



**DEPARTMENT OF INSURANCE  
STATE OF ARIZONA**

*Financial Affairs Division - Compliance Section*  
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**AUDITED FINANCIAL REPORT FILING TRANSMITTAL FORM - DUE **JUNE 1****

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**GENERAL INSTRUCTIONS:**

You are required to file with us and the NAIC an Audited Financial Report (AFR) that has been prepared in accordance with the requirements of ARS § 20-698 and the NAIC Annual Financial Reporting Model Regulation.

**THIS E-AFR TRANSMITTAL FORM MUST BE COMPLETED AND ATTACHED TO THE COVER OF THE AFR THAT IS FILED WITH US.**

Use our **Form E-AFR.IC** transmittal form for your Communication of Internal Control Related Matters Noted in an Audit filing and for your Management's Report of Internal Control Over Financial Reporting filing, both of which are due **August 1**.

***A penalty fee of up to \$25 per day may be assessed for any part of your AFR that is filed late. ARS § 20-223.***

**SPECIAL INSTRUCTION FOR CONSOLIDATED REPORTS ONLY:**

Each company in the group must separately file a copy of the consolidated AFR with us along with a transmittal form **E-AFR** and a copy of the letter issued by us approving the request to file on a consolidated basis. A copy of the consolidated AFR must be retained in each Annual Statement filed with us.

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**IMPORTANT – ENTER THE CALENDAR YEAR OF THE ATTACHED REPORT:** \_\_\_\_\_

Enter the NAIC number and name of the insurance company whose individual AFR is attached or on whose behalf a copy of a Consolidated AFR is attached.

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**NAIC #**

**Name of Insurance Company**

**PROVIDE THE INFORMATION FOR THE PERSON TO BE CONTACTED REGARDING THIS AFR:**

\_\_\_\_\_  
Type or Print Name and Title

\_\_\_\_\_  
Telephone Number

Email Address: \_\_\_\_\_