



**Financial Affairs Division
Arizona Department of Insurance**

100 North 15th Avenue, Suite 102, Phoenix, Arizona 85007-2624

Phone: (602) 364-3999

Web: <https://insurance.az.gov/>

Life Care Provider Annual Report Filing Checklist

Attach this Checklist on top of your Annual Report Form E-LIFECARE

Enter the information for the Life Care Provider and Manager (if applicable) below:

NAIC Number: _____ Federal I.D. No: _____

Life Care Provider Name: _____ Fiscal Year Ends: _____
Month/Day

Facility/DBA Name: _____

Manager Name: _____ Fiscal Year Ends: _____
Month/Day

Financial Statement "As of" Date / /
mo. / day / year

Initial if
Completed
& Enclosed
↓↓↓

Initial at left if items are included with Annual Report Form E-LIFECARE

Agency
Use Only
↓↓↓

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| | A. \$450.00 Filing Fee - A check payable to Arizona Department of Insurance is enclosed. | |
| | B. Title Page (Page 1 of 12) is complete for all information. | |
| | C. Chief Executive Officer - Name, Title and Signature on Page 1. | |
| | D. Notarization of Chief Executive Officer Signature on Page 1. | |
| | E. Preparer's Name, Title, Phone and Email address on Page 1. | |
| | F. Pages 2 through 11 - Complete responses to Items 1 through 13. | |
| | G. Page 3, Exhibit 1 - Copy of Life Care Contract. | |
| ** | H. Page 4, Exhibit 2 - NAIC UCAA Biographical Affidavit Form 11 for each officer, director, trustee or managing partner that has not filed a biographical affidavit within the last 3 years. **Enter "N/A" in box if all biographical affidavits have been filed within the last 3 years → <input type="text"/> | |
| ** | I. Page 12, Exhibit 3 - Certified Financial Statement(s) for the 2 most recent fiscal years of the: 1. Provider 2. Manager , if applicable. **Enter "N/A" in box if not applicable → <input type="text"/> 3. Promoter , if applicable. **Enter "N/A" in box if not applicable → <input type="text"/> | |
| ** | | |
| ** | | |
| ** | J. Page 12, Exhibit 4 - Actuarial Study, if applicable. **Enter date last filed if not enclosed <input type="text"/> | |
| | K. Page 12, Exhibit 5 - Copies of escrow agreements. | |