



Attn: Service Company Permit Team
Arizona Department of Insurance
 2910 North 44th Street, Suite 210
 Phoenix, Arizona 85018-7269

Phone: (602) 364-3450
 Email: ebowsher@azinsurance.gov

Form E-800: Application for Service Company Permit

SECTION A: Applicant Identity			Department of Insurance Use:	
<div style="display: flex; align-items: flex-start;"> <div style="background-color: red; color: yellow; padding: 5px; font-weight: bold; font-size: 1.2em; margin-right: 10px;">IMPORTANT!</div> <div> <p>1 Before applying, verify that you need a Service Company Permit for the business you want to conduct in Arizona. See Arizona Revised Statutes ("ARS") § 20-1095.02. 2 Applicant must be registered in good standing with the Arizona Corporation Commission ("ACC"). 3 Information on the ACC web site (http://ecorp.azcc.gov/Search) must be up to date and consistent with information reported on this application. 4 You must update any outdated information with the ACC before submitting this application to the Department of Insurance. ARS § 20-1095.03(A), AAC R20-6-407(C).</p> </div> </div>				
Applicant Name:			FEIN #:	
DBA Name (if applicable):			State Incorporated/Organized:	
Type of Entity: <input type="checkbox"/> Association <input type="checkbox"/> Corporation <input type="checkbox"/> LLC <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Other _____				
Type of Service Contract(s): <input type="checkbox"/> Home Systems (HVAC, Home Appliances, Pool Pumps, Water Heater) <input type="checkbox"/> Consumer Products (Individual Products) <input type="checkbox"/> Motor Vehicles (Autos, Boats, RVs, ATVs, etc.) <input type="checkbox"/> Utility Line (Water, Sewer, Gas, Electric, Cable) <input type="checkbox"/> Ancillary Vehicle Services (Paintless Dent Removal, Windshield Repair, Tire and Wheel Repair)				
SECTION B: Contact Information				
Arizona Address:		City:	State:	ZIP Code:
			AZ	
Home Office Address (cannot be P.O. Box or PMB):		City:	State:	ZIP Code:
Mailing Address:		City:	State:	ZIP Code:
Main Administrative Office Address:		City:	State:	ZIP Code:
Area Code and Phone Number:	Toll Free Phone Number:	Fax Number:		
Consumer Contact Person – Name:		E-mail Address:		
Title:			Phone Number:	
SECTION C: Summary of Financial Position. Provide the following information from the most recent financial statements included with this application (see Section E, Item 3):				
1. (INCOME STATEMENT) Net Income		2. (BALANCE SHEET) Current Assets		3. (BALANCE SHEET) Current Liabilities
4. (BALANCE SHEET) Working Capital		5. (BALANCE SHEET) Owner's Equity		6. Fiscal Year End (i.e. 6/30 or 12/31)
7. Additional financial position requirements (if this space is not blank): A response to Section C, Item 1, 4 or 5 was negative. You must ENCLOSE a description of the applicant's plan to become financially solvent, such as capital infusion, parental guarantees, etc. For plans that involve a third party, ENCLOSE a letter from the third party that describes the investments or guarantees the third party is providing to the applicant, and ENCLOSE financial statements (balance sheet and income statement) of the third party.				

SECTION D: Applicant Declaration	YES (x)	NO (x)
1. The service company's service contract, application, claim forms, brochures, other advertising material, and other forms have been filed in SERFF. If NO , see AAC R20-6-407(E).		
2. The service company has had or has pending suspensions, revocations or other disciplinary or rehabilitative actions against it in this or any other jurisdiction? If YES , see Section E, Item 5.		

SECTION E: Required Enclosures

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| 1. ENCLOSE payment of the \$300 fee, made payable to Arizona Department of Insurance |
| 2. A deposit in favor of the state in the amount of \$100,000 to be filed with or for the benefit of the Director cash or alternatives to cash. (ARS 20-1095.04) by ONE of the following methods: <ul style="list-style-type: none"> a. Surety bond: Must be issued by an insurer authorized in Arizona to offer surety bonds; may include individual bonds, schedule or blanket bonds. ENCLOSE Form E-857 and an Attorney-in-Fact. <li style="text-align: center; padding: 5px 0 5px 40px;">OR b. Certificate of deposit (CD): ENCLOSE one E-125-CD form, two E-150 forms, and the original of the CD. <li style="text-align: center; padding: 5px 0 5px 40px;">OR c. Marketable security: ENCLOSE one Custody Agreement (Form E-003) and one Form E-125. |

3. ENCLOSE copies of the most recent income statement and balance sheet as of the end of the service company's most recent fiscal year, sworn to and certified by the owner, duly elected officers, or a certified public accountant. AAC R20-6-407(C)(2)
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4. How many individuals are responsible for the service company's affairs?	▶	Count:
<p>Arizona law and rule (ARS § 20-1095.03(A)(2) and AAC R20-6-407(C)(2)(e)) define "individuals responsible for the service company's affairs" as including:</p> <ul style="list-style-type: none"> • All members of the board of directors/trustees, members of the executive committee or any other governing board of the committee; and, • If applicant is a <i>corporation</i>, all officers and all shareholders that directly or indirectly own 25% or more of the voting securities of the applicant if a corporation; and, • If applicant is a <i>partnership or association</i>, all partners. <p>As it relates to individuals responsible for the service company's affairs:</p> <p>a. ENCLOSE Form E-800-B listing the names and titles of each individual included in the count in this section (above) and</p>		

b. ENCLOSE NAIC Biographical Affidavit Form 11 for each individual listed on Form E-800-B.
IMPORTANT! The Department will investigate information provided and may deny a permit if the applicant fails to provide complete and truthful information about itself and the individuals responsible for the service company's affairs.

5. OTHER REQUIRED ENCLOSURES based on responses in other parts of this application form:
<p>You responded YES to Section D, Item 2. You must ENCLOSE a signed statement detailing all incidents including names of all parties involved, dates and locations, the names and localities of any courts and administrative agencies involved, the disposition of each matter, whether the conviction, plea or finding was for a felony or open-ended charge; AND, you must ENCLOSE copies of any and all indictments, complaints, plea agreements, orders of conviction, notices of hearing or trial, sentencing orders, suspension/revocation orders and any other information that relates to each matter. If copies are not available, you must provide as a part of this application a letter from the clerk of the pertinent court or the official involved stating the records are not available and the reason.</p>

