



Department of Insurance
State of Arizona
Life and Health Division
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CHRISTINA URIAS
Director of Insurance

NOTICE OF EXEMPTION FROM FILING REQUIREMENTS

Some policy forms or advertising may be exempt from Title 20 filing requirements. Please review the exempt order and instructions for submitting a list of all exempt forms/advertisements on a yearly basis.

The basis for the exemption is ARS § 20-1110(F) and the Director's Exemption Order in Docket No. 03A-143-INS (the "Exemption Order"). The Exemption Order is available on the Department's website at <http://www.azinsurance.gov/publications/LDExempt2003Order.pdf> and has three exhibits (A, B and C) that list the forms that are exempt from filing.

Even though you do not need to file exempt forms, they must comply with Arizona law.

WHAT YOU NEED TO DO TO COMPLY WITH THE EXEMPTION ORDER

You can find instructions in Exhibit B, Section C of the Exemption Order. To summarize, you must submit the items below, on or before June 30 each year:

1. A list of exempt forms that includes
 - a. All exempt forms that you made available for use from June 1 of the previous year through May 31 of the current year. Please refer to Exhibits A, B and C to make this list as accurate as possible.
 - b. The title and form number of each exempt form.
 - c. A brief explanation of the coverage the form provides IF the form does not have a descriptive title.
2. The following original certification that verifies the list of exempt forms or advertisements:

State of Arizona
Certification
Annual List of Exempt life, Annuity and Disability Forms

I reviewed or supervised the preparation of the enclosed list of exempt forms available for issue in Arizona. I hereby certify that to the best of my knowledge, information and belief, the information provided is correct and complete, that none of these forms are deceptive and misleading and none of these forms contain exceptions or conditions that unreasonably or deceptively affect the risk purported to be assumed in the general coverages of the policy. I certify that the forms listed comply with all applicable laws, regulations and bulletins and that the use of any form listed in this report will be discontinued in the event of future changes in laws or regulations which would prohibit the use of such a form.

3. For exempt life and annuity forms, the following original actuarial certification:

State of Arizona
Certification
Actuarial List of Exempt Life and Annuity Forms

I have reviewed and supervised the review of the actuarial formulae for policies exempt from filing. I certify that the nonforfeiture benefits for these policies, for every age and face amount combination, meet the nonforfeiture requirements of the Arizona Insurance Code. I certify that to the best of my knowledge the policies are not actuarially deceptive or misleading and do not contain exceptions or conditions that unreasonably or deceptively affect the risk purported to be assumed in the general coverage of the policies.

If you have questions, please call the Life & Health Division at 602-364-2393.