



DEPARTMENT OF  
INSURANCE AND FINANCIAL INSTITUTIONS

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**INSTRUCTIONS FOR COMPLETION OF THE  
FORM C SUMMARY OF CHANGES TO REGISTRATION STATEMENT**

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**TITLE PAGE**

Prepare the Form C Summary of Changes to Registration Statement with a proper title page as follows:

**FORM C**

**SUMMARY OF CHANGES TO REGISTRATION STATEMENT**

FOR THE CALENDAR YEAR [insert year]

Filed with the Department of Insurance and Financial Institutions of the State of Arizona

By

[Name of Registrant]

[NAIC No. or Federal I.D. No.]

On Behalf of the Following Insurance Companies:

Name:            NAIC No.:            State of Domicile:            (Provide for each company)

Date:

Name, title, address and telephone number of individual to whom notices and correspondence concerning this statement should be addressed:

**SUMMARY REQUIREMENTS**

Furnish a brief description of all items in the current annual registration statement which represent changes from the prior year's annual registration statement. The description shall be in a manner as to permit the proper evaluation thereof by the Director, and shall include specific references to Item numbers in the annual registration statement and to the terms contained therein.

Changes occurring under Item 2 of Form B insofar as changes in the percentage of each class of voting securities held by each affiliate is concerned, need only be included where such changes are ones which result in ownership or holdings of 10% or more of voting securities, loss or transfer of control, or acquisition or loss of partnership interest.

Changes occurring under Item 4 of Form B need only be included where: an individual is, for the first time, made a director or executive officer of the ultimate controlling person; a director or executive officer terminates his or her responsibilities with the ultimate controlling person; or in the event an individual is named president of the ultimate controlling person.

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If a transaction disclosed on the prior year's annual registration statement has been changed, the nature of such change shall be included. If a transaction disclosed on the prior year's annual registration statement has been effectuated, furnish the mode of completion and any flow of funds between affiliates resulting from the transaction.

The insurer shall furnish a statement that transactions entered into since the filing of the prior year's annual registration statement are not part of a plan or series of like transactions whose purpose it is to avoid statutory threshold amounts and the review that might otherwise occur.

**SIGNATURE AND CERTIFICATION**

Signature and certification required as follows:

**SIGNATURE**

Pursuant to the requirements of A.R.S. § 20-481.09, Registrant has caused this annual registration statement to be duly signed on its behalf in the City of \_\_\_\_\_ and State of \_\_\_\_\_ on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

(SEAL)

[Name of Registrant]

BY

\_\_\_\_\_  
(Name)

\_\_\_\_\_  
(Title)

Attest:

\_\_\_\_\_  
(Signature of Officer)

\_\_\_\_\_  
(Title)

**CERTIFICATION**

The undersigned deposes and says that (s)he has duly executed the attached annual registration statement dated \_\_\_\_\_, 20\_\_\_\_\_, for and on the behalf of \_\_\_\_\_;  
(Name of Registrant)

that (s)he is the \_\_\_\_\_ of such company and that (s)he is  
(Title of Officer)

authorized to execute and file such instrument. Deponent further says that (s)he is familiar with the instrument and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information and belief.

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Type or print name)