene Schiner, Admv Asst 3
- Julie Bond, Financial Affairs Coordinator
- Vacant, Financial Affairs Coordinator
- Karen Adams, Actuary
- Robert Kell, Actuary

CAPTIVE INSURANCE DIVISION

Vacant, Captive Insurance Admr

- Vincent Gosz, Specialty Insurer Exam Mgr
- Rae Ann Hughes, Financial Analyst 1

GUARANTY FUNDS

Michael Surguine, Assistant Director

- Lori Nestor, Claims Manager
 - Robert Bown, Sr Claims Adjuster
 - Vacant, Sr Claims Adjuster
- Vacant, Guaranty Fund Controller

MARKET OVERSIGHT DIVISION

Erin Klug, Assistant Director

- Vacant, Life/Health Ins Analyst Supvsr
 - Tom Boston, Sr Insurance Analyst
 - Tolanda Coker, Sr Insurance Analyst
 - Vacant, Sr Insurance Analyst
 - Sheri Shudde, Exec Consultant Legal Affairs
 - Carla Thomas, Life/Health Admv Ofcr
 - Linda Beguin, Prepaid Dental Plan Mgr
 - Audrey Franklin, HCSO Compliance Admr
 - Steven Noble, Admv Asst 2
- Tom Zuppan, Property/Casualty Ins Analyst Supvsr
 - Brooke Lovallo, Sr Insurance Analyst
 - Derrick Charles, Examiner Technician 1
- Maria Ailor, Insurance Analyst Supervisor
 - Cheryl Hawley, Sr Insurance Analyst
 - Vacant, Sr Insurance Analyst
 - Kathy Schmidt, Program Admr (50%)
 - Nancy Silva, Admv Asst 3

INSURANCE PREMIUM TAX (IPT) FORECAST

EXECUTIVE SUMMARY

4.3

Figure 1: Premium Tax Receipts and Distributions

Arizona Premium Tax Law Changes Enacted in 2015 4.3

Three-year Forecast 4.4

Figure 2. Summary of Actual and Estimated Premium Tax Collections

Graphic - Influences on Premium Volume/Taxes

Summary of Economic Data 4.5

LINES OF INSURANCE

4.7

Life Insurance 4.7

Figure 3: Life Insurance Aggregate Arizona Taxable Premiums

Accident and Health Insurance 4.7

Figure 4: Taxable Accident and Health Insurance Premium Volume

AHCCCS-contracted Coverage 4.8

Fire Insurance 4.8

Figure 5: Taxable Fire Insurance Premium Volume and Tax –
Authorized Insurers

- Fire insurance premium taxes from authorized insurers4.9

Figure 6: Fire Insurance Premium Components; CY 2014 Computed
with CY 2015 Formula

Figure 7: Fire Insurance Tax; CY 2014 Computed with CY 2015
Premium Allocation Formula

Vehicle Insurance 4.10

Figure 8: Vehicle Insurance Premium Volume and Loss Ratios

Other Property and Casualty Insurance 4.10

Figure 9: Summary of Other Property and Casualty Premium Volume

- Homeowners (HO) Insurance 4.12
- Other liability (OL) coverage 4.12

Figure 10: Arizona Other Liability Premiums

Surplus Lines and Industrial Insureds 4.12

Figure 11: Arizona Surplus Lines and Industrial Insured Premiums

INSURANCE PREMIUM TAX (IPT) FORECAST

RETALIATION PAYMENTS **4.14**

[Figure 12: Retaliation Payments](#)

[Figure 13: Estimated Impact of Tax Reduction on Retaliation Revenue](#)

IPT CREDITS **4.15**

[Figure 14: Insurance Premium Tax Credits](#)

ATTACHMENT A

Insurance Premium Tax Receipt and Disbursement Due Dates **4.17**

ATTACHMENT B

Key Economic Data **4.20**

EXECUTIVE SUMMARY

Figure 1 summarizes actual premium tax receipts for Fiscal Year 2016 and forecasted receipts for Fiscal Years 2017 and 2018. Despite expected growth for all categories of insurance premiums in Arizona, we expect insurance premium tax revenue to decline over the next few years as insurers take greater advantage of available tax credit and as the tax rate applicable to life insurance premiums and most property and casualty insurance premiums decreases due to Laws 2016, Chapter 358 (HB 2002), summarized in the “Arizona Premium Tax Law Changes...” section to follow.

Figure 1: Premium Tax Receipts and Distributions (in millions)

| TAX RECIPIENTS | FY 2016 Actual | FY 2017 Estimate | FY 2018 Estimate |
|---|-------------------|---------------------|---------------------|
| General Fund | \$494.1 | \$485.6 | \$484.1 |
| AZDPS Retirement System (ARS § 20-224.01) | 19.3 | 20.4 | 21.5 |
| Municipal fire districts (ARS § 9-951) | 16.8 | 17.3 | 18.2 |
| Total Premium Tax Receipts: | \$530.2 | \$523.3 | \$523.8 |

ATTACHMENT A shows approximate amounts and timing of IPT revenue flow expected for FY 2017.

Our forecast represents our best estimates of how national economic trends and Arizona-specific conditions will affect aggregate premium volume and tax revenues for each type (or “line”) of insurance, subject to the accuracy of macroeconomic assumptions and source data, and the absence of substantial industrial or regulatory changes. We identify factors that change from year to year (i.e. aggregate loss ratios, competition levels, demographics and macroeconomic factors) and estimate the impacts of the combination of those factors on future insurance premium growth.

Arizona Premium Tax Law Changes Enacted in 2016

Chapter 358 (HB 2002):

- Starting with Calendar Year 2016 insurance premium tax reports, words inadvertently missing from ARS § 20-224(I) were added to clarify the kinds of insurance that must be reported as taxable fire insurance.
- Starting with Calendar Year 2016 insurance premium tax reports (and therefore first impacting FY 2017 revenue), ARS § 20-224(B) prescribes a declining series of tax rates, which will impact life insurance, vehicle insurance, other property and casualty insurance and retaliation from **Figure 2**. Changes to revenues resulting from this legislation are reflected in the forecasts for FY 2017 through FY 2019.

Chapter 38 (HB 2149):

- Allows an Arizona-authorized insurer to be designated a domestic surplus lines insurer and to offer surplus lines insurance. Prior to the Act, only an unauthorized foreign/alien insurer could offer insurance in Arizona on a surplus lines basis (meaning that insurance is not readily procurable from the admitted market with guaranty fund protections for insureds).
- Exempts from tax fees charged in connection with surplus lines transactions.

Chapter 360 (HB 2239):

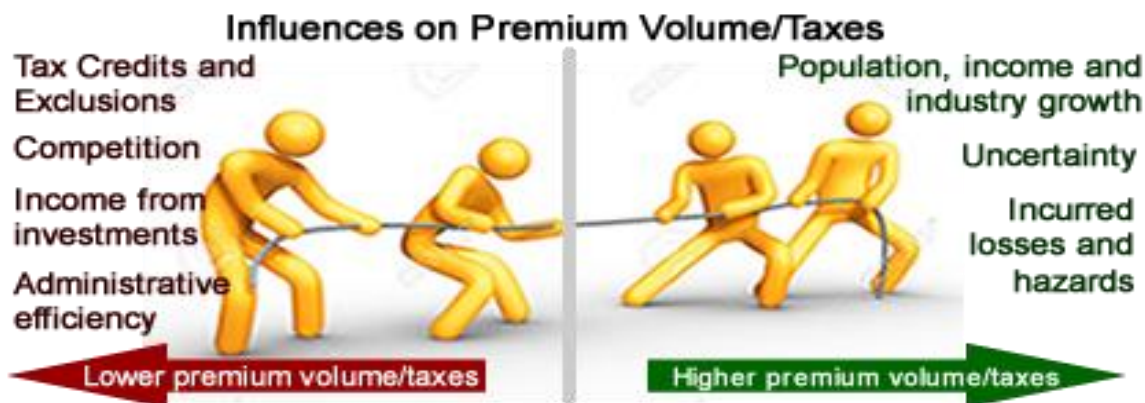
Allows a reciprocal insurer and its attorney-in-fact to be treated as a singular entity when qualifying for and computing the premium tax credit for new employment under ARS § 20-224.03.

Three-year Forecast

As [Figure 2](#) shows, FY 2016 actuals substantially exceeded FY 2016 estimates, particularly in the area of accident and health insurance due to greater than expected increased enrollment and some premium inflation. As the economy continues to improve, we also continue to forecast healthy levels of premium tax growth from property and casualty premiums and from surplus lines premiums. Low interest rates and an overall flat equity market will minimize life insurance premium growth. +The tax rate applicable to life insurance and non-fire property and casualty insurance will decrease from 2.0% by 0.5% each year until it reaches 1.70%.

Figure 2: Summary of Actual and Estimated Premium Tax Collections (in millions)-

| LINE OF INSURANCE (Tax Rate FY16, FY17, FY18) | FY 2016 Estimate | FY 2016 Actuals | FY 2017 Estimate | FY 2018 Estimate |
|---|---------------------|--------------------|---------------------|---------------------|
| Life Insurance (2.0%, 1.95%, 1.90%) | \$40.2 | \$43.7 | \$43.6 | \$43.6 |
| Accident and Health (2.0% all years) | 91.6 | 103.7 | 106.8 | 110.0 |
| AHCCCS Contractors (2.0% all years) | 195.5 | 208.6 | 202.1 | 213.9 |
| Fire (2.2% or 0.66% all years) | 17.3 | 17.4 | 18.4 | 19.3 |
| Vehicle (2.4312%, 2.3812%, 2.3312%) | 105.7 | 108.8 | 112.4 | 116.1 |
| Other Property and Casualty (2.0%, 1.95%, 1.90%) | 61.6 | 60.2 | 61.2 | 62.2 |
| Surplus Lines Fire (3.0% all years) | 1.8 | 1.9 | 2.0 | 2.1 |
| Other Surplus Lines / Industrial Insureds (3.0% all years) | 12.2 | 11.7 | 11.9 | 12.5 |
| Retaliation | 5.5 | 6.9 | 8.1 | 9.5 |
| Tax Credits | (44.3) | (33.5) | (42.8) | (65.8) |
| Cash Flow Adjustment* | (3.1) | 0.8 | (0.4) | 0.0 |
| TOTAL Fiscal Year Revenues | \$484.0 | \$530.2 | \$523.3 | \$523.8 |
| Distribution to DPS Retirement | (18.7) | (19.3) | (20.4) | (21.5) |
| Distributions to Municipal Fire Districts | (16.7) | (16.8) | (17.3) | (18.2) |
| General Fund | \$448.6 | \$494.1 | \$485.6 | \$484.1 |
| *Cash flow adjustment reflects timing differences between tax liability and tax payments within the year. | | | | |



Summary of Economic Data

The following facts and assumptions, along with key economic data provided in [ATTACHMENT B](#), underlie our forecast.

The forecast assumes **US economic growth and recovery** will continue to grow, but may be affected by environmental factors such as evolving impacts of the “Brexit” and various regional conflicts.

Arizona’s population projections show growth at an increasing rate over the past two years ([ATTACHMENT B, Item 10](#)). The forecast assumes population will grow by 1.6% annually during the next three years.

Arizona’s (seasonally adjusted) unemployment rate fell between June 2015 and June 2016 ([ATTACHMENT B, Item 12](#)) and non-farm employment is continuing to grow at a steady pace ([ATTACHMENT B, Item 13](#)).

Arizona’s gross domestic product is continuing to grow ([ATTACHMENT B, Item 2](#)), and Arizona had fewer **business bankruptcy filings** for the sixth consecutive year ([ATTACHMENT B, Item 22](#)).

Personal income and disposable personal income of Arizona residents grew ([ATTACHMENT B, Items 15, 17](#)). **Personal bankruptcies** declined for the fifth consecutive year ([ATTACHMENT B, Item 23](#)).

Consumer confidence in June 2016 remains close to the 1985 baseline (100) and is only down slightly from June 2015 ([ATTACHMENT B, Item 4](#)).

Appreciation of equities has flattened during the past year ([ATTACHMENT B, Items 5, 6, 7](#)). . . *Fixed-rate investments*, such as certificates of deposits and treasury bonds ([ATTACHMENT B, Item 8](#)), are continuing to offer virtually no yield and will remain stagnant at around 1% levels during at least the next few years.

Arizona’s housing market is continuing to grow. The number of residential building permits is continuing to increase at a respectable rate (([ATTACHMENT B, Item 30](#)), and median home prices and single family home sales in Arizona are growing ([ATTACHMENT B, Items 31, 32, 33](#)).

The Patient Protection and Affordable Care Act (PPACA) impact on health insurance premium has stabilized with year-over-year *Health Insurance Marketplace enrollment* remaining approximately the same. Although some insurers have had rate increases approved for some plans, the overall change to rates paid by enrollees has not changed significantly, particularly for the 74% of enrollees whose premiums are subsidized by some amount of advanced premium tax credits, or “APTC.” Enrollees have a household income that is less than 400% of the Federal Poverty Level (“FPL”)†, must not qualify for

† FPL in 2016: \$11,880 for individual; \$16,020 for family of 2, \$24,300 for family of 4, et al.

Medicare or Medicaid (138% of the FPL) and must meet other criteria to qualify for the APTC. The PPACA includes provisions that limit the premiums that health insurers can charge in relation to the costs the insurers incur for delivering healthcare and requires insurers to justify rate increases that exceed an establish threshold with transparency about those rate increases to the public. This may be part of the reason for health insurance premium inflation remaining in the 3 to 5% range ([ATTACHMENT B, Items 34, 35](#)), in contrast with annual inflation in the 12% to 18% range during the previous decade.

The percentage of **employees** covered by employer health benefit plans, including those covered by health reimbursement arrangements or high-deductible health plans, has not significantly changed ([ATTACHMENT B, Items 36, 37](#)).

LINES OF INSURANCE

Life Insurance

Between CY 2014 and CY 2015, taxed life insurance premiums increased by 9.9% as shown in [Figure 3](#). The Department is reviewing 2014 and 2015 tax filings of insurers with large inter-year changes in premium to determine the cause for the magnitude of the increase since the premium volume of most insurers did not increase this greatly.

Figure 3: Life Insurance Aggregate Arizona Taxable Premiums (in millions)

| Calendar Year | Taxable Life Insurance Premiums | Change in Total from Prior Year | Applicable Tax Rate (HB 2002) | Source: Arizona Department of Insurance Premium Tax Database (accessed August 21, 2015) |
|---------------|---------------------------------|---------------------------------|-------------------------------|---|
| 2017 est. | \$2,294.1 | 2.5% | 1.90% | |
| 2016 est. | 2,238.2 | 2.5% | 1.95% | |
| 2015 | 2,183.6 | 9.9% | 2.00% | |
| 2014 | 1,986.5 | 1.3% | | |
| 2013 | 1,961.7 | 1.3% | | |
| 2012 | 1,937.4 | 1.0% | | |
| 2011 | 1,918.4 | 3.5% | | |

Life insurance proceeds paid upon the death of the insured are generally not taxable, making it an attractive estate planning strategy. In general, life insurance premium grows with disposable income and employment levels ([ATTACHMENT B, Items 11 through 21](#)), and based on interest rates as compared to rates of return for competing investments ([ATTACHMENT B, Items 5 through 9](#)). With limited earnings opportunities in the savings and investment markets, it is logical that life insurance business increased during 2015 and likely that life insurance premium volume will continue to grow.

Using figures from 2011 through 2014 as a guideline, we estimate that aggregate life insurance premiums will continue to grow by 2.5% annually through 2017. Per HB 2002, life premium will be taxed at 1.95% for CY 2016, and 1.90% for CY 2017.

Accident and Health Insurance

Between CY 2015 and CY 2016, taxed accident and health insurance premiums (excluding premium for AHCCCS-contracted Medicaid benefits) increased by 16.6% (see [Figure 4](#) on the following page) due to increased enrollment in health plans (esp. through the Health Insurance Marketplace, especially with the enforcement of penalties for non-enrollment in health insurance) and due to modest health insurance inflation. Enrollment in the federally facilitated health insurance exchange and tax incentives for some individuals, small businesses and small non-profit organizations have stabilized for 2016.

Overall, we predict accident and health insurance premiums to return to a 3% growth rate in each of the next few years.

Figure 4: Taxable Accident and Health Insurance Premium Volume (in millions)

| Calendar Year | Taxable Accident/Health Premiums | Change from Prior Year |
|---|----------------------------------|------------------------|
| 2017 est. | \$5,500.0 | 3.0% |
| 2016 est. | 5,339.9 | 3.0% |
| 2015 | 5,184.4 | 16.6% |
| 2014 | 4,444.9 | 3.3% |
| 2013 | 4,303.0 | 6.0% |
| 2012 | 4,058.3 | 3.1% |
| 2011 | 3,935.8 | 4.1% |
| Source: Arizona Department of Insurance Premium Tax Database (accessed August 21, 2015) | | |

AHCCCS-contracted Coverage

The Arizona Health Care Cost Containment System (AHCCCS) currently estimates that tax revenue from contractors who provide AHCCCS Medicaid benefits will be approximately **\$202,086,300 in FY 2017 and \$213,892,900 in FY 2018**. AHCCCS reports that its estimates are based on preliminary caseload forecasts and capitation rates as of August 24, 2016. Future-year estimates assume a 3% inflation in capitation rates compounded by annual increases in enrollment averaging between 2.5% and 2.75%. Estimates do not include other factors that may impact premium taxes, such as health plan reconciliations, sanctions, primary care physician parity payments and ACA health insurer fee payments. AHCCCS Budget Administrator Matthew Isiogu (Matthew.Isiogu@azahcccs.gov) can address questions concerning this section.

Fire Insurance

Based on the following discussion, we believe fire insurance premium tax revenues from insurers will increase by approximately 5% per year during each of the next two years.

Figure 5: Taxable Fire Insurance Premium Volume (in millions) and Tax – Authorized Insurers

| Calendar Year | [a] Fire Insurance Premiums w/ 2.2% tax rate | [b] Fire Insurance Premiums w/ 0.66% tax rate | Fire Insurance Premium Tax ([a] * 2.2%) + ([b] * 0.66%) | Change (in Tax) from Prior Year |
|---|--|---|---|------------------------------------|
| 2017 est. | | | \$19,291,400 | 5.0% |
| 2016 est. | | | 18,372,800 | 5.0% |
| 2015 | 794.4 | 3.1 | 17,497,895 | 22.5% 5.0% ^{pf} |
| 2014 ^{pf} | 755.6 | 5.3 | 16,658,200 | |
| 2014 | 647.6 | 4.6 | 14,278,477 | (1.3%) |
| 2013 | 656.5 | 4.4 | 14,471,903 | 5.6% |
| 2012 | 619.5 | 8.1 | 13,682,407 | 7.3% |
| 2011 | 578.8 | 3.9 | 12,758,748 | 1.4% |
| Source: Arizona Department of Insurance Premium Tax Database (accessed August 21, 2015) | | | | |

ARS § 20-224 prescribes two tax rates applicable to fire insurance sold by insurance companies – 0.66% for insurance on property located in incorporated cities or towns that procure private firefighting services and 2.2% for insurance on property located elsewhere. Surplus lines brokers pay tax on all premiums they procure, including those allocable to fire risk, at a 3% tax rate pursuant to ARS § 20-416 (reflected in the “Surplus Lines and Industrial Insureds” section of this report). 85% of fire and allied surplus lines premiums are considered fire insurance.

The State Treasurer transfers 85% of fire insurance premium taxes to municipal fire district pension funds, and the remaining 15% goes to the General Fund. Historically, insurers were required to determine the portion of premiums that were allocable to covering fire risk. Some insurers periodically made material changes to their approach to this allocation.

Fire insurance premium taxes from authorized insurers. Starting with Calendar Year 2015 insurance premium tax reports, ARS § 20-224(l) defines fire insurance to include ●100% of fire lines, ●40% of commercial multiple peril non-liability lines, ●35% of homeowners’ multiple peril lines, ●25% of farmowners’ multiple peril lines, and ●20% of allied lines.

Had insurers employed the CY 2015 allocation formula to CY 2014 premiums, fire insurance premium tax from insurers would have totaled \$16.66 million instead of \$14.28 million (see [Figures 5b and 5c](#))

Figure 6: Fire Insurance Premium Components; CY 2014 Computed with CY 2015 Formula

| Line of Insurance | CY 2014 Net Premiums Written (\$millions) | CY 2015 Fire Insurance Percentage | 2014 Fire Premiums, CY 2015 Basis (\$millions) |
|---------------------------------|---|-----------------------------------|--|
| Fire | \$98.1 | 100% | \$98.1 |
| Commercial multi-peril property | 338.5 | 40% | 135.4 |
| Homeowners’ multi-peril | 1,437.4 | 35% | 503.1 |
| Farmowners’ multi-peril | 15.8 | 25% | 3.9 |
| Allied lines | 101.8 | 20% | 20.4 |
| TOTAL | | | \$760.9 |

Figure 7: Fire Insurance Tax; CY 2014 Computed with CY 2015 Premium Allocation Formula

| Description | 2014 Fire Insurance Premium (\$millions) | Tax Rate | Pro forma CY 2014 Tax, CY 2015 Basis |
|---|--|----------|--------------------------------------|
| For property coverage in incorporated cities and towns with private firefighting services (0.7% of total) | \$5.3 | 0.66% | \$35,000 |
| For property coverage in other areas in Arizona (99.3% of total) | 755.6 | 2.2% | 16,623,200 |
| TOTAL | \$760.9 | | 16,658,200 |

The growth in tax from the 2014 pro forma calculation of \$16.66 million and the 2015 actual amount of \$17.5 million was 5.0%. Continued increases in the replacement value of property covered with fire insurance along with continued modest population growth should cause premiums to continue to grow by around 5%.

Vehicle Insurance

Arizona has two premium taxes that apply to vehicle insurance— a base insurance premium tax (ARS § 20-224), which is deposited to the General Fund, and a 0.4312% additional tax (ARS § 20-224.01), which goes to the Department of Public Safety Personnel Retirement System. ***Per HB 2002, the base insurance premium tax rate reduces from 2.00% to 1.95% for CY 2016, and reduces by an additional 0.05% each year until it reaches 1.70%.***

Taxable vehicle insurance premiums increased by about 6.0% in 2015 (see [Figure 8](#) below).

Figure 8: Vehicle Insurance Premium Volume (in millions) and Loss Ratios

| Calendar Year | Vehicle Premiums* | Change from Prior Year | Incurred Loss Ratio** |
|---|-------------------|------------------------|-----------------------|
| 2017 est. | 4,982.0 | 5.5% | |
| 2016 est. | 4,722.5 | 5.5% | |
| 2015 | 4,476.3 | 6.0% | 67.7% |
| 2014 | 4,221.1 | 6.5% | 63.8% |
| 2013 | 3,964.2 | 5.7% | 64.7% |
| 2012 | 3,750.7 | 3.1% | 62.3% |
| 2011 | 3,638.3 | (1.7%) | 61.3% |
| Sources: * Arizona Department of Insurance Premium Tax Database (accessed August 21, 2015) and **Weighted average (on direct written premium) for vehicle insurance as reported on the report entitled, "Aggregate – Lines of Business by State," Arizona-licensed insurers. iSITE+ data query (accessed on August 22, 2016). | | | |

Arizona vehicle registrations increased by 3.2% in Fiscal Year 2015 ([ATTACHMENT B, Item 24](#)); therefore, the market for automobile insurance grew. In Calendar Year 2015, traffic crashes and related injuries and fatalities increased ([ATTACHMENT B, Items 26 through 29](#)), and overall, the CY 2015 loss ratios for insurance covering commercial and private-passenger vehicles also increased ([Figure 8](#)).

Private passenger automobile (PPA) insurance accounts for over 90% of Arizona's vehicle insurance market (the other almost 10% is for insurance covering commercial risks). Rates are increasing in the 6 to 10% area for a significant number of policyholders.

Although an incurred loss ratio of 67.7% ([Figure 8](#)) might lead some to assume automobile insurance was a profitable line of insurance in 2015, it actually was likely not after adding in underwriting expenses and loss adjustment expenses, and earnings from investment portfolios was likely insufficient to cover residual costs.

During the next few years, **we expect premiums to grow at a 5.5% annual rate**. Insurers will receive additional premiums resulting from rate increases and an increasing number of vehicles will be covered under both private passenger and commercial auto policies.

Other Property and Casualty Insurance

Premium volume for other property and casualty ("OPC") insurance should grow in the area of 5% each of the next couple years based on the results for 2015 and an assumption that similar characteristics for applicable economic and marketplace factors will continue. [Figure 9](#) lists various types of "other property and casualty" ("OPC") insurance (other than vehicle insurance and fire

insurance already discussed). Over half of OPC premium comes from homeowners' multiple peril insurance and other liability insurance.

Figure 9: Summary of Other Property and Casualty Premium Volume (in millions)

| Sub-line | 2014 Net Written Premiums * | 2015 Net Written Premiums * | Portion of 2015 W.P. |
|---|-----------------------------|-----------------------------|----------------------|
| Homeowners multi-peril (excludes 35% fire-insurance portion) | \$934.3 | \$981.8; +5.1% | 32.4% |
| Other liability (occurrence and claims-made) | 559.5 | 602.3; +7.6% | 19.9% |
| Inland marine | 280.6 | 319.8; +14.0% | 10.6% |
| Commercial multi-peril liability | 239.8 | 231.7 -3.4% | 7.7% |
| Commercial multi-peril non-liability (excludes 40% fire-insurance portion) | 203.1 | 209.3 +3.1% | 6.9% |
| Medical professional liability | 150.3 | 154.4 | 5.1% |
| Mortgage guaranty | 95.9 | 109.8 | 3.6% |
| Surety | 91.1 | 91.1 | 3.0% |
| Allied lines (excludes 20% fire-insurance portion) | 81.4 | 78.9 | 2.6% |
| Aircraft | 42.3 | 54.2 | 1.8% |
| Boiler and machinery | 19.7 | 23.3 | 0.8% |
| Products liability | 24.0 | 22.7 | 0.7% |
| Aggregate write-ins, other | 19.3 | 22.3 | 0.7% |
| Multiple peril crop | 16.6 | 18.9 | 0.6% |
| Ocean marine | 17.9 | 18.7 | 0.6% |
| Federal flood | 18.0 | 17.5 | 0.6% |
| Credit | 21.1 | 16.9 | 0.6% |
| Warranty | 15.8 | 15.9 | 0.5% |
| Fidelity | 12.0 | 12.3 | 0.4% |
| Farmowners' multi-peril (excludes 25% fire-insurance portion) | 11.8 | 12.0 | 0.4% |
| Earthquake | 6.3 | 6.1 | 0.2% |
| Burglary and theft | 2.9 | 3.1 | 0.1% |
| Private crop | 3.1 | 2.3 | 0.1% |
| Financial guaranty | 0.6 | 2.1 | 0.1% |
| TOTAL | \$2,868.2 | \$3,027.4; +5.6% | 100.0% |
| <p>*Direct premiums written minus dividends.</p> <p>Source: "Aggregate – Lines of Business by State," Arizona-licensed insurers. <i>I-SITE data query</i>, https://i-site-state.naic.org/ (accessed on August 22, 2016).</p> | | | |

Homeowners insurance is almost a third of the OPC premium written in Arizona. Premium volume is likely most greatly influenced by losses (47.5% incurred loss ratio in 2015 vs. 48.8% in 2014); and the changes in home construction, prices and sales levels (**ATTACHMENT B, Items 30 through 33**). A relatively low and stable loss ratio means that insurers are more likely to keep rates down so they can continue to be competitive. Increases in construction and sales could mean more home ownership and, thus, more homeowners insurance purchases. For those moving from one residence to another, however, it provides renewed opportunities to shop the market for lower-priced coverage. Given the fact that market conditions have not materially changed since 2015, we expect homeowners insurance premiums to grow by 5% in the next couple years.

Other liability insurance accounts for about 20% of the OPC premium written in Arizona. **Figure 10** below shows the aggregate OL net written premium and the incurred loss ratio for each of the past five years. OL coverage can be provided on either an occurrence basis or a claims-made basis. Occurrence-based policies cover a loss if it occurred while the policy was in force. Claims-made policies only cover a loss if both, the loss occurred and the claim was filed during the coverage period. Based on the improved loss ratio, we estimate that aggregate OL premiums will grow at a rate of 5% per year.

Figure 10: Arizona Other Liability Premiums (in millions)

| Calendar Year | Written Premiums* | Change from Prior Year | Incurred Loss Ratio |
|--|-------------------|------------------------|---------------------|
| 2017 | \$663.5 | 5.0% | |
| 2016 | 632.0 | 5.0% | |
| 2015 | 602.3 | 7.6% | 60.5% |
| 2014 | 559.5 | 5.6% | 80.6% |
| 2013 | 529.9 | 6.8% | 41.2% |
| 2012 | 496.0 | 4.7% | 37.6% |
| 2011 | 473.5 | 0.3% | 54.2% |
| *Direct premiums written minus dividends. | | | |
| Source: "Aggregate – Lines of Business by State," Arizona-licensed insurers. I-SITE data query, https://i-site-state.naic.org/ (accessed on August 22, 2016). | | | |

Surplus Lines and Industrial Insureds

Surplus lines is a form of specialty insurance procured for an insured by a surplus lines broker, or directly by statutorily defined "industrial insureds," from any of the following three categories of sources:

1. A non-admitted foreign insurer (which does not hold a certificate of authority or license in Arizona) that is registered on the ADOI *Director's List of Qualified Surplus Lines Insurers*;
2. A non-admitted alien insurer that is shown in the *NAIC List of Alien Insurers*; or,
3. Starting in August 2016 (per Laws 2016, Ch. 38 – HB 2149), a domestic surplus lines insurer that holds a certificate of authority in Arizona limited to offering surplus lines insurance procured by a surplus lines broker or industrial insured.

Pursuant to ARS § 20-416(G) and 20-224(C), surplus lines brokers consider 85% of their fire and allied lines insurance policies to be fire insurance premiums received for fire insurance, reflected separately in **Figure 11** on the following page.

For 2015, non-fire surplus lines and industrial insurance premiums grew by 0.8%, and fire and allied surplus lines insurance premiums grew by 4.7%.

Figure 11: Arizona Surplus Lines and Industrial Insured Premiums (in millions)

| Calendar Year | 85% of Fire/Allied Lines Premiums: Taxable Fire Insurance Premiums | Change from Prior Year | Taxable Premiums for Other Surplus Lines and Industrial Insureds | Change from Prior Year |
|---|--|------------------------|--|------------------------|
| 2017 est. | \$68.8 | 5.0% | \$416.7 | 5.0% |
| 2016 est. | 65.5 | 2.0% | 397.5 | 2.0% |
| 2015 | 64.2 | 4.7% | 390.4 | 0.8% |
| 2014 | 61.3 | (16.0%) | 387.4 | 4.4% |
| 2013 | 73.0 | 29.7% | 371.0 | 16.2% |
| 2012 | 56.2 | (18.7%) | 319.1 | 7.1% |
| 2011 | 69.1 | 10.5% | 298.0 | (4.3%) |
| Source: Arizona Department of Insurance Premium Tax Database (accessed August 21, 2016) | | | | |

Surplus lines coverage is particularly difficult to forecast because the nature of each policy is exceptional – surplus lines insurance covers risks for which insurance is not readily available on a regulated insurance policy from an authorized insurer. Arizona’s steadily growing employment levels ([Attachment B, Items 11 through 13](#)), fewer business bankruptcies ([Attachment B Item 22](#)), and increased housing permits ([Attachment B Item 30](#)) all indicate the likelihood that premium from surplus lines and industrial insurance will continue to grow at a modest level.

Effective August 6, 2016, fees charged in connection with a surplus lines transaction are no longer taxable. Therefore, **we are forecasting a 2% increase for 2016 and a 5% increase for 2017.**

RETALIATION PAYMENTS

Retaliation payments collected in FY 2016 totaled \$6.9 million (see [Figure 12](#)).

Figure 12: Retaliation Payments

| Fiscal Year | Retaliation Payments | Change from Prior Year |
|--|----------------------|------------------------|
| 2018 est. | \$9,500,000 | 17.0% |
| 2017 est. | 8,125,300 | 16.7% |
| 2016 | 6,863,039 | (35.8%) |
| 2015 | 10,685,197 | 19.5% |
| 2014 | 8,939,521 | (15.5%) |
| 2013 | 10,578,543 | 51.7% |
| 2012 | 6,975,472 | (13.1%) |
| 2011 | 8,027,223 | 12.6% |
| Source: Arizona Financial Information System – Status of Revenue by Fund (DAFR7470) for FY 2015 and before; AFIS BQ94LV3 query on Department Revenue Source (DRSRC) code “TIRT*” (tax, insurer, retaliatory) for BFY 2016. | | |

Arizona law (ARS § 20-230) requires foreign insurers (insurers incorporated in another state) to make retaliation payments to Arizona when the foreign insurer’s home state would have imposed higher taxes (net of credits), fees and other obligations on an Arizona insurer doing business in that state than Arizona imposed on the foreign insurer. Arizona law requires a foreign insurer to pay the difference between the home-state calculation and the Arizona obligation. ARS § 20-230 exempts from retaliation tax requirements insurers domiciled in states that do not, or shall not through reciprocity provided in law, impose retaliation on Arizona insurers (per Laws 2015, Ch. 184). As of June 30, 2016, this includes insurers domiciled in Hawaii, Massachusetts, Minnesota, New York and Rhode Island.

Retaliation payments change when insurers move to or from Arizona or when Arizona’s taxes, fees and assessments change in relation to the taxes, fees and assessments levied by other states.

Laws 2016, Chapter 358 (HB 2002), prescribes annual 0.05% reductions to the rate of tax that applies to insurer-written life insurance premiums and non-fire property and casualty insurance premiums until the tax rate reaches 1.7%. The Department estimates that for each dollar of insurance premium tax reduced by the tax rate decrease, Arizona will recover 25 cents from increased retaliation owed by foreign insurers. Summary of the impact of the tax reduction is shown in [Figure 13](#).

Figure 13. Estimated Impact of Tax Reduction on Retaliation Revenue

| Type of insurance | CY 2016 est. tax if 2% | CY 2016 est. tax @1.95% | Difference | 25% of Difference (increased RT) |
|--|------------------------|-------------------------|-------------|----------------------------------|
| Life | \$44,764,000 | \$43,644,900 | \$1,119,100 | \$279,775 |
| Property and Casualty | 157,208,000 | 153,278,000 | 3,930,000 | 982,500 |
| TOTAL estimated increase to CY 2016 retaliation: Tax decrease from 2% to 1.95% | | | | \$1,262,275 |
| TOTAL estimated increase to CY 2017 retaliation: Tax decrease from 2% to 1.90% | | | | \$2,636,900 |

IPT CREDITS

As summarized in [Figure 14](#), we estimate insurers will reduce their tax liabilities by an aggregate of \$44.3 million in FY 2016, \$59.3 million in FY 2017 and \$75.3 million in FY 2018.

Figure 14: Insurance Premium Tax Credits (millions)

| DESCRIPTION OF TAX CREDIT | FY2016 Estimate | FY 2016 Actual | FY 2017 Estimate | FY 2018 Estimate |
|--|--------------------|-------------------|---------------------|---------------------|
| Guaranty Fund Assessment per ARS §§ 20-674(B) and 20-694(B) | \$0.0 | \$0.0 | \$0.0 | \$16.0 |
| Military Reuse Zone Credit per ARS § 20-224.04(A) | 0.0 | 0.0 | 0.0 | 0.0 |
| Quality Jobs Tax Credit per ARS §§ 20-224.03 and 41-1525 | 5.0 | 4.1 | 5.3 | 6.5 |
| Health Insurance Certificate per ARS §§ 20-224.05 and 43-210. | 4.3 | 3.6 | 4.0 | 4.3 |
| Credit for School Tuition Organization Contribution for Low-income Scholarships per ARS §§ 20-224.06 and 43-1183 | 31.5 | 22.8 | 30.0 | 35.0 |
| Credit for School Tuition Organization Contribution for Displaced and Disabled Students per ARS §§ 20-224.07 and 43-1184 | 3.5 | 3.0 | 3.5 | 4.0 |
| Credit for Domestic Stock Life or Disability Insurer Renewal | 0.0 | 0.0 | 0.0 | 0.0 |
| TOTAL | \$44.3 | \$33.5 | \$42.8 | \$65.8 |

Guaranty Fund assessments - \$0 in FY 2016, \$0 in FY 2017, \$16 million in FY 2018. The Arizona Insurance Guaranty Funds impose assessments on insurers when an insurance company becomes insolvent and the assets of the insolvent insurer are unavailable to pay policyholder claims. The assessed insurers may then offset their premium taxes by 20% of the amount of the assessment up to the insurer's tax liability in the assessment year and in subsequent years. ARS §§ 20-674(B) and 20-694(B). Because has not been a Guaranty Fund assessment levied since 1999 and we do not expect any Guaranty Fund assessment to be levied during 2016, insurers will not offset tax liability with Guaranty Fund credits. The Arizona Life and Disability Insurance Guaranty Fund (ALDIGF) is expected to levy assessments starting in Calendar Year 2017 (which would first impact FY 2018 premium tax revenue) arising from the long-standing insolvencies of two long-term care insurers. Hypothetically, if the ALDIGF were to levy \$80 million in assessments in CY 2017 and \$40 million in assessments in CY 2018, insurers would be able to claim an aggregate of \$16 million of credits on their CY 2017 tax reports, \$24 million on tax reports in CY 2018 through CY 2021, and \$8 million on the CY 2022 tax report. The need for the ALDIGF to levy an assessment in CY 2018 is relatively likely; however, the amount of the assessment depends upon the availability of assets from failed insurers, timing of court-adjudicated liquidation issues and other factors.

Increased employment in military reuse zones - \$0. An insurer located in a military reuse zone may take a \$500 - \$2,500 credit for each additional Arizona resident the insurer employs. ARS § 20-224.04(A). No insurers have claimed this credit since its 1999 inception and we have no reason to expect any to do so during the next three years.

Quality jobs tax credit ("QJTC") - \$5 million per year. Pursuant to ARS §§ 20-224.03 and 41-1525, a taxpayer that fulfills capital investment and job-creation requirements is eligible for a QJTC of up to

\$3,000 per year for up to three years for each net new qualified position created during the first taxable year or partial year of employment. No taxpayer may claim a credit for more than 400 new jobs per year, and the program is capped at 10,000 jobs (\$30 million) claimed each year. The Arizona Commerce Authority determines credit eligibility and amount for each taxpayer. The enactment of Laws 2016, Ch. 360 (HB 2239) allows a reciprocal insurer to treat its attorney-in-fact as part of the insurer for the purposes of qualifying for and computing the credit. At least one additional insurer intends to take full advantage of the credit arising from this law change, estimated to increase credits claimed by \$1.2 million in FY 2017 and by \$2.4 million in FY 2018. ***For CY 2015/FY 2016, insurers reduced their collective tax liabilities by \$4,126,000.00 using QJTCs.***

Health insurance certificates (“HICs”) - \$4.3 million per year. A health insurer is eligible for a tax credit for insuring individuals or small businesses that receive certificates from the Department of Revenue, in exchange for the insurer’s offer of insurance at reduced costs to the individuals or small businesses. The law requires the Department of Revenue to assure that the aggregate credits will not exceed \$5 million in any year. ARS §§ 20-224.05 & 43-210. ***For CY 2015/FY 2016, insurers reduced their collective tax liabilities by \$3,606,247.12 using HICs.***

School tuition organization (“STO”) - \$35 million in FY 2016, \$50 million in FY 2017, \$65 million in FY 2018. Insurers and other taxpayers are eligible to claim tax credits (and to carry forward unused credit) for contributions they make to school tuition organizations that are approved by the Department of Revenue pursuant to ARS §§ 20-224.06 and 43-1183 (low-income scholarships) and §§ 20-224.07 and 43-1184 (displaced and disabled students). The law requires the Department of Revenue to assure that aggregate credits claimed by all types of taxpayers will not exceed statute-specified caps. The annual cap on credits for STO contributions for displaced and disabled students is fixed at \$5 million. By contrast, the cap for STO contributions for low-income students annually increases by a compounding 20%. The credit for STO contributions for low-income students became effective in FY 2007, with a \$10-million cap. The cap FY 2016 was \$51.6 million for FY 2016, and will grow to \$61.9 million for FY 2017 and \$74.3 million for FY 2018. ***During FY 2016, insurers reduced their collective tax liabilities by \$22,786,396.04 using credits from STO contributions for low-income scholarships and by \$2,950,924.72 using credits from STO contributions for displaced and disabled students.***

Domestic stock life or disability insurer credit for renewing - \$5.1 thousand per year. A life or disability insurer domiciled in Arizona that pays its renewal fee may take a credit against premium tax liability pursuant to ARS § 20-167(D). The per-insurer credit amount has been \$567.50 for the past several years. The credit is only adjusted with an adjustment to the Department’s fee schedule, which by virtue of Laws 2003, Ch. 263, and subsequent annual session law, has remained constant since FY 2003 or before. ***During FY 2015, insurers reduced their collective tax liabilities by \$4,322.00 using this credit.***

ATTACHMENT A

Insurance Premium Tax Receipt and Disbursement Due Dates

*Premium tax revenues are not all remitted and processed when due. Insurers may pay early or late and processing times may vary.

| Due Date* | Description | FY 2017 Forecast* |
|--------------------|---|----------------------|
| COLLECTIONS | | |
| 7/15/2016 | CY 2016 installment payment (15% of CY 2015 tax liability X 99.2% to account for insurers with tax liabilities < \$2,000) | \$44,684,500 |
| 8/15/2016 | CY 2016 installment payment | 44,684,500 |
| 8/15/2016 | Tax on surplus lines insurance procured January through June 2016 (includes fire tax) | 6,500,000 |
| 9/15/2016 | AHCCCS contractor taxes | 49,450,518 |
| 12/15/2016 | AHCCCS contractor taxes | 50,164,556 |
| 2/15/2017 | Tax on surplus lines insurance procured July through December 2016 (includes fire tax) | 7,400,000 |
| 3/1/2017 | CY 2016 tax liability less CY 2016 installment payments | 31,500,000 |
| 3/1/2017 | CY 2016 retaliatory tax (includes tax from title insurers from 10/2016) | 8,100,000 |
| 3/15/2017 | AHCCCS contractor taxes | 50,878,594 |
| 3/15/2017 | CY 2017 installment payment (15% of CY 2016 tax liability X 97.5% to account for insurers with tax liabilities < \$2,000) | 44,580,500 |
| 4/15/2017 | CY 2017 installment payment | 44,580,500 |
| 5/15/2017 | CY 2017 installment payment | 44,580,500 |
| 6/15/2017 | CY 2017 installment payment | 44,580,500 |
| 6/15/2017 | AHCCCS contractor taxes | 51,592,632 |
| | TOTAL IPT COLLECTIONS, FY 2017: | \$523,277,300 |
| | | |
| 5/31/2017 | Transfer to DPSRS per ARS § 20-224.01 | \$20,363,400 |
| 6/30/2017 | Distribution to fire districts per ARS § 9-951 | 17,286,800 |
| 6/30/2017 | General Fund | 485,627,100 |
| | TOTAL IPT DISBURSEMENTS, FY 2017: | \$523,277,300 |

ATTACHMENT B

Key Economic Data

| | Economic Indicator | 2016 | Δ | 2015 | Δ | 2014 | Δ | 2013 | Δ | 2012 | Δ | 2011 | Source |
|---|---|-----------|-------|-----------|-------|-----------|-------|-----------|-------|-----------|-------|-----------|--|
| 1 | Gross Domestic Product – All industry total e-- US (billions) | N/A | N/A | 17,830 | 3.5% | 17,233 | 4.1% | 16,548 | 3.2% | 16,041 | 4.1% | 15,406 | US Bureau of Economic Analysis (bea.gov) |
| 2 | Gross Domestic Product - AZ (millions) | N/A | N/A | 290,578 | 3.2% | 281,559 | 3.9% | 271,072 | 1.9% | 266,131 | 4.1% | 255,621 | US Bureau of Economic Analysis (bea.gov) |
| 3 | Consumer Price Index - All Urban Consumers (Annual Data, US City Average) | 241.038 | 1.0% | 238.638 | 0.1% | 238.343 | 2.1% | 233.504 | 1.8% | 229.478 | 1.7% | 225.722 | US Bureau of Labor Statistics (bls.gov), June reports, Table 1. |
| 4 | Consumer Confidence Index (June) | 97.4 | -3.9% | 101.4 | 17.4% | 86.4 | 5.2% | 82.1 | 40.3% | 58.5 | 10.6% | 52.9 | Conference Board; 2012- Wall Street Journal Market Watch (www.marketwatch.com); 2011 - CNN Money (money.cnn.com) |
| 5 | Dow Jones Industrial Average Adjusted Close (July 1) | 17,949.37 | 1.1% | 17,757.91 | 7.2% | 16,563.30 | 6.9% | 15,499.54 | 19.1% | 13,008.68 | 7.1% | 12,143.24 | Yahoo! Finance: DJIA Historical Prices (finance.yahoo.com, "DJI") |
| 6 | NASDAQ Composite at Close (July 1) | 4,862.57 | -3.0% | 5,013.12 | 14.7% | 4,369.77 | 20.5% | 3,626.37 | 23.4% | 2,939.52 | 6.6% | 2,756.38 | Yahoo! Finance: NASDAQ Composite Historical Prices (finance.yahoo.com, "IXIC") |
| 7 | Russell 3000 Index Adjusted Close (July 1) | 1,239.52 | -0.3% | 1,243.75 | 8.2% | 1,149.59 | 14.2% | 1,007.03 | 24.2% | 810.65 | 2.6% | 790 | Yahoo! Finance Russell 3000 Historical Prices (finance.yahoo.com, "RUA") |

| | Economic Indicator | 2016 | Δ | 2015 | Δ | 2014 | Δ | 2013 | Δ | 2012 | Δ | 2011 | Source |
|----|--|-----------|--------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|-----------|--|
| 8 | 30-year Fixed-rate/points Mortgage Average (June Year) Δ = rate+pts | 3.57%/0.5 | -13.0% | 3.98/0.7 | -1.7% | 4.16/0.6 | -2.3% | 4.07/0.8 | 11.2% | 3.68/0.7 | -15.9% | 4.51/0.7 | Primary Mortgage Market Survey Archives, Freddie Mac (3) |
| 9 | US Treasury 5-year Constant Maturity Securities, month (June) | 1.17 | -30.4% | 1.68 | 0.0% | 1.68 | 40.0% | 1.2 | 69.0% | 0.71 | -55.1% | 1.58 | H.15 Selected Interest Rates. Board of Governors of the Federal Reserve System (http://www.federalreserve.gov/releases/h15/) |
| 10 | Population - AZ (July 1) | N/A | 1.6%* | 6,829,397 | 1.5% | 6,731,484 | 1.5% | 6,634,997 | 1.2% | 6,556,236 | 1.3% | 6,472,867 | US Bureau of Economic Analysis (bea.gov) *1.6% 2015 to 2016 growth per ADOA-DES "medium series" projection |
| 11 | Seasonally Adjusted Unemployment Rate - US (June) | 4.90% | -7.6% | 5.30% | -13.1% | 6.10% | -18.7% | 7.50% | -8.5% | 8.20% | -8.9% | 9.00% | US Bureau of Labor Statistics (bls.gov) |
| 12 | Unemployment Rate, seasonally adjusted - AZ (June) | 5.80% | -1.7% | 5.90% | -13.2% | 6.80% | -8.1% | 7.40% | -11.9% | 8.40% | -13.4% | 9.70% | US Bureau of Labor Statistics (bls.gov) |
| 13 | Seasonally Adjusted Non-farm Employment - AZ (June, in thousands) | 2,701.10 | 2.9% | 2,624.80 | 2.4% | 2,562.70 | 1.9% | 2,515.90 | 2.2% | 2,461.30 | 2.6% | 2,399.30 | US Bureau of Labor Statistics (bls.gov) |
| 14 | Aggregate Personal Income - US (billions) | N/A | N/A | 15,324.1 | 4.4% | 14,683.1 | 4.4% | 14,064.5 | 1.2% | 13,904.5 | 5.1% | 13,233.4 | US Bureau of Economic Analysis (bea.gov) |
| 15 | Aggregate Personal Income - | N/A | N/A | 266,756.0 | 4.6% | 255,092.9 | 4.7% | 243,656.9 | 1.0% | 241,192.2 | 4.4% | 230,920.3 | US Bureau of Economic Analysis |

| | Economic Indicator | 2016 | Δ | 2015 | Δ | 2014 | Δ | 2013 | Δ | 2012 | Δ | 2011 | Source |
|----|--|-------------|----------|-------------|----------|-------------|----------|-------------|----------|-------------|----------|-------------|--|
| | AZ (millions) | | | | | | | | | | | | (bea.gov) |
| 16 | Per Capita Personal Income - US | N/A | N/A | 47,669 | 3.5% | 46,049 | 3.6% | 44,438 | 0.4% | 44,266 | 4.3% | 42,453 | US Bureau of Economic Analysis (bea.gov) |
| 17 | Per Capita Personal Income - AZ | N/A | N/A | 39,060 | 3.1% | 37,895 | 3.2% | 36,723 | -0.2% | 36,788 | 3.1% | 35,675 | US Bureau of Economic Analysis (bea.gov) |
| 18 | Disposable Personal Income - US (billions) | N/A | N/A | 13,380.80 | 3.7% | 12,904.60 | 4.1% | 12,393.30 | 0.0% | 12,394.60 | 5.2% | 11,781.80 | US Bureau of Economic Analysis (bea.gov) |
| 19 | Disposable Personal Income - AZ (millions) | N/A | N/A | 239,255.6 | 4.1% | 229,824.1 | 4.5% | 219,830.7 | 0.1% | 219,602.2 | 4.6% | 209,854.2 | US Bureau of Economic Analysis (bea.gov) |
| 20 | Per Capita Disposable Personal Income - US | N/A | N/A | 41,624 | 2.8% | 40,471 | 3.4% | 39,158 | -0.8% | 39,459 | 4.4% | 37,796 | US Bureau of Economic Analysis (bea.gov) |
| 21 | Per Capita Disposable Personal Income - AZ | N/A | N/A | 35,033 | 2.6% | 34,142 | 3.0% | 33,132 | -1.1% | 33,495 | 3.3% | 32,421 | US Bureau of Economic Analysis (bea.gov) |
| 22 | Bankruptcy Filings, Business - AZ (12 months ending June 30 *except 2015, which is 12-months ending March 31) | 581 | -3.5% | 602 | -23.1% | 783 | -17.3% | 947 | -26.2% | 1,284 | -13.8% | 1,489 | US Courts (uscourts.gov), Table F-2 |
| 23 | Bankruptcy Filings, Individuals - AZ (12 months ending June 30 *except 2015, which is 12-months ending March 31) | 15,925 | -8.2% | 17,352 | -18.0% | 21,155 | -12.3% | 24,118 | -21.7% | 30,820 | -22.7% | 39,888 | US Courts (uscourts.gov) Table F-2 |

| | Economic Indicator | 2016 | Δ | 2015 | Δ | 2014 | Δ | 2013 | Δ | 2012 | Δ | 2011 | Source |
|----|--|------------------------------|------|-----------|-------|-----------|-------|-----------|-------|-----------|-------|-----------|---|
| 24 | Vehicles Registered - Point-in-Time in AZ (June 30) | | | 7,694,309 | 3.2% | 7,453,046 | 3.8% | 7,180,797 | 2.9% | 6,975,408 | 2.0% | 6,839,654 | ADOT Motor Vehicle Division Statistics (http://www.azdot.gov/mvd/Statistics/Statistical-Summary) |
| 25 | Arizona Gasoline Millions of Gallons (FY through June 30) NEW TO PREMIUM TAX FORECAST | 2,840.7 | 4.3% | 2,723.0 | 3.0% | 2,644.5 | 1.4% | 2,608.2 | -1.4% | 2,644.7 | -0.2% | 2,649.1 | ADOT Financial Management Services Fuel Gallonage (http://www.azdot.gov/about/FinancialManagementServices/transportation-funding/vehicle-license-tax-and-fuel-gallonage) |
| 26 | Total Vehicle Crashes - AZ (June 30) | N/A | N/A | 116,609 | 6.4% | 109,554 | 1.9% | 107,477 | 3.4% | 103,914 | 0.0% | 103,945 | Arizona Crash Facts. ADOT (http://www.azdot.gov) |
| 27 | Total Fatal Vehicle Crashes - AZ (June 30) | N/A | N/A | 811 | 14.5% | 708 | -9.5% | 782 | 6.0% | 738 | -2.4% | 756 | Arizona Crash Facts. ADOT (http://www.azdot.gov) |
| 28 | Total Fatalities in Vehicle Crashes - AZ (June 30) | N/A | N/A | 895 | 15.6% | 774 | -8.8% | 849 | 3.4% | 821 | -0.7% | 827 | Arizona Crash Facts. ADOT (http://www.azdot.gov) |
| 29 | Total Injured in Vehicle Crashes – AZ (June 30) | N/A | N/A | 53,554 | 5.2% | 50,890 | 1.0% | 50,389 | 0.7% | 50,059 | 0.4% | 49,848 | Arizona Crash Facts. ADOT (http://www.azdot.gov) |
| | Average Private Passenger Automobile Policy Premium – AZ | No longer compiled/published | | | | \$1,171 | 4.3% | \$1,123 | -0.6% | \$1,130 | 2.6% | \$1,101 | Arizona Department of Insurance Market Monitoring Reports: Private Passenger Automobile Insurance (https://insurance.az) |

| | Economic Indicator | 2016 | Δ | 2015 | Δ | 2014 | Δ | 2013 | Δ | 2012 | Δ | 2011 | Source |
|----|---|---|------|--------|-------|---------|--------|---------|--------|---------|--------|---------|--|
| | | | | | | | | | | | | | gov/home/reports) |
| 30 | Housing Permits, Total | N/A | N/A | 28,910 | 7.1% | 26,997 | 7.1% | 25,209 | 16.0% | 21,726 | 67.0% | 13,007 | US Census Bureau Annual History by State by Structure (http://www.census.gov/construction/bps/) |
| 31 | Median home value – AZ (June, in thousands) | \$208 (<i>\$216 forecast for 6/2017</i>) | 8.3% | \$192 | 4.9% | \$183 | 8.9% | \$168 | 15.9% | \$145 | 5.8% | \$137 | Zillow Arizona Market Overview (http://www.zillow.com/az/home-values/) |
| 32 | Housing Price Index – AZ (1 st quarter of each year) (<i>Incorrect data was reported in last year's premium tax forecast</i>) | 262.28 | 7.4% | 244.2 | 5.3% | 231.92 | 13.1% | 205.07 | 18.41% | 173.18 | 3.4% | 167.51 | Federal Housing Finance Agency House Price Indexes (http://www.fhfa.gov/DataTools/Downloads/Pages/House-Price-Index.aspx) |
| 33 | Single Family Home Sales – AZ (calendar year) | N/A | N/A | 71,352 | 12.0% | 63,679 | -10.7% | 71,332 | -5.5% | 75,495 | -11.6% | 85,396 | Arizona Regional Multiple Listing Service (http://armls.com/statistics/market-reports/armls-home-sales) |
| 34 | Average health insurance premium, single – US | N/A | N/A | 6,251 | 3.8% | \$6,025 | 2.4% | \$5,884 | 4.8% | \$5,615 | 3.4% | \$5,429 | 2014 Employer Health Benefits Survey - Section 1: Cost of Health Insurance. Kaiser Family Foundation (kff.org) |

| | Economic Indicator | 2016 | Δ | 2015 | Δ | 2014 | Δ | 2013 | Δ | 2012 | Δ | 2011 | Source |
|----|--|------|-----|----------|-------|----------|-------|----------|--------|----------|-------|----------|---|
| 35 | Average health insurance premium, family - US | N/A | N/A | \$17,545 | 4.2% | \$16,834 | 3.0% | \$16,351 | 3.8% | \$15,745 | 4.5% | \$15,073 | 2014 Employer Health Benefits Survey - Section 1: Cost of Health Insurance. Kaiser Family Foundation (kff.org) |
| 36 | Percentage of Workers Covered by Health Benefits | N/A | N/A | 56 | 1.8% | 55 | -1.8% | 56 | 0.0% | 56 | -3.4% | 58 | 2014 Employer Health Benefits Survey - Section 3: Employee Coverage, Eligibility, and Participation. Kaiser Family Foundation (kff.org) |
| 37 | Percentage of Firms Offering Health Reimbursement Arrangements (HRAs) and High-deductible Health Plans (HDHPs) with or without Savings Options | N/A | N/A | 26 | -3.7% | 27 | 17.4% | 23 | -25.8% | 31 | 34.8% | 23 | 2014 Employer Health Benefits Survey - Section 8: High-deductible Health Plans with Savings Option. Kaiser Family Foundation |